## Version 2.0 Language Report

DRAFT !
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## Rinus Plasmeijer Marko van Eekelen

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## Version 2.0 <br> Language Report

## Preface

```
Introduction
More Information on Clean
About this Language Report
Some Remarks on the Clean Syntax
Notational Conventions Used in this Report
```


## How to Obtain Clean

Current State of the Clean System
Copyright, Authors and Credits
Final Remarks

## Introduction

Clean is a practical applicable general-purpose lazy pure functional programming language suited for the development of real world applications.

This Language Report describes the new 2.0 version of the language CLEAN which is written in the language itself. This is a DRAFT version of the Language Report. Both the report as well as the 2.0 language (in particular Dynamics and support for Generic Programming) are still under construction.

Clean has many features among which some very special ones.
Functional languages are usually implemented using graph-rewriting techniques. Clean has explicit graph rewriting semantics; one can explicitly define the sharing of structures (cyclic structures as well) in the language (Barendregt et al., 1987; Sleep et al., 1993, Eekelen et al., 1997). This provides a better framework for controlling the time space behavior of functional programs.

Of particular importance for practical use is Clean's Uniqueness Type System (Barendsen and Smetsers, 1993a) enabling the incorporation of destructive updates of arbitrary objects within a pure functional framework and the creation of direct interfaces with the outside world.

Clean's "unique" features have made it possible to predefine (in Clean) a sophisticated and efficient I/O library (Achten and Plasmeijer, 1992 \& 1995). The Clean Object I/O library enables a Clean programmer to specify interactive window based I/O applications on a very high level of abstraction. One can define callback functions and I/O components with arbitrary local states thus providing an object-oriented style of programming (Achten, 1996; Achten and Plasmeijer, 1997). The library forms a platform independent interface to window-based systems: one can port window based I/O applications written in Clean to different platforms (we support Mac and PC) without modification of source code.

Although Clean is by default a lazy language one can smoothly turn it into a strict language to obtain optimal time/space behavior: functions can be defined lazy as well as (partially) strict in their arguments; any (recursive) data structure can be defined lazy as well as (partially) strict in any of its arguments.

The rich type system of Clean 1.3 (offering high-order types, polymorph types, type classes, uniqueness types, existentially quantified types, algebraic types, abstract types, synonym types, record types, arrays, lists) is extended with multi parameter type constructor classes and universally quantified types (limited to rank 2). Furthermore, arrays and lists are better integrated in the language. Strict, spine-strict, unboxed and overloaded lists are predefined in the language.

Still under construction: We have added a dynamic type system such that Clean now offers a hybrid type system with both static and dynamic typing. An object (expression) of static type can be changed into an object of dynamic type (a "Dynamic") and backwards. One can read a Dynamic written by another Clean program with one function call. A Dynamic can contain data as well as (unevaluated) functions. This means that one can very easy transfer data as well as code (!) from one Clean application to another in a type safe manner enabling mobile code and persistent storage of an expression. This technique involves just-in-time code generation, dynamic linking and dynamic type unification.

Still under construction: ClEAN offers support for generic programming using an extension of the class overloading mechanism. One can define functions like equality, map, foldr and the like in a generic way such that these functions are available for any (user defined) data structure. The generic functions are very flexible since they not only work on types of kind star but also on higher order kinds.

Clean (Brus et al., 1987; Nöcker et al., 1991; Plasmeijer and Van Eekelen, 1993) is not only well known for its many features but also for its fast compiler producing very efficient code (Smetsers et al., 1991). The new Clean 2.0 compiler is written in Clean. The 2.0 compiler is a bit slower than the old 1.3 compiler, but still the system is pretty fast.

People already familiar with other functional programming languages (such as Haskell; (Hudak et al., 1992), Gofer/Hugs (Jones, 1993), Miranda (Turner, 1985) and SML (Harper et al., 1986)) will have no difficulty to program in Clean. We hope that you will enjoy Clean's rich collection of features, Clean's compilation speed and the quality of the produced code (we generate native code for all platforms we support). Clean runs on a PC (Windows 2000, '98, '95, WindowsNT). There are also versions running on the Mac and Linux.

## More Information on Clean

A tutorial teaching how to program in Clean can be found on our web pages.
See http://www.cs.kun.nl/~clean/Manuals/Clean Book/clean book.html.

Information about the libraries (including the I/O library) that are available for ClEAN can also be found on the web, surf to http://www.cs.kun.nl/~clean/Download/Downlosad Libraries/download libraries.html.

There is a manual teaching the use of the Object I/O library. It includes many examples showing you how to write interactive window based programs.
See http://www.cs.kun.nl/~clean/Manuals/Object I O 12 Tutorial/object i o 12 tutorial.html.
The basic concepts behind Clean (albeit of one of the very first versions, namely CleAN 0.8 ) as well as an explanation of the basic implementation techniques used can be found in Plasmeijer and Van Eekelen (Adisson-Wesley, 1993).
The book is out of print, but copies can found on
http://www.cs.kun.nl/~clean/Manuals/Addison Wesley book/addison wesley book.html

There are many papers on the concepts introduced by the Clean group (such as term graph rewriting (Barendregt et al., 1987), lazy copying (van Eekelen et al., 1991), abstract reduction (Nöcker, 1993), uniqueness typing (Barendsen and Smetsers, 1993, 1996), Clean's I/O concept (Achten, 1996 \& 1997), Paralle/ Clean (Kesseler, 1991 \& 1996). For the most recent information on papers (http://www.cs.kun.nl/ clean/Research/research.html) and general information about Clean (http://www.cs.kun.nl/~clean) please check our web pages.

## About this Language Report

In this report the syntax and semantics of Clean version 2.0 are explained. We always give a motivation why we have included a certain feature. Although the report is not intended as introduction into the language, we did our best to make it as readable as possible. Nevertheless, one sometimes has to work through several sections spread all over the report. We have included links where possible to support browsing through the manual.

At several places in this report context free syntax fragments of Clean are given. We sometimes repeat fragments that are also given elsewhere just to make the description clearer (e.g. in the uniqueness typing chapter we repeat parts of the syntax for the classical types). We hope that this is not confusing. The complete collection of context free grammar rules is summarized in Appendix A.

Some Remarks on the Clean Syntax
The syntax of Clean is similar to the one used in most other modern functional languages. However, there are a couple of small syntactic differences we want to point out here for people who don't like to read language reports.

In Clean the arity of a function is reflected in its type. When a function is defined its uncurried type is specified! To avoid any confusion we want to explicitly state here that in Clean there is no restriction whatsoever on the curried use of functions. However, we don't feel a need to express this in every type. Actually, the way we express types of functions more clearly reflects the way curried functions are internally treated.

## E.g., the standard map function (arity 2 ) is specified in Clean as follows:

```
map::(a -> b) [a] -> [b]
map f [] = []
map f [x:xs] = [f x:map f xs]
```

Each predefined structure such as a list, a tuple, a record or array has its own kind of brackets: lazy lists are a/ways denotated with square brackets [...] , strict lists are denotated by [! ...] , spine strict lists by [... ! ] , overloaded lists by [ \| ... ], unboxed lists by [\#...]. For tuples the usual parentheses are used (...,..), curly braces are used for records (indexed by field name) as well as for arrays (indexed by number).

In types funny symbols can appear like., $u:,{ }^{*}$, ! which can be ignored and left out if one is not interested in uniqueness typing or strictness.

There are only a few keywords in Clean leading to a heavily overloaded use of : and = symbols:

```
function::argstype -> restype // type specification of a function
function pattern
| guard = rhs // definition of a function
selector = graph // definition of a constant/CAF/graph
function args :== rhs // definition of a macro
::Type args = typedef // an algebraic data type definition
::Type args :== typedef // a type synonym definition
::Type args // an abstract type definition
```

As is common in modern functional languages, there is a layout rule in Clean (see 2.3). For reasons of portability it is assumed that a tab space is set to 4 white spaces and that a non-proportional font is used.

Function definition in Clean making use of the layout rule.

```
primes:: [Int]
primes = sieve [2..]
where
    sieve:: [Int] -> [Int]
    sieve [pr:r] = [pr:sieve (filter pr r)]
    filter:: Int [Int] -> [Int]
    filter pr [n:r]
    | n mod pr == 0 = filter pr r
    | otherwise = [n:filter pr r]
```


## Notational Conventions Used in this Report

The following notational conventions are used in this report. Text is printed in Microsoft Sans Serif 9pts,
the context free syntax descriptions are given in Microsoft Sans Serif 9pts,
examples of Clean programs are given in Courier New 9pts,

- Semantic restrictions are always given in a bulleted list-of-points. When these restrictions are not obeyed they will almost always result in a compile-time error. In very few cases the restrictions can only be detected at run-time (array index out-of-range, partial function called outside the domain).

The following notational conventions are used in the context-free syntax descriptions:
[notion] means that the presence of notion is optional
\{notion\} means that notion can occur zero or more times
\{notion\}+ means that notion occurs at least once
\{notion\}-list means one or more occurrences of notion separated by comma's
terminals are printed in 9 pts courier
keywords are printed in 9 pts courier
terminals that can be left out in layout mode are printed in 9 pts courier
is used for concatenation of notions
\{notion\} 1 str means the longest expression not containing the string str
All Clean examples given in this report assume that the layout dependent mode has been chosen which means that redundant semi-colons and curly braces are left out (see 2.3.3).

## How to Obtain Clean

CLEAN and the INTEGRATED DEVELOPMENT ENVIRONMENT (IDE) can be used free of charge. They can be obtained

- via World Wide Web (www.cs.kun.nI/~clean) or
- via ftp (ftp.cs.kun.n/ in directory pub/Clean).

CLeAN is available on several platforms. Please check our WWW-pages regularly to see the latest news. New versions of Clean in general appear first on WINDOWS and later on MAC systems. Versions for Linux platforms appear less frequently.

## Current State of the Clean System

## Release 2.0 (November 2001).

There are many changes compared to the previous release (CLEAN 1.3.x). We have added many new features in CLEAN 2.0 we hope you will like.

Clean 2.0 has multi-parameter type constructor classes. See Section 6.4.

- Clean 2.0 has universally quantified data types and functions (rank 2). See Section 3.7.4 and 5.1.4.
- The explicit import mechanism has been refined. One can now more precisely address what to import and what not. See 2.5.1.
- Cyclic depedencies between definition modules are allowed. This makes it easier to define implementations modules that share definitions. See 2.5.1.
$\square$ Definitions in a definition module need not to be repeated in the corresponding implementation module anymore. See 2.4.
- Due to multi-parameter type constructor classes a better incorporation of the type Array could be made. See 4.4.

U Under Construction: CLEAN 2.0 offers an hybrid type system: one can have statically and dynamically typed objects (Dynamics). A statically typed expression can be changed into a dynamically typed one and backwards. The type of a Dynamic can be inspected via a pattern match, one can ensure that Dynamics fit together by using runtime type unification, one can store a Dynamic into a file with one function call or read a Dynamic stored by another Clean application. Dynamics can be used to store and retrieve information without the need for writing parsers, it can be used to exchange data and code (!) between applications in a type safe manner. Dynamics make it easy to create mobile code, create plug-ins or create a persistent store. The Clean run-time system has been extended to support dynamic type checking, dynamic type unification, lazy dynamic linking and just-in-time code generation (See Chapter 8).
$\square$ Under Construction: There is special syntax and support for strict and unboxed lists. One can easily change from lazy to strict and backwards. Overloaded functions can be defined which work for any list (lazy, strict or unboxed). See 4.2. One can write functions like $==$, map, foldr in a generic way. The generic functions one can
define can work on higher order kinds. With kind indexed functions one can indicated which kind is actually mend (see Chapter 7). A generic definition can be specialized for a certain concrete type.

- The Clean system has been changed and extended: a new version of the Clean IDE, a new version of the run-timesystem, and a dynamic linker is included. See 8.3.
$\square$ Clean 2.0 comes with an integrated proof system (Sparkle), all written in Clean of course. See http://www.cs.kun.nl/Sparkle.
Clean 2.0 is open source. All source code will be made available on the net.

We have also removed things:

- We do not longer support annaotations for concurrent evaluations (\{P\} and $\{1\}$ annotations. However, we are working on a library that will support distributed evaluation of Clean expressions using Dynamics.
- There is no strict let-before expression (let!) anymore in CLEAN 2.x. You still can enforce strict evaluation using the strict hash let (\#!).
- One cannot specify default instances anymore that could be used to disambiguate possible ambiguous internal overloading. Disambiguating can be done by explicitely specifying the required type.

There is also some bad news:
$\square$ Due to all these changes Clean 2.0 is not upwards compatible with Clean 1.3.x. Many things are the same but there are small differences as well. So, one has to put some effort in porting a Clean 1.3.x application to Clean 2.0. The most important syntactical differences are described below. Note that we do no longer support Clean 1.3.

- The Clean 1.3 compiler is written in C. The Clean 2.0 compiler has been rewritten from scratch in Clean. The internal structure of the new compiler is a better than the old one, but the new compiler has become a bit slower than the previous $C$ version as well. Large programs will take about 1.7 times as much time to compile (which is still pretty impressive for a lazy functional language).

Syntactic differences between Clean 1.3 and Clean 2.0
Clean 2.x is not downward compatible with Clean 1.3.x. Probably you have to change your 1.3.x sources to get them through the Clean 2.x compiler.

## Differences in Expression Syntax

There is no strict let-before expression (let!) anymore in Clean 2.x. You still can enforce strict evaluation using the strict hash let (\#!).

## Differences in the Type System

- For multiparameter type classes a small change in the syntax for instance definitions was necessary. In Clean 1.3.x it was assumed that every instance definition only has one type argument. So in the following 1.3.x instance definition

```
instance c T1 T2
```

the type ( T 1 T 2 ) was meant (the type T 1 with the argument T 2 ). This should be written in Clean $2 . \mathrm{x}$ as

```
instance c (T1 T2)
```

otherwise T 1 and T 2 will be interpreted as two types.
$\square$ The type Array has changed. In Clean 2.x the Array class has become a multiparameter class, whose first argument type is the array and whose second argument type is the array element (see ??). Therefore a 1.3 definition like

```
MkArray:: !Int (Int -> e) ->.(a e) | Array a & ArrayElem e
MkArray i f = {f j \\ j <- [0..i-1]}
becomes in Clean 2.x:
```

```
MkArray:: !Int (Int -> e) ->.(a e) | Array a e
MkArray i f = {f j \\ j <- [0..i-1]}
```

There is a difference in resolving overloading. Consider the following code:

```
class c a :: a -> a
instance c [Int]
    where
        c [1] = [2]
f [x:xs]
    = C XS
```

Although this is accepted by Clean 1.3.x, Clean $2 . x$ will complain: "Overloading error [......f]: c no instance available of type [a]." The Clean 2.x compiler applies no type unification after resolving overloading. So c is in function f applied to a list with a polymorph element type ([a]). And this is considered to be different from the instance type [Int]. If you give $f$ the type [Int] -> [Int] the upper code will be accepted.

Clean 2.x handles uniqueness attributes in type synonyms different than Clean 1.3.x. Consider the following definitions:

```
:: ListList a :== [[a]]
f :: *(ListList *{Int}) -> *{Int}
f [[a]] = { a & [0]=0 }
```

In Clean 1.3.x the ListList type synonym was expanded to

```
f :: *[*[*{Int}]] -> *{Int}
```

which is correct in Clean 1.3.x. However, Clean 2.x expands it to

```
f :: *[[*{Int}]] -> *{Int}
```

This yields a uniqueness error in Clean 2.x because the inner list is shared but contains a unique object. This problem happens only with type synonyms that have attributes "inbetween". An "inbetween" attribute is neither the "root" attribute nor the attribute of an actual argument. E.g. with the upper type synonym, the formal argument "a" is substituted with * $\{$ Int $\}$. Note that also the "*" is substituted for "a". Because we wrote * (ListList . . .) the root attribute is "*". The result of expanding *(ListList *\{Int\}) is *[u: [*\{Int]]. "u" is an attribute "inbetween" because it is neither the root attribute nor the attribute of a formal argument. Such attributes are made _non_unique_ in Clean 2.x and this is why the upper code is not accepted. The code will be accepted if you redefine ListList to

```
:: ListList a :== [*[a]]
```

- Anonymous uniqueness attributes in type contexts are not allowed in CLEAN 2.x. So in the following function type simply remove the point.

```
f :: a | myClass .a
```

The String type has become a predefined type. As a consequence you cannot import this type explicitly anymore. So:

```
from StdString import :: String
```

is not valid.

There was a bug in the uniqueness typing system of Clean 1.3: Records or data constructors could have existentially quantified variables, whose uniqueness attribute did _not_ propagate. This bug has been solved in Clean 2.x. As a consequence, the 2.x compiler might complain about your program where the 1.3.x compiler was
happy. The problem might occur when you use the object I/O library and you use objects with a uniquely attributed local state. Now the object becomes unique as well and may not be shared anymore.

## Differences in the Module System

The syntax and semantics of explicit import statements has been completely revised. With Clean $2 . x$ it is possible to discriminate the different namespaces in import statements. In Clean 1.3.x the following statement
from m import $F$
could have caused the import of a function F together with a type F and a class F with all its instances from m . In Clean 2.x one can precisely describe from which name space one wants to import (see 2.5.2). For example, the following import statement

```
from m import F,
:: T1, :: T2(..), :: T3(C1, C2), :: T4{..}, :: T5{field1, field2},
class C1, class C2(..), class C3(mem1, mem2)
```

causes the following declarations to be imported: the function or macro F , the type T 1 , the algebraic type T 2 with all it's constructors that are exported by m, the algebraic type T 3 with it's constructors C 1 and c 2 , the record type $T 4$ with all it's fields that are exported by me record type T 5 with it's fields field1 and field2, the class C 1 , the class C 2 with all it's members that are exported by m , the class C 3 with it's members mem1 and mem 2 .

There is a tool called "coclPort" that is able to automatically convert Clean sources with 1.3.x import syntax to sources with 2.x syntax.

Previous Releases. The first release of Clean was publicly available in 1987 and had version number 0.5 (we thought half of the work was done, ;-)). At that time, Clean was only thought as an intermediate language. Many releases followed. One of them was version 0.8 which is used in the Plasmeijer \& Van Eekelen Bible (Adisson-Wesley, 1993). Version 1.0 was the first mature version of Clean.

## Copyright, Authors and Credits

Clean, Clean Development System, copyright 1987-2001, Hilt B.V., the Netherlands.
Hilt is a Dutch company owned by the Clean team founded to ensure excellent technical support for commercial environments. HILT furthermore educates in functional programming and develops commercial applications using Clean.

Clean is a spin-off of the research performed by the Software Technology research group, Nijmegen Institute for Information and Computing Sciences (NiII), at the University of Niumegen under the supervision of prof. dr. ir. Rinus Plasmeijer.

The CLEAN System 2.0 is developed by:

Peter Achten:
Artem Alimarine
Diederik van Arkel

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Maarten de Mol Sparkle, the integrated proof system
Sjaak Smetsers:

Ron Wichers Schreur: Clean Compiler, Testing,
Martijn Vervoort Dynamics, dynamic linker
Martin Wierich
Marko van Eekelen:
Rinus Plasmeijer

John van Groningen: Code generators (Mac (Motorola, PowerPC), PC (Intel), Sun (Sparc)), CLEAN compiler, Low level interfaces, all machine wizarding. Clean distribution on the net.

## Object I/O library

Support for Generic Programming
Clean Integrated Development Environment for Windows and Mac Port of Object I/O library to the Mac

Clean compiler design, All type systems (including uniqueness typing and type classes),

Clean compiler
Clean semantics
Overall language design and implementation supervision.

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- Esprit II (TIP-M project area II.3.2, Tropics: TRansparent Object-oriented Parallel Information Computing System (1989-1990)).

A system like CLEAN cannot be produced without an enormous investment in time, effort and money. We would therefore like to thank all commercial CLEAN users who are decent enough to pay the license royalties.

We hope that ClEAN indeed enables you to program your applications in a convenient and efficient way. We will continue to improve the language and the system. We greatly appreciate your comments and suggestions for further improvements.

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## Rinus Plasmeijer and Marko van Eekelen

| Affiliation: | Hilt | Dep. of Software Technology |
| :---: | :---: | :---: |
|  | High Level Software Tools B.V. |  |
| Mail address: | Universitair Bedrijven Centrum, | University of Nijmegen, |
|  | Toernooiveld 100, | Toernooiveld 1, |
|  | 6525 EC Nijmegen, | 6525 ED Nijmegen, |
|  | The Netherlands. | The Netherlands. |
| e-mail: | rinus@cs.kun.nl | rinus@hilt.nı |
|  | marko@cs.kun.nl | marko@hilt.nl |
| Phone: | +31650266544 | +3124 3652644 |
| Fax: | +3124 3652525 | +3124 3652525 |
| Clean on internet: | http://www.cs.kun.nl/ $\sim$ clean |  |
| Clean on ftp: | ftp.cs.kun.nl in pub/Clean |  |
| Questions about Clean: | clean@cs.kun.nı |  |
| Subscription mailing list:: | clean@cs.kun.nl, subject:: subscribe |  |

## Basic Semantics

### 1.1 Graph Rewriting

1.2 Global Graphs

The semantics of Clean is based on Term Graph Rewriting Systems (Barendregt, 1987; Plasmeijer and Van Eekelen, 1993). This means that functions in a Clean program semantically work on graphs instead of the usual terms. This enabled us to incorporate Clean's typical features (definition of cyclic data structures, lazy copying, uniqueness typing) which would otherwise be very difficult to give a proper semantics for. However, in many cases the programmer does not need to be aware of the fact that he/she is manipulating graphs. Evaluation of a CleAn program takes place in the same way as in other lazy functional languages. One of the "differences" between Clean and other functional languages is that when a variable occurs more than once in a function body, the semantics prescribe that the actual argument is shared (the semantics of most other languages do not prescribe this although it is common practice in any implementation of a functional language). Furthermore, one can label any expression to make the definition of cyclic structures possible. So, people familiar with other functional languages will have no problems writing Clean programs.

When larger applications are being written, or, when Clean is interfaced with the non-functional world, or, when efficiency counts, or, when one simply wants to have a good understanding of the language it is good to have some knowledge of the basic semantics of Clean which is based on term graph rewriting. In this chapter a short introduction into the basic semantics of Clean is given. An extensive treatment of the underlying semantics and the implementation techniques of Clean can be found in Plasmeijer and Van Eekelen (1993).

A Clean program basically consists of a number of graph rewrite rules (function definitions) which specify how a given graph (the initial expression) has to be rewritten

A graph is a set of nodes. Each node has a defining node-identifier (the node-id). A node consists of a symbol and a (possibly empty) sequence of applied node-id's (the arguments of the symbol) Applied node-id's can be seen as references (arcs) to nodes in the graph, as such they have a direction: from the node in which the node-id is applied to the node of which the node-id is the defining identifier.

Each graph rewrite rule consists of a left-hand side graph (the pattern) and a right-hand side (rhs) consisting of a graph (the contractum) or just a single node-id (a redirection). In Clean rewrite rules are not comparing: the left-hand side (lhs) graph of a rule is a tree, i.e. each node identifier is applied only once, so there exists exactly one path from the root to a node of this graph.

A rewrite rule defines a (partia) function The function symbol is the root symbol of the left-hand side graph of the rule alternatives. All other symbols that appear in rewrite rules, are constructor symbols.

The program graph is the graph that is rewritten according to the rules. Initially, this program graph is fixed: it consists of a single node containing the symbol Start, so there is no need to specify this graph in the program explicitly. The part of the graph that matches the pattern of a certain rewrite rule is called a redex (reducible expression). A rewrite of a redex to its reduct can take place according to the right-hand side of the corresponding rewrite rule. If the right-hand side is a contractum then the rewrite consists of building this contractum and doing a redirection of the root of the redex to root of the right-hand side. Otherwise, only a redirection of the root of the redex to the single node-id specified on the
right-hand side is performed. A redirection of a node-id $\mathrm{n}_{1}$ to a node-id $\mathrm{n}_{2}$ means that all applied occurrences of n 1 are replaced by occurrences of $\mathrm{n}_{2}$ (which is in reality commonly implemented by overwriting n 1 with $\mathrm{n}_{2}$ ).

A reduction strategy is a function that makes choices out of the available redexes. A reducer is a process that reduces redexes that are indicated by the strategy. The result of a reducer is reached as soon as the reduction strategy does not indicate redexes any more. A graph is in normal form if none of the patterns in the rules match any part of the graph. A graph is said to be in root normal form when the root of a graph is not the root of a redex and can never become the root of a redex. In general it is undecidable whether a graph is in root normal form.

A pattern partially matches a graph if firstly the symbol of the root of the pattern equals the symbol of the root of the graph and secondly in positions where symbols in the pattern are not syntactically equal to symbols in the graph, the corresponding sub-graph is a redex or the sub-graph itself is partially matching a rule. A graph is in strong root normal form if the graph does not partially match any rule. It is decidable whether or not a graph is in strong root normal form. A graph in strong root normal form does not partially match any rule, so it is also in root normal form.

The default reduction strategy used in Clean is the functional reduction strategy. Reducing graphs according to this strategy resembles very much the way execution proceeds in other lazy functional languages: in the standard lambda calculus semantics the functional strategy corresponds to normal order reduction. On graph rewrite rules the functional strategy proceeds as follows: if there are several rewrite rules for a particular function, the rules are tried in textual order; patterns are tested from left to right; evaluation to strong root normal form of arguments is forced when an actual argument is matched against a corresponding non-variable part of the pattern. A formal definition of this strategy can be found in (Toyama et al., 1991).

### 1.1.1

A Small Example
Consider the following Clean program:

| Add Zero z | = | z | (1) |
| :---: | :---: | :---: | :---: |
| Add (Succ a) z | = | Succ (Add a z) | (2) |
| Start | $=$ | Add (Succ O) O where |  |
|  |  | $0=$ Zero | (3) |

In Clean a distinction is between function definitions (graph rewriting rules) and graphs (constant definitions). A semantic equivalent definition of the program above is given below where this distinction is made explicit ("=>" indicates a rewrite rule whereas " $=:$ " is used for a constant (sub-) graph definition

| Add Zero $z$ | $\Rightarrow$ |
| ---: | :--- |
| Add (Succ a) $z$ | $\Rightarrow$ Succ (Add a z) |
| Start | $\Rightarrow$ Add (Succ o) 0 |
| where |  |

These rules are internally translated to a semantically equivalent set of rules in which the graph structure on both lefthand side as right-hand side of the rewrite rules has been made explicit by adding node-id's. Using the set of rules with explicit node-id's it will be easier to understand what the meaning is of the rules in the graph rewriting world.

```
x =: Add y z
y =: Zero => z
x =: Add y z
y =: Succ a => m =: Succ n
    n =: Add a z
x =: Start => m =: Add n o
    n =: Succ o
    o =: Zero
```

The fixed initial program graph that is in memory when a program starts is the following:

The initial graph in linear notation:

```
@DataRoot =: Graph @StartNode
@StartNode =: Start
```

The initial graph in pictorial notation:


To distinguish the node-id's appearing in the rewrite rules from the node-id's appearing in the graph the latter always begin with a ' $₫$ '.

The initial graph is rewritten until it is in normal form. Therefore a CleAn program must at least contain a " start rule" that matches this initial graph via a pattern. The right-hand side of the start rule specifies the actual computation. In this start rule in the left-hand side the symbol Start is used. However, the symbols Graph and Initial (see next Section) are internal, so they cannot actually be addressed in any rule.

The patterns in rewrite rules contain formal node-id's. During the matching these formal nodeid's are mapped to the actual node-id's of the graph After that the following semantic actions are performed:

The start node is the only redex matching rule (3). The contractum can now be constructed:

The contractum in linear notation:

```
@A =: Add @B @C
@B =: Succ @C
@C =: Zero
```

The contractum in pictorial notation:


All applied occurrences of $@$ StartNode will be replaced by occurrences of $@ A$. The graph after rewriting is then:

The graph after rewriting:

```
@DataRoot =: Graph @A
@StartNode =: Start
@A =: Add @B @C
@B =: Succ @C
@C =: Zero
```

Pictorial notation:


This completes one rewrite. All nodes that are not accessible from @DataRoot are garbage and not considered any more in the next rewrite steps. In an implementation once in a while garbage collection is performed in order to reclaim the memory space occupied by these garbage nodes. In this example the start node is not accessible from the data root node after the rewrite step and can be left out.

The graph after garbage collection:

```
@DataRoot =: Graph @A
@A =: Add @B @C
@B =: Succ @C
@C =: Zero
```

Pictorial notation :


The graph accessible from @DataRoot still contains a redex. It matches rule 2 yielding the expected normal form:

The final graph:

```
@DataRoot =: Graph @D
@D =: Succ @C
@C =: Zero
```

Pictorial notation :


The fact that graphs are being used in Clean gives the programmer the ability to explicitly share terms or to create cyclic structures. In this way time and space efficiency can be obtained.

## 1.2

Global Graphs
Due to the presence of global graphs in Clean the initial graph in a specific Clean program is slightly different from the basic semantics. In a specific CleAn program the initial graph is defined as:

```
@DataRoot =: Graph @StartNode @GlobId}1 @GlobId% ... @GlobId
@StartNode =: Start
@GlobId
@GlobIdう =: Initial
@GlobId
```

The root of the initial graph will not only contain the node-id of the start node, the root of the graph to be rewritten, but it will also contain for each global graph (see 10.2) a reference to an initial node (initialized with the symbol Initial). All references to a specific global graph will be references to its initial node or, when it is rewritten, they will be references to its reduct.

## Chapter 2

## Modules and Scopes

### 2.1 Identifiers, Scopes and Name Spaces <br> 2.2 Modular Structure of Clean Programs <br> 2.3 Implementation Modules

2.4 Definition Modules<br>2.5 Importing Definitions<br>2.6 System Definition and Implementation Modules

A Clean program is composed out of modules. Each module is stored in a file that contains Clean source code. There are implementation modules and definition modules, in the spirit of Modula-2 (Wirth, 1982). This module system is used for several reasons.

- First of all, the module structure is used to control the scope of definitions. The basic idea is that definitions only have a meaning in the implementation module they are defined in unless they are exported by the corresponding definition module.
- Having the exported definitions collected in a separate definition module has as advantage that one in addition obtains a self-contained interface document one can reach out to others. The definition modules is a document that defines which functions and data types can be used by others without revealing uninteresting implementation details.
- Furthermore, the module structure enables separate compilation that heavily reduces compilation time. If the definition module stays the same, a change in an implementation module only will cause the recompilation of that implementation module. When the definition module is changed as well, only those implementation modules that are affected by this change need to be recompiled.

In this Chapter we explain the module structure of Clean and the influence it has on the scope of definitions. New scopes can also be introduced inside modules. This is further explained in the Chapters 2 and 3 .

In the pictures in the subsections below nested boxes indicate nested scopes.
2.1

Identifiers, Scopes and Name Spaces

### 2.1.1

Naming Conventions of Identifiers

In Clean we distinguish the following kind of identifiers.

| ModuleName | = | LowerCaseld | UpperCaseld | Funnyld |
| :---: | :---: | :---: | :---: | :---: |
| FunctionName | = | LowerCaseld | UpperCaseld | Funnyld |
| ConstructorName | = |  | UpperCaseld | Funnyld |
| SelectorVariable | = | LowerCaseld |  |  |
| Variable | = | LowerCaseld |  |  |
| MacroName | = | LowerCaseld | UpperCaseld | Funnyld |
| FieldName | = | LowerCaseld |  |  |
| TypeName | = |  | UpperCaseld | Funnyld |
| TypeVariable | = | LowerCaseld |  |  |
| UniqueTypeVariable | = | LowerCaseld |  |  |
| ClassName | = | LowerCaseld | UpperCaseld | Funnyld |
| MemberName | $=$ | LowerCaseld | UpperCaseld | Funnyld |



The convention used is that variables always start with a lowercase character while constructors and types always start with an uppercase character. The other identifiers can either start with an uppercase or a lowercase character. Notice that for the identifiers names can be used consisting of a combination of lower and/or uppercase characters but one can also define identifiers constructed from special characters like,$+<$, etc. (see Appendix A). These two kind of identifiers cannot be mixed. This makes it possible to leave out white space in expressions like a+1 (same as a +1 ).

### 2.1.2

Scopes and Name Spaces
The scope is the program region in which definitions (e.g. function definition, class definition, macro definition, type definition) with the identifiers introduced (e.g. function name, class name, class variable, macro name, type constructor name, type variable name) have a meaning.

It must be clear from the context to which definition an identifier is referring. If all identifiers in a scope have different names than it will always be clear which definition is mend. However, one generally wants to have a free choice in naming identifiers. If identifiers belong to different name spaces no conflict can arise even if the same name is used. In Clean the following name spaces exist:

- ModuleNames form a name space;
- FunctionNames, ConstructorNames, SelectorVariables, Variables and MacroNames form a name space;
- FieldNames form a name space;
- TypeNames, TypeVariables and UniqueTypeVariables form a name space;
- ClassNames form a name space.

So, it is allowed to use the same identifier name for different purposes as long as the identifier belongs to different name spaces.

Identifiers belonging to the same name space must all have different names within the same scope. Under certain conditions it is allowed to use the same name for different functions and operators (overloading, see Chapter 6).

### 2.1.3

Reusing identifier names is possible by introducing a new scope level. Scopes can be nested: within a scope a new nested scope can be defined. Within such a nested scope new definitions can be given, new names can be introduced. As usual it is allowed in a nested scope to re-define definitions or re-use names given in a surrounding scope: When a name is re-used the old name and definition is no longer in scope and cannot be used in the new scope. A definition given or a name introduced in a (nested) scope has no meaning in surrounding scopes. It has a meaning for all scopes nested within it (unless they are redefined within such a nested scope).

A Clean program consists of a collection of definition modules and implementation modules. An implementation module and a definition module correspond to each other if the names of the two modules are the same. The basic idea is that the definitions given in an implementation module only have a meaning in the module in which they are defined unless these definitions are exported by putting them into the corresponding definition module. In that case the definitions also have a meaning in those other modules in which the definitions are imported (see 2.5).

| CleanProgram | = | \{Module\}+ |
| :---: | :---: | :---: |
| Module | = | DefinitionModule |
|  | \| | ImplementationModule |
| DefinitionModule |  | definition module ModuleName ; \{DefDefinition\} |
|  |  | system module ModuleName ; \{DefDefinition\} |
| ImplementationModule | = | [implementation] module ModuleName ; \{ImplDefinition\} |

- An executable Clean program consists at least of one implementation module, the main or start module, which is the top-most module (root module) of a CLEAN program.
Each Clean module has to be put in a separate file.
- The name of a module (i.e. the module name) should be the same as the name of the file (minus the suffix) in which the module is stored
- A definition module should have .dc/as suffix; an implementation module should have .ic/ as suffix.
- A definition module can have at most one corresponding implementation module.

Every implementation module (except the main module, see 2.3.1) must have a corresponding definition module.
$\square$
2.3 Implementation Modules

### 2.3.1

The Main or Start Module

In the main module a Start rule has to be defined (see Chapter 1).

- Only in the main module one can leave out the keyword implementation in the module header. In that case the implementation module does not need to have a corresponding definition module (which makes sense for a topmost module).

A very tiny but complete Clean program consisting of one implementation module.

```
module hello
Start = "Hello World!"
```

Evaluation of a Clean program consists of the evaluation of the application defined in the right-hand side of the Start rule to normal form (see Chapter 1). The right-hand side of the Start rule is regarded to be the initial expression to be computed.

It is allowed to have a Start rule in other implementation modules as well. This can be handy for testing functions defined in such a module: to evaluate such a Start rule simply generate an application with the module as root and execute it. In the Clean IDE one can specify which module has to be regarded as being the root module.

The definition of the left-hand side of the Start rule consists of the symbol Start with one optional argument (of type *World), which is the environment parameter, which is necessary to write interactive applications.

A Clean program can run in two modes.

The first mode is a console mode. It is chosen when the Start rule is defined as a nullary function.

```
Start:: TypeOfStartFunction
```

Start = ... // initial expression

In the console mode, that part of the initial expression (indicated by the right-hand side of the Start rule), which is in root normal form (also called the head normal form or root stable form), is printed as soon as possible. The console mode can be used for instance to test or debug functions.

In the Clean IDE one can choose to print the result of a Start expression with or without the data constructors.
For example, the initial expression
Start: : String
Start = "Hello World!"
in mode "show data constructors" will print: "Hello World!", in mode "don't show data constructors" it will print: Hello World!

## I/O on the Unique World

The second mode is the world mode. It is chosen when the optional additional parameter (which is of type *World) is added to the Start rule and delivered as result.

```
Start:: *World -> *World
Start w = ... // initial expression returning a changed world
```

The world which is given to the initial expression is an abstract data structure, an abstract world of type *World which models the concrete physical world as seen from the program. The abstract world can in principle contain anything what a functional program needs to interact during execution with the concrete world. The world can be seen as a state and modifications of the world can be realized via state transition functions defined on the world or a part of the world. By requiring that these state transition functions work on a unique world the modifications of the abstract world can directly be realized in the real physical world, without loss of efficiency and without losing referential transparency (see Chapter 9 )

The concrete way in which the world can be handled in Clean is determined by the system programmer. One way to handle the world is by using the predefined Clean I/O library, which can be regarded as a platform independent mini operating system. It makes it possible to do file I/O, window based I/O, dynamic process creation and process communication in a pure functional language in an efficient way. The definition of the I/O library is treated in a separate document (Object IO tutorial, Achten et al., 1997).

In an implementation module the following global definitions can be specified in anyorder.

| ImpIDefinition | ImportDef | // | see 2.5 |
| :---: | :---: | :---: | :---: |
|  | FunctionDef | // | see Chapter 3 |
|  | GraphDef | // | see 3.6 |
|  | MacroDef | // | see 10.3 |
|  | TypeDef | // | see Chapter 5 |
|  | ClassDef | // | see Chapter 6 |
|  | GenericDef | // | see Chapter 7 |

Definitions on the global level (= outermost level in the module,) have in principle the whole implementation module as scope (see Figure 2.1).

Figure 2.1 (Scope of global definitions inside an implementation module).

```
implementation module XXX
:: TypeName typevars = type_expression
functionName:: type_of args -> type_of_result
functionName args = expression
selector = expression
class className = expression
macroName args :== expression
```

```
// definition of a new type
```

// definition of a new type
// definition of the type of a function
// definition of the type of a function
// definition of a function
// definition of a function
// definition of a constant graph
// definition of a constant graph
// definition of a class
// definition of a class
// definition of a macro

```
// definition of a macro
```

Types can only be defined globally (see Chapter 5) and therefore always have a meaning in the whole implementation module. Type variables introduced on the left-hand side of a (algebraic, record, synonym, overload, class, instance, function, graph) type definition have the right-hand side of the type definition as scope.

Functions, the type of these functions, constants (selectors) and macro's can be defined on the globallevel as well as on a local level in nested scopes. When defined globally they have a meaning in the whole implementation module. Arguments introduced on the left-hand side of a definition (formal arguments) only have a meaning in the corresponding right-hand side.

Functions, the type of these functions, constants (selectors) and macro's can also be defined locally in a new scope. However, new scopes can only be introduced at certain points. In functional languages local definitions are by tradition defined by using let-expressions (definitions given before they are used in a certain expression, nice for a bottom-up style of programming) and where-blocks (definitions given afterwards, nice for a top-down style of programming). These constructs are explained in detail in Chapter 3.

### 2.3.3

Begin and End of a Definition: the Layout Rule
Clean programs can be written in two modes: layout sensitive mode 'on' and 'off'. The layout sensitive mode is switched off when a semi-colon is specified after the module name. In that case each definition has to be ended with a semicolon ';'. A new scope has to begin with ' $\{$ ' and ends with a ' $\}$ '. This mode is handy if Clean code is generated automatically (e.g. by a compiler).

## Example of a Clean program not using the layout rule.

```
module primes;
import StdEnv;
primes:: [Int];
primes = sieve [2..];
where
{ sieve:: [Int] -> [Int]; sieve [pr:r] = [pr:sieve (filter pr r)];
    filter:: Int [Int] -> [Int];
    filter pr [n:r] | n mod pr == 0 = filter pr r;
    | otherwise = [n:filter pr r];
}
```

Programs look a little bit old fashion C-like in this way. Functional programmers generally prefer a more mathematical style. Hence, as is common in modern functional languages, there is a layout rule in CleAn. When a semicolon does not end the header of a module, a Clean program has become layout sensitive. The layout rule assumes the omission of the semi-colon (';') that ends a definition and of the braces (' $\{$ ' and ' $\}$ ') that are used to group a list of definitions. These symbols are automatically added according to the following rules:

In layout sensitive mode the indentation of the first lexeme after the keywords let, \#, \#!, of, where, or with determines the indentation that the group of definitions following the keyword has to obey. Depending on the indentation of the first lexeme on a subsequent line the following happens. A new definition is assumed if the lexeme starts on the
same indentation (and a semicolon is inserted). A previous definition is assumed to be continued if the lexeme is indented more. The group of definitions ends (and a close brace is inserted) if the lexeme is indented less. Global definitions are assumed to start in column 0.

## We strongly advise to write programs in layout sensitive mode. For reasons of portability it is assumed that a tab space is

 set to 4 white spaces and that a non-proportional font is used.Same program using the layout sensitive mode.

```
module primes
import StdEnv
primes:: [Int]
primes = sieve [2..]
where
    sieve:: [Int] -> [Int]
    sieve [pr:r] = [pr:sieve (filter pr r)]
    filter:: Int [Int] -> [Int]
    filter pr [n:r]
    | n mod pr == 0 = filter pr r
    | otherwise = [n:filter pr r]
```

The definitions given in an implementation module only have a meaning in the module in which they are defined. If you want to export a definition, you have to specify the definition in the corresponding definition module. Some definitions can only appear in implementation modules, not in definition modules. The idea is to hide the actual implementation from the outside world. The is good for software engineering reasons while another advantage is that an implementation module can be recompiled separately without a need to recompile other modules. Recompilation of other modules is only necessary when a definition module is changed. All modules depending on the changed module will have to be recompiled as well. Implementations of functions, graphs and class instances are therefore only allowed in implementation modules. They are exported by only specifying their type definition in the definition module. Also the right-hand side of any type definition can remain hidden. In this way an abstract data type is created (see 5.4).

In a definition module the following global definitions can be given in anyorder.


The definitions given in an implementation module only have a meaning in the module in which they are defined (see 2.3) unless these definitions are exported by putting them into the corresponding definition module. In that case they also have a meaning in those other modules in which the definitions are imported (see 2.5).

- In the corresponding implementation module all exported definitions have to get an appropriate implementation (this holds for functions, abstract data types, class instances).
- An abstract data type is exported by specifying the left-hand side of a type rule in the definition module. In the corresponding implementation module the abstract type has to be defined again but then right-hand side has to be defined as well. For such an abstract data type only the name of the type is exported but not its definition.
- A function, global graph or class instance is exported by defining the type header in the definition module. For optimal efficiency it is recommended also to specify strictness annotations (see 10.1). For library functions it is recommended also to specify the uniqueness type attributes (see Chapter 9). The implementation of a function, a graph, a class instance has to be given in the corresponding implementation module.
- Although it is not required anymore to repeat an exported definition in the corresponding implementation module, it is a good habit to do so to keep the implementation module readable. If a definition is repeated, the definition given in the definiiton module and in the implementation module should be the same (modulo variable names).

```
Definition module.
definition module ListOperations
::complex // abstract type definition
re:: complex -> Real // function taking the real part of complex number
im:: complex -> Real // function taking the imaginary part of complex
mkcomplex:: Real Real -> Complex // function creating a complex number
corresponding implementation module):
```

```
implementation module ListOperations
```

implementation module ListOperations
::complex :== (!Real,!Real) // a type synonym
::complex :== (!Real,!Real) // a type synonym
re:: complex -> Real // type of function followed by its implementation
re:: complex -> Real // type of function followed by its implementation
re (frst, ) = frst
re (frst, ) = frst
im:: complex -> Real
im:: complex -> Real
im (_,scnd) = scnd
im (_,scnd) = scnd
mkcomplex:: Real Real -> Complex
mkcomplex:: Real Real -> Complex
mkcomplex frst scnd = (frst,scnd)

```
mkcomplex frst scnd = (frst,scnd)
```

Via an import statement a definition exported by a definition module (see 2.4) can be imported into any other (definition or implementation) module. There are two kinds of import statements, explicit imports and implicit imports.
ImportDef $\quad=$ ImplicitImportDef

A module depends on another module if it imports something from that other module. In Clean $2 . x$ cyclic dependencies are allowed.

### 2.5.1

Explicit imports are import statements in which the modules to import from as well as the identifiers indicating the definitions to import are explicitly specified. All identifiers explicitly being imported in a definition or implementation module will be included in the global scope level (= outermost scope, see 2.3.2) of the module that does the import.


The syntax and semantics of explicit import statements has been completely revised in Clean $2 . x$ in order to make it possible to discriminate between the different namespaces that exist in Clean (see 2.1.2). One can import functions or macro's, types with optionally their corresponding constructors, record types with optionally their corresponding fieldnames, classes and instances of classes.

Example of an explicit import.
implementation module XXX
from m import $F$,

```
:: T1, :: T2 (..), :: T3(C1, C2), :: T4{..}, :: T5{field1, field2},
class C1, class C2(..), class C3(mem1, mem2),
instance C4 Int
```

With the import statement the following definition exported by module $m$ are imported in module xxx: the function or macro F , the type T 1 , the algebraic type T 2 with all it's constructors that are exported by m , the algebraic type T 3 with it's constructors C 1 and C 2 , the record type T 4 with all it's fields that are exported by m , the record type T 5 with it's fields field1 and field2, the class C1, the class C2 with all it's members that are exported by m, the class C3 with it's members mem1 and mem2, the instance of class C 4 defined on integers.

- Importing identifiers can cause error messages because the imported identifiers may be in conflict with other identifiers in this scope (remember that identifiers belonging to the same name space must all have different names within the same scope, see 2.1). This problem can be solved by renaming the internally defined identifiers or by renaming the imported identifiers (eg by adding an additional module layer just to rename things).


### 2.5.2

Implicit Imports of Definitions
ImplicitlmportDef $=$ import \{ModuleName\}-list ;

Implicit imports are import statements in which only the module name to import from is mentioned. In this case all definitions that are exported from that module are imported as well as all definitions that on their turn are imported in the indicated definition module, and so on. So, all related definitions from various modules can be imported with one single import. This opens the possibility for definition modules to serve as a kind of 'pass-through' module. Hence, it is meaningful to have definition modules with import statements but without any definitions and without a corresponding implementation module.

Example of an implicit import: all (arithmetic) rules which are predefined can be imported easily with one import statement.
import MyStdEnv
importing implicitly all definitions imported by the definition module 'MyStdEnv' which is defined below (note that definition module 'MyStdEnv' does not require a corresponding implementation module) :

```
definition module MyStdEnv
import StdBool, StdChar, StdInt, StdReal, StdString
```

All identifiers implicitly being imported in a definition or implementation module will be included in the global scope level (= outermost scope, see 2.3.2) of the module that does the import.

- Importing identifiers can cause error messages because the imported identifiers may be in conflict with other identifiers in this scope (remember that identifiers belonging to the same name space must all have different names within the same scope, see 2.1). This problem can be solved by renaming the internally defined identifiers or by renaming the imported identifiers (eg by adding an additional module layer just to rename identifiers).

System Definition and Implementation Modules
System modules are special modules. A system definition module indicates that the corresponding implementation module is a system implementation module which does not contain ordinary Clean rules. In system implementation modules it is allowed to define foreign functions: the bodies of these foreign functions are written in another language than Clean. System implementation modules make it possible to create interfaces to operating systems, to file systems or to increase execution speed of heavily used functions or complex data structures. Typically, predefined function and operators for arithmetic and File I/O are implemented as system modules.

System implementation modules may use machine code, C-code, abstract machine code (PABC-code) or code written in any other language. What exact is allowed is dependent from the Clean compiler used and the platform for which code
is generated. The keyword code is reserved to make it possible to write Clean programs in a foreign language. This is not treated in this reference manual.

When one writes system implementation modules one has to be very careful because the correctness of the functions can no longer be checked by the Clean compiler. Therefore, the programmer is now responsible for the following:
! The function must be correctly typed.
! When a function destructively updates one of its (sub-)arguments, the corresponding type of the arguments should have the uniqueness type attribute. Furthermore, those arguments must be strict.

## Chapter 3

## Defining Functions and Constants

### 3.1 Functions

3.2 Patterns
3.3 Guards
3.4 Expressions

### 3.5 Local Definitions <br> 3.6 Defining Constants <br> 3.7 Typing Functions

In this Chapter we explain how functions (actually: graph rewrite rules) and constants (actually: graph expressions) are defined in Clean. The body of a function consists of an (root) expression (see 3.4). With help of patterns (see 3.2) and guards (see 3.3) a distinction can be made between several alternative definitions for a function. Functions and constants can be defined locally in a function definition. For programming convenience (forcing evaluation, observation of unique objects and threading of sequencial operations) a special let construction is provided (see 3.5.1). The typing of functions is discussed in Section 3.7. For overloaded functions see Chapter 6. For functions working on unique datatypes see Chapter 9.
3.1

Functions

| FunctionDef | = | [FunctionTypeDef] DefOfFunction | // | see Chapter 4 for typing functions |
| :---: | :---: | :---: | :---: | :---: |
| DefOfFunction | $=$ \{FunctionAltDef ; ${ }^{+}$ |  |  |  |
| FunctionAItDef |  | Function \{Pattern\} | // | see 3.2 for patterns |
|  |  | \{LetBeforeExpression\} | II | see 3.5.4 |
|  |  | \{\{\| Guard $=$ = $\gg$ ] FunctionBody ${ }^{+}$ | II | see 3.3 for guards |
|  |  | [LocalFunctionAltDefs] | II | see 3.5 |
| Function | = | FunctionName | I/ | ordinary function |
|  |  | (FunctionName) | II | operator function used prefix |
| FunctionBody | = | RootExpression; | I/ | see 3.4 |
|  |  | [LocalFunctionDefs] | I/ | see 3.5 |

A function definition consists of one or more definition of a function alternative (rewrite rule). On the left-hand side of such a function alternative a pattern can be specified which can serve a whole sequence of guarded function bodies (called the rule alternatives) The root expression (see 3.4) of a particular rule alternative is chosen for evaluation when - the patterns specified in the formal arguments are matching the corresponding actual arguments of the function application (see 3.2) and

- the optional guard (see 3.3) specified on the right-hand side evaluates to True.

The alternatives are tried in textual order. A function can be preceded by a definition of its type (Section 3.7).

- Function definitions are only allowed in implementation modules (see 2.3).
- It is required that the function alternatives of a function are textually grouped together (separated by semi-colons when the layout sensitive mode is not chosen).
Each alternative of a function must start with the same function symbol.
- A function has a fixed arity, so in each rule the same number of formal arguments must be specified. Functions can be used curried and applied to any number of arguments though, as usual in higher order functional languages.
- The function name must in principle be different from other names in the same name space and same scope (see 2.1). However, it is possible to overload functions and operators (see Chapter 6).

Example of a function definitions in a CLEAN module.

```
module example
import StdInt // implicit import
map:: (a -> b) [a] -> [b] // type of map
map f list = [f e \\ e <- list] // definition of the function map
square:: Int -> Int // type of square
square x = x * x // definition of the function square
Start:: [Int] // type of Start rule
Start = map square [1..1000] // definition of the Start rule
```

An operator is a function with arity two that can be used as infix operator (brackets are left out) or as ordinary prefix function (the operator name preceding its arguments has to be surrounded by brackets). The precedence (0 through 9) and fixity (infixleft, infixright, infix) that can be defined in the type definition (see 3.7.1) of the operators determine the priority of the operator application in an expression. A higher precedence binds more tightly. When operators have equal precedence, the fixity determines the priority.

When an operator is used in infix position both arguments have to be present. Operators can be used in a curried way, but then they have to be used as ordinary prefix functions.

## Operator definition.

```
(++) infixr 0:: [a] [a] -> [a]
(++) [] ly = ly
(++) [x:xs] ly = [x:xs ++ ly]
(o) infixr 9:: (a -> b) (c -> a) -> (c -> b)
(o) f g = \x = f (g x)
```

A pattern specified on the left-hand side of a function definition specifies the formal arguments of a function. A function alternative is chosen only if the actual arguments of the function application match the formal arguments. A formal argument is either a constant (some data constructor with its optional arguments that can consist of sub-patterns) or it is a variable.

| Pattern | = [Variable $=$ :] BrackPattern |
| :---: | :---: |
| BrackPattern | = (GraphPattern) |
|  | Constructor |
|  | PatternVariable |
|  | SpecialPattern |
|  | \| DynamicPattern |
| GraphPattern | = Constructor \{Pattern\} // Ordinary data constructor |
|  | \| GraphPattern ConstructorName GraphPattern // Infix data constructor |
|  | \| Pattern |
| PatternVariable | $=$ Variable |
|  | 1 |

A pattern variable can be a (node) variable or a wildcard. A variable is a formal argument of a function that matches on any concrete value of the corresponding actual argument and therefore it does not force evaluation of this argument. A wildcard is an anonymous variable ("_") one can use to indicate that the corresponding argument is not used in the righthand side of the function. A variable can be attached to a pattern (using the symbol ' $=$ :') that makes it possible to identify (label) the whole pattern as well as its contents. When a constant (data constructor) is specified as formal argument, the actual argument must contain the same constant in order to have a successful match.

Example of an algebraic data type definition and its use in a pattern match in a function definition.

```
::Tree a = Node a (Tree a) (Tree a)
    | Nil
Mirror:: (Tree a) -> Tree a
Mirror (Node e left right) = Node e (Mirror right) (Mirror left)
Mirror Nil = Nil
```

Use of anonymous variables.

```
:: Complex :== (!Real,!Real) // synonym type def
realpart:: Complex -> Real
realpart (re,_) = re // re and _ are pattern variables
```

Use of list patterns, use of guards, use of variables to identify patterns and sub-patterns; merge merges two (sorted) lazy lists into one (sorted) list.

```
merge:: [Int] [Int] -> [Int]
merge f [] = f
merge [] s = s
merge f=:[x:xs] s=:[y:ys]
| x<y = [x:merge xs s]
| x==y = merge f ys
| otherwise = [y:merge f ys]
```

- It is possible that the specified patterns turn a function into a partial function (see 3.7.3). When a partial function is applied outside the domain for which the function is defined it will result into a run-time error. A compile time warning is generated that such a situation might arise.

The formal arguments of a function and the function body are contained in a new local scope.
functionName args = expression

- All variable symbols introduced at the left-hand side of a function definition must have different names.

For convenience and effficiency special syntax is provided to express pattern match on data structures of predefined type and record type. They are treated elsewhere (see below).

| SpecialPattern | BasicValuePattern | // | see 4.1.2 |
| :---: | :---: | :---: | :---: |
|  | ListPattern | // | see 4.2.2 |
|  | TuplePattern | // | see 4.3.2 |
|  | ArrayPattern | // | see 4.4.2 |
|  | RecordPattern | // | see 5.2.2 |

3.3 Guards

Guard | = BooleanExpr |  |
| :--- | :--- |
|  | otherwise |

A guard is a Boolean expression attached to a rule alternative that can be regarded as generalisation of the pattern matching mechanism: the alternative only matches when the patterns defined on the left hand-side match and its (optional) guard evaluates to True (see 3.1). Otherwise the next alternative of the function is tried. Pattern matching always takes place before the guards are evaluated.

The guards are tried in textual order. The alternative corresponding to the first guard that yields True will be evaluated. A right-hand side without a guard can be regarded to have a guard that always evaluates to True (the 'otherwise' or 'default' case). In keyword otherwise is synonym for True for people who like to emphasize the default option.

- Only the last rule alternative of a function can have otherwise as guard or can have no guard.
- It is possible that the guards turn the function into a partial function (see 3.6.3). When a partial function is applied outside the domain for which the function is defined it will result into a run-time error. At compile time this cannot be detected.

Function definition with guards.

```
filter:: Int [Int] -> [Int]
filter pr [n:str]
| n mod pr == 0 = filter pr str
| otherwise = [n:filter pr str]
```

Equivalent definition of previous filter.

```
filter:: Int [Int] -> [Int]
filter pr [n:str]
| n mod pr == 0 = filter pr str
    = [n:filter pr str]
```

Guards can be nested. When a guard on one level evaluates to True, the guards on a next level are tried.

- To ensure that at least one of the alternatives of a nested guard will be successful, a nested guarded alternative must always have a 'default case' as last alternative.


## Example of a nested guard.

```
example arg1 arg2
| predicate11 arg1 // if predicate11 arg1
    | predicate21 arg2 = calculate1 arg1 arg2 // then (if predicate21 arg2
    | predicate22 arg2 = calculate2 arg1 arg2 // elseif predicate22 arg2 then
    | otherwise = calculate3 arg1 arg2 // else ...)
| predicate12 arg1 = calculate4 arg1 arg2 // elseif predicate12 arg1 then ...
```

The main body of a function is called the root expression. The root expression is a graph expression.


An expression generally expresses an application of a function to its actual arguments or the (automatic) creation of a data structure simply by appying a data constructor to its arguments. Each function or data constructor can be used in a curried way and can therefore be applied to any number (zero or more) of arguments. A function will only be rewritten if it is applied to a number of arguments equal to the arity of the function (see 3.1). Function and constructors applied on zero arguments just form a syntactic unit (for non-operators no brackets are needed in this case).

All expressions have to be of correct type (see Chapter 5).

- All symbols that appear in an expression must have been defined somewhere within the scope in which the expression appears (see 2.1).
- There has to be a definition for each node variable and selector variable within in the scope of the graph expression.

Operators are special functions or data constructors defined with arity two which can be applied in infix position The precedence (0 through 9) and fixity (infixleft, infixright, infix) which can be defined in the type definition of the operators determine the priority of the operator application in an expression. A higher precedence binds more tightly. When operators have equal precedence, the fixity determines the priority. In an expression an ordinary function application has a very high priority (10). Only selection of record elements (see 5.2.1) and array elements (see 4.4.1) binds more tightly (11). Besides that, due to the priority, brackets can sometimes be omitted; operator applications behave just like other applications.

It is not allowed to apply operators with equal precedence in an expression in such a way that their fixity conflict. So, when in $a_{1} o p p_{1} a_{2} \mathrm{op}_{2} a_{3}$ the operators $\mathrm{op}_{1}$ and $\mathrm{op}_{2}$ have the same precedence a conflict arises when $o$ $p_{1}$ is defined as infixr implying that the expression must be read as $a_{1} o p_{1}\left(a_{2} o p_{2} a_{3}\right)$ while op $p_{2}$ is defined as infixl implying that the expression must be read as ( $a_{1} o p_{1} a_{2}$ ) op $p_{2} a_{3}$.

- When an operator is used in infix position both arguments have to be present. Operators can be used in a curried way (applied to less than two arguments), but then they have to be used as ordinary prefix functions / constructors. When an operator is used as prefix function c.q. constructor, it has to be surrounded by brackets.

There are two kinds of variables that can appear in a graph expression: variables introduced as formal argument of a function (see 3.1 and 3.2 ) and selector variables (defined in a selectorto identify parts of a graph expression, see 3.6)

Example of a cyclix root expression. y is the root expression referring to a cyclic graph. The muliplication operator * is used prefix here in a curried way.

```
ham:: [Int]
ham = y
```

For convenience and efficiency special syntax is provided to create expressions of data structures of predefined type and of record type that is considered as a special kind of algebraic type. They are treated in elsewhere.

| SpecialExpression | I BasicValue | II | see 4.1.1 |
| :--- | :--- | :--- | :--- | :--- |
|  | \| List | II | see 4.2.1 |
|  | Tuple | I/ | see 4.3.1 |
|  | Array | I/ | see 4.4.1 |
|  | ArraySelection | I/ | see 4.4.1 |
|  | Record | I/ | see 5.2.1 |
|  | RecordSelection | I/ | see 5.2.1 |

### 3.4.1

Lambda Abstraction
Sometimes it can be convenient to define a tiny function in an expression "right on the spot". For this purpose one can use a lambda abstraction. An anonymous function is defined which can have several formal arguments that can be patterns as common in ordinary function definitions (see Chapter 3). However, only simple functions can be defined in this way: no guards, no rule alternatives, and no local definitions.

For compatibility with Clean 1.3 it is also allowed to use the arrow ('->') to separate the formal arguments from the function body:

| LambdaAbstr | $=$ | \Pattern $\}=$ GraphExpr |
| :--- | :--- | :--- |
|  | \| $\backslash\{$ Pattern $\} \rightarrow$ GraphExpr |  |

Example of a Lambda expression.

```
AddTupleList:: [(Int,Int)] -> [Int]
AddTupleList list = map (\(x,y) = x+y) list
```

A lambda expression introduces a new scope (see 2.1).

The arguments of the anonemous function being defined have the only a meaning in the corresponding functionbody.

```
arg1 arg2 ... argn = function body
```

For programming convenience a case expression and conditional expression are added.


In a case expression first the discriminating expression is evaluated after which the case alternatives are tried in textual order. Case alternatives are similar to function alternatives. This is not so strange because a case expression is internally translated to a function definition (see the example below). Each alternative contains a left-hand side pattern (see 3.2) that is optionally followed by a let-before (see 3.5.4) and a guard (see 3.3). When a pattern matches and the optional guard evaluates to True the corresponding alternative is chosen. A new block structure (scope) is created for each case alternative (see 2.1).

For compatibility with Clean 1.3.x it is also allowed to use the arrow ('->') to separate the case alternatives:
The variables defined in the patterns have the only a meaning in the corresponding alternative.

```
case expression of
    pattern1 = alternative1
    pattern2 = alternative2
    patternn = alternativen
```

- All alternatives in the case expression must be of the same type.

When none of the patterns matches a run-time error is generated.
The case expression

```
h x = case g x of
    [hd:_] = hd
    [] - abort "result of call g x in h is empty"
```

is semantically equivalent to:

```
h x = mycase (g x)
    mycase [hd:_] = hd
    mycase [] = abort "result of call g x in h is empty"
```

In a conditional expression first the Boolean expression is evaluated after which either the then- or the else-part is chosen. The conditional expression can be seen as a simple kind of case expression.

The then- and else-part in the conditional expression must be of the same type.
$\square$ The discriminating expression must be of type Bool.

Sometimes it is convenient to introduce definitions that have a limited scope and are not visible througout the whole module. One can define functions that have a local scope, i.e. which have only a meaning in a certain program region.

Outside the scope the functions are unknown. This locality can be used to get a better program structure: functions that are only used in a certain program area can remain hidden outside that area.

Besides functions one can also convenient to define constant selectors. Constants are named graph expressions (see 3.6).

| LocalDef | $=$ GraphDef |
| :--- | :--- |
|  | \| FunctionDef |

### 3.5.1

Let Expression: Local Definitions in Expressions

A let expression is an expression that enables to introduce a new scope (see 2.1) in an expression in which local functions and constants can be defined. Such local definitions can be introduced anywhere in an expression using a let expression with the following syntax.

## LetExpresssion

```
= let {{LocalDef}+} in GraphExpr
```

The function and selectors defined in the let block only have a meaning within the expression.

| let |  |
| :--- | :--- |
|  | function arguments $=$ function body |
|  | selector $=$ expr |
| in | expression |

Example of a let expression used within a list comprehension.
doublefibs $n=[$ let $a=$ fib $i$ in $(a, a) \backslash i<-[0 . . n]]$

### 3.5.2

At the end of each function alternative one can locally define functions and constant graphs in a where block.

```
LocalFunctionAltDefs = [where] {{LocalDef}+}
```

Functions and graphs defined in a where block can be used anywhere in the corresponding function alternative (i.e. in all guards and rule alternatives following a pattern, see 3.1) as indicated in the following picture showing the scope of a where block.

The function and selectors defined in the where block can be used locally in the whole function definition.

```
function formal arguments
    | | guard1 = function alternative1
    otherwise = default alternative
    selector = expr
    local_function args = function body
```

sieve and filter are local functions defined in a where block. They have only a meaning inside primes. At the global level the functions are unknown.

```
primes::[Int]
primes = sieve [2..]
where
    sieve::[Int] -> [Int] // local function of primes
    sieve [pr:r] = [pr:sieve (filter pr r)]
    filter::Int [Int] -> [Int] // local function of primes
    filter pr [n:r]
    | n mod pr == 0 = filter pr r
    | otherwise = [n:filter pr r]
```

Notice that the scope rules are such that the formal arguments of the surrounding function alternative are visible to the locally defined functions and graphs. The arguments can therefore directly be addressed in the local definitions. Such local definitions cannot always be typed explicitly (see 3.7).

Alternative definition of primes. The function filter is locally defined for sieve. filter can directly access arguments pr of sieve.

```
primes::[Int]
primes = sieve [2..]
sieve::[Int] -> [Int] // local function of primes
sieve [pr:r] = [pr:sieve (filter r)]
    filter::[Int] -> [Int] // local function of sieve
    filter [n:r]
    | n mod pr == 0 = filter r
    | otherwise = [n:filter r]
```


### 3.5.3

With Block: Local Definitions in a Guarded Alternative

One can also locally define functions and graphs at the end of each guarded rule alternative using a with block.

| LocalFunctionDefs | $=$ | [with] $\{\{$ LocalDef $\}+\}$ |
| :--- | :--- | :--- |
| LocalDef | $=$ | GraphDef |
|  |  | FunctionDef |

Functions and graphs defined in a with block can only be used in the corresponding rule alternative as indicated in the following picture showing the scope of a with block.

The function and selectors defined in the with block can be locally only be used in the corresponding function alternative.


Notice that the scope rules are such that the arguments of the surrounding guarded rule alternative are visible to the locally defined functions and graphs. The arguments can therefore directly be addressed in the local definitions. Such local definitions cannot always be typed explicitly (see 3.7).

Many of the functions for input and output in the Clean I/O library are state transition functions. Such a state is often passed from one function to another in a single threaded way (see Chapter 9 ) to force a specific order of evaluation. This
is certainly the case when the state is of unique type. The threading parameter has to be renamed to distinghuish its different versions. The following example shows a typical example:

Use of state transition functions. The uniquely typed state file is passed from one function to another involving a number of renamings: file, file1, file2)

```
readchars:: *File -> ([Char], *File)
readchars file
| not ok = ([],file1)
| otherwise = ([char:chars], file2)
where
    (ok,char,file1) = freadc file
    (chars,file2) = readchars file1
```

This explicit renaming of threaded parameters not only looks very ugly, these kind of definitions are sometimes also hard to read as well (in which order do things happen? which state is passed in which situation?). We have to admit: an imperative style of programming is much more easier to read when things have to happen in a certain order such as is the case when doing I/O. That is why we have introduced let-before expressions.

Let-before expressions are special let expressions that can be defined before a guard or function body. In this way one can specify sequential actions in the order in which they suppose to happen. Let-before expressions have the following syntax:

```
LetBeforeExpression = # {GraphDef}+
    | #!{GraphDef}+
```

The form with the exclamation mark (\#!) forces the evaluation of the node-ids that appear in the left-hand sides of the definitions. Notice that one can only define constant selectors (GraphDef) in a Let-before expression. One cannot define functions.

Let-before expressions have a special scope rule to obtain an imperative programming look. The variables in the lefthand side of these definitions do not appear in the scope of the right-hand side of that definition, but they do appear in the scope of the other definitions that follow (including the root expression, excluding local definitions in where blocks.

This is shown in the following picture:


Notice that a variable defined in a let-before expression cannot be used in a where expression. The reverse is true however: definitions in the where expression can be used in the let before expression.

Use of let before expressions, short notation, re-using names taking use of the special scope of the let before)

```
readchars:: *File -> ([Char], *File)
readchars file
# (ok,char,file) = freadc file
| not ok = ([],file)
# (chars,file) = readchars file
= ([char:chars], file)
```

```
Equivalent definition renaming threaded parameters)
readchars:: *File -> ([Char], *File)
readchars file
# (ok,char,file1) = freadc file
| not ok = ([],filel)
# (chars, file2) = readchars file1
= ([char:chars], file2)
```

The notation can also be dangerous: the same name is used on different spots while the meaning of the name is not always the same (one has to take the scope into account which changes from definition to definition). However, the notation is rather safe when it is used to thread parameters of unique type. The type system will spot it when such parameters are not used in a correct single threaded manner. We do not recommend the use of let before expressions to adopt an imperative programming style for other cases.

```
Abuse of let before expression.
exchange:: (a, b) -> (b, a)
exchange (x, y)
# temp = x
    x = y
    y = temp
= (x, y)
```


## 3.6

One can give a name to a constant expression (actually a graph), such that the expression can be used in (and shared by) other expressions. One can also identify certain parts of a constant via a projection function called a selector (see below).

## GraphDef $\quad=$ Selector $=[:]$ GraphExpr ;

Graph locally defined in a function: the graph labelled last is shared in the function StripNewline and computed only once.

```
StripNewline:: String -> String
StripNewline "" = ""
StripNewline string
| string !! last<>'\n'= string
| otherwise = string%(0,last-1)
    last = maxindex string
```

When a graph is defined actually a name is given to (part) of an expression. The definition of a graph can be compared with a definition of a constant (data) or a constant (projection) function. However, notice that graphs are constructed according to the basic semantics of Clean (see Chapter 1) that means that multiple references to the same graph will result in sharing of that graph. Recursive references will result in cyclic graph structures. Graphs have the property that they are computed only once and that their value is remembered within the scope they are defined in.

Graph definitions differ from constant function definitions. A constant function definition is just a function defined with arity zero (see 3.1). A constant function defines an ordinary graph rewriting rule: multiple references to a function just means that the same definition is used such that a (constant) function will be recomputed again for each occurrence of the function symbol made. This difference can have consequences for the time and space behavior of function definitions (see 10.2).

The Hamming numbers defined using a locally defined cyclic constant graph and defined by using a globally defined recursive constant function. The first definition (ham1) is efficient because already computed numbers are reused via sharing. The second definition (ham2) is much more inefficient because the recursive function recomputes everything.

```
ham1:: [Int]
ham1 = Y
ham2:: [Int]
ham2 = [1:merge (map ((*) 2) ham2) (merge (map ((*) 3) ham2) (map ((*) 5) ham2 ))]
```

Syntactically the definition of a graph is distinguished from the definition of a function by the symbol which separates lefthand side from right-hand side: " $=:$ " is used for graphs while " $=>$ " is used for functions. However, in general the more common symbol "=" is used for both type of definitions. Generally it is clear from the context what is meant (functions have parameters, selectors are also easy recognisible). However, when a simple constant is defined the syntax is ambiguous (it can be a constant function definition as well as a constant graph definition).

To allow the use of the "=" whenever possible, the following rule is followed. Local constant definitions are by default taken to be graph definitions and therefore shared, globally they are by default taken to be function definitions (see 3.1) and therefore recomputed. If one wants to obtain a different behavior one has to explicit state the nature of the constant definition (has it to be shared or has it to be recomputed) by using "=:" (on the global level, meaning it is a constant graph which is shared) or "=>" (on the local level, meaning it is a constant function and has to be recomputed).

Local constant graph versus local constant function definition: biglist1 and biglist2 is a graph which is computed only once, biglist3 is a constant function which is computed every time it is applied.

```
biglist1 = [1..10000] // a graph (if defined locally)
biglist1 = [1..10000] // a constant function (if defined globally)
biglist2 =: [1..10000] // a graph (always)
biglist3 => [1..10000] // a constant function (always)
```

The garbage collector will collect locally defined graphs when they are no longer connected to the root of the program graph (see Chapter 1).

## Selectors

The left-hand side of a graph definition can be a simple name, but is can also be a more complicated pattern called a selector. A selector is a pattern which introduces one or more new selector variables implicitly defining projection functions to identify (parts of) a constant graph being defined One can identify the sub-graph as a whole or one can identify its components. A selector can contain constants (also user defined constants introduced by algebraic type definitions), variables and wildcards. With a wildcard one can indicate that one is not interested in certain components.

Selectors cannot be defined globally. They can only locally be defined in a let (see 3.5.1), a let-before (see 3.5.4), a where-block (see 3.5.2), and a with-block (see 3.5.3). Selectors can furthermore appear on the left-hand side of generators in list comprehensions (see 4.2.1) and array comprehensions (see 4.4.1).
Selector $=$ BrackPattern // for bracket patterns see 3.2

Use of a selectors to locally select tuple elements.

```
unzip::[(a,b)] -> ([a],[b])
unzip [] = ([],[])
unzip [(x,y):xys] = ([x:xs],[y:ys])
    (xs,ys) = unzip xys
```

- When a selector on the left-hand side of a graph definition is not matching the graph on the right-hand side it will result in a run-time error.
- The selector variables introduced in the selector must be different from each other and not already be used in the same scope and name space (see 1.2).
- To avoid the specification of patterns that may fail at run-time, it is not allowed to test on zero arity constructors. For instance, list used in a selector pattern need to be of form [a:_]. [a] cannot be used because it stands for
[a: []] implying a test on the zero arity constructor []. If the pattern is a record only those fields which contents one is interested in need to be in dicated in the pattern
Arrays cannot be used as pattern in a selector.
Selectors cannot be defined globally.

Although one is in general not obligated to explicitly specify the type of a function (the Clean compiler can in general infer the type) the explicit specification of the type is highly recommended to increase the readability of the program.

| FunctionDef | = [FunctionTypeDef] DefOfFunction |
| :---: | :---: |
| FunctionTypeDef | $\begin{aligned} & =\text { FunctionName : : FunctionType ; } \\ & \text { \| (FunctionName) [Fix][Prec] [:: FunctionType] ; } \end{aligned}$ |
| Fix | $\begin{array}{ll} = & \text { infixl } \\ \text { \| } & \text { infixr } \\ \text { infix } \end{array}$ |
| Prec | $=$ Digit |
| FunctionType | = Type -> Type [ClassContext] [UnqTypeUnEqualities] |
| Type | $=$ \{BrackType\}+ |
| BrackType | $=$ [UniversalQuantVariables] [Strict] [UnqTypeAttrib] SimpleType |
| UniversalQuantVariables | = A. $\{$ TypeVariable \}+: |

An explicit specification is required when a function is exported, or when the programmer wants to impose additional restrictions on the application of the function (e.g. a more restricted type can be specified, strictness information can be added as explained in Chapter 10.1, a class context for the type variables to express overloading can be defined as explained in Chapter 7, uniqueness information can be added as explained in 3.7.53.7.5 Functions with Strict Arguments).

The Clean type system uses a combination of Milner/Mycroft type assignment. This has as consequence that the type system in some rare cases is not capable to infer the type of a function (using the Milner/Hindley system) although it will approve a given type (using the Mycroft system; see Plasmeijer and Van Eekelen, 1993). Also when universally quantified types of rank 2 are used (see 3.7.4), explicite typing by the programmer is required.

The Cartesian product is used for the specification of the function type. The Cartesian product is denoted by juxtaposition of the bracketed argument types. For the case of a single argument the brackets can be left out. In type specifications the binding priority of the application of type constructors is higher than the binding of the arrow $->$. To indicate that one defines an operator the function name is on the left-hand side surrounded by brackets.

The function symbol before the double colon should be the same as the function symbol of the corresponding rewrite rule.

- The arity of the functions has to correspond with the number of arguments of which the Cartesian product is taken. So, in Clean one can tell the arity of the function by its type.

Showing how the arity of a function is reflected in type.

```
map:: (a->b) [a] -> [b]
map f [] = []
map f [x:xs] = [f x : map f xs]
domap:: ((a->b) [a] -> [b]) // domap has arity zero
```

domap $=$ map

The arguments and the result types of a function should be of kind x .

- In the specification of a type of a locally defined function one cannot refer to a type variable introduced in the type specification of a surrounding function (there is not yet a scope rule on types defined). The programmer can therefore not specify the type of such a local function. However, the type will be inferred and checked (after it is lifted by the compiler to the global level) by the type system.

Counter example (illegal type specification). The function $g$ returns a tuple. The type of the first tuple element is the same as the type of the polymorphic argument of f . Such a dependency (here indicated by "^" cannot be specified).

```
f:: a -> (a,a)
f x = g x
where
    // g:: b -> (a^,b)
    g y = (x,y)
```


### 3.7.1

In Clean all symbols (functions and constructors) are defined with fixed arity. However, in an application it is of course allowed to apply them to an arbitrary number of arguments. A curried application of a function is an application of a function with a number of arguments which is less than its arity (note that in Clean the arity of a function can be derived from its type) With the aid of the predefined internal function _AP a curried function applied on the required number of arguments is transformed into an equivalent uncurried function application.

The type axiom's of the Clean type system include for all $s$ defined with arity $n$ the equivalence of $\mathrm{s}:$ : ( $\mathrm{t} 1->$ ( $\mathrm{t} 2-$ >(...(tn->tr)...)) with $\mathrm{s}:$ :t1 t2 ...tn $->$ tr.

An operator is a function with arity two that can be used in infix position. An operator can be defined by enclosing the operator name between parentheses in the left-hand-side of the function definition. An operator has a precedence ( 0 through 9, default 9) and a fixity (infixl, infixr or just infix, default infixl). A higher precedence binds more tightly. When operators have equal precedence, the fixity determines the priority. In an expression an ordinary function application always has the highest priority (10). See also Section 3.1 and 3.4.

- The type of an operator must obey the requirements as defined for typing functions with arity two.
- If the operator is explicitly typed the operator name should also be put between parentheses in the type rule.
$\square$ When an infix operator is enclosed between parentheses it can be applied as a prefix function. Possible recursive definitions of the newly defined operator on the right-hand-side also follow this convention.

Example of an operator definition and its type.

```
(o) infix 8:: (x -> y) (z -> x) -> (z -> y) // function composition
(o) f g = \x -> f (g x)
```


### 3.7.3

Patterns and guards imply a condition that has to be fulfilled before a rewrite rule can be applied (see 3.2 and $\underline{3.3}$ ). This makes it possible to define partial function $s$, functions which are not defined for all possible values of the specified type.

- When a partial function is applied to a value outside the domain for which the function is defined it will result into a run-time error. The compiler gives a warning when functions are defined which might be partial.

With the abort expression (see StdMisc.dcl) one can change any partial function into a total function (the abort expression can have any type). The abort expression can be used to give a user-defined run-time error message

Use of abort to make a function total.

```
fac:: Int -> Int
fac 0 = 1
fac n
| n>=1 = n * fac (n - 1)
| otherwise = abort "fac called with a negative number"
```

When a type of a polymorphic function is specified in CLEAN, the universal quantifier is generally left out.

The function map defined as usual, no universal quantifier is specified:

```
map:: (a->b) [a] -> [b]
map f [] = []
map f [x:xs] = [f x : map f xs]
```

Counter Example. The same function map again, but now the implicit assumed universal quantifier has been made visible. It shows the meaning of the specified type more precisely, but is makes the type definition a bit longer as well. The current version of Clean does not yet allow universal quantifiers on the topmost level !!!!!

```
map:: A.a b:(a->b) [a] -> [b]
map f [] = []
map f [x:xs] = [f x : map f xs]
```

Not yet Implemented: In Clean 2.0 it is allowed to explicitly write down the universal quantifier. One can write down the qualifier A . (for all) direct after the :: in the type definition of a function. In this way one can explicitly introduce the type variables used in the type definition of the function. As usual, the type variables thus introduced have the whole function type definition as scope.

| FunctionType | $=$ Type $->$ Type [ClassContext] [UnqTypeUnEqualities] |
| :--- | :--- |
| Type | $=\{$ BrackType\}+ |
| BrackType | $=$ [UniversalQuantVariables] [Strict] [UnqTypeAttrib] SimpleType |
| UniversalQuantVariables | $=$ A. $\{$ TypeVariable $\}+$ : |

Implemented: CLEAN 2.0 offers Rank 2 polymorphism: it is also possible to specify the universal quantifier with as scope the type of an argument of a function or the type of the result of a function. This makes it possible to pass polymorphic functions as an argument to a function which otherwise would be treated monomorphic. The advantage of the use of Rank 2 polymorphism is that more programs will be approved by the type system, but one explicitely (by writing down the universal quantor) has to specify in the type of function that such a polymorphic function is expected as argument or deliverd as result.

Example: The function $h$ is used to apply a polymorphic function of type (A. a: [a] -> Int) to a list of Int as well as a list of Char. Due to the explicite use of the universal quantifier in the type specification of $h$ this definition is approved.

```
h:: (A.a: [a] -> Int) -> Int
h f = f [1..100] + f ['a'.''z']
Start = h length
```

Counter Example: The function h2 is used to apply a function of type ( $[a]->$ Int) to a list of Int as well as a list of Char. In this case the definition is rejected due to a type unification error. It is assumed that the argument of h2 is unifyable with [a] -> Int, but it is not assumed that the argument of h2 is (A.a: [a] -> Int). So, the type variable $a$ is unified with both Int and Char, which gives rise to a type error.

```
h2:: ([a] -> Int) -> Int
h2 f = f [1..100] + f ['a'..'z']
Start = h2 length
```

Counter Example: The function h3 is used to apply a function to a list of Int as well as a list of Char. Since no type is specified the type inference system will assume $f$ to be of type ([a] -> Int) but not of type (A.a: [a] -> Int). The situation is the same as above and we will again get a type error.

```
h3 f = f [1..100] + f ['a'..'z']
Start = h3 length
```

Clean cannot infer polymorphic functions of Rank 2 automatically! One is obligated to explicitely specify universally quantified types of Rank 2.

- Explicite universal quantification on higher ranks than rank 2 (e.g. quantifiers specified somewhere inside the type specifification of a function argument) is not allowed.
- A polymorhic function of Rank 2 cannot be used in a curried way for those arguments in which the function is universally quantified.

Counter Examples: In the example below it is shown that $f 1$ can only be used when applied to all its arguments since its last argument is universally quantified. The function $f 2$ can be used curried only with respect to its last argument that is not universally quantified.

```
f1:: a (A.b:b->b) -> a
f1 x id = id x
f2:: (A.b:b->b) a -> a
f2 id x = id x
illegal1 = f1 // this will raise a type error
illegal2 = f1 3 // this will raise a type error
legal1 :: Int
legal1 = f1 3 id where id x = x // ok
illegal3 = f2 // this will raise a type error
legal2 :: (a -> a)
legal2 = f2 id where id x = x // ok
legal3 :: Int
legal3 = f2 id 3 where id x = x // ok
```

In the type definition of a function the arguments can optionally be annotated as being strict. In reasoning about functions it will always be true that the corresponding arguments will be in strong root normal form (see 2.1) before the rewriting of the function takes place. In general, strictness information will increase the efficiency of execution (see Chapter 10).

| FunctionType | $=$ Type $->$ Type [ClassContext] [UnqTypeUnEqualities] |
| :--- | :--- |
| Type | $=$ \{BrackType + |
| BrackType | $=[$ UniversalQuantVariables] [Strict] [UnqTypeAttrib] SimpleType |

Example of a function with strict annotated arguments.

```
Acker:: !Int !Int -> Int
Acker 0 j = inc j
Acker i 0 = Acker (dec i) 1
Acker i j = Acker (dec i) (Acker i (dec j))
```

The Clean compiler includes a fast and clever strictness analyzer that is based on abstract reduction (Nöcker, 1993). The compiler can derive the strictness of the function arguments in many cases, such as for the example above. Therefore there is generally no need to add strictness annotations to the type of a function by hand. When a function is exported from a module (see Chapter 2), its type has to be specified in the definition module. To obtain optimal efficiency, the programmer should also include the strictness information to the type definition in the definition module. One can ask the compiler to print out the types with the derived strictness information and paste this into the definition module.

Notice that strictness annotations are only allowed at the outermost level of the argument type. Strictness annotations inside type instances of arguments are not possible (with exception for some predefined types like tuples and lists). Any (part of) a data structure can be changed from lazy to strict, but this has to be specified in the type definition (see 5.1.5).

Chapter 4

## Predefined Types

### 4.1 Basic Types: Int, Real, Char and Bool <br> 4.2 Lists <br> 4.3 Tuples

4.4 Arrays<br>4.5 Predefined Type Constructors<br>4.6 Arrow Types<br>4.7 Predefined Abstract Types

Certain types like Integers, Booleans, Characters, Reals, Lists, Tuples and Arrays are that frequently used that they have been predefined in Clean for reasons of efficiency and/or notational convenience. These types and the syntactic sugar that has been added to create and to inspect (via pattern matching) objects of these popular types are treated in this chapter.

| PredefinedType | $=$ BasicType | I/ | see 4.1 |
| :--- | :--- | :--- | :--- |
|  | I ListType | II | see 4.2 |
|  | TupleType | I/ | see 4.3 |
|  | ArrayType | I/ | see 4.4 |
|  | ArrowType | I/ | see 4.6 |
|  | PredefAbstractType | see 4.7 |  |

In Chapter 5 we will explain how new types can be defined.

## 4.1

 Basic Types: Int, Real, Char and BoolBasic types are algebraic types (see 5.1) which are predefined for reasons of efficiency and convenience: Int (for 32 bits integer values), Real (for 64 bit double precision floating point values), Char (for 8 bits ASCII character values) and Bool (for 8 bits Boolean values). For programming convenience special syntax is introduced to denote constant values (data constructors) of these predefined types. Functions to create and manipulate objects of basic types can be found in the Clean StdEnv library (as indicated below).

There is also a special notation to denote a string (an unboxed array of characters, see 4.4) as well as to denote a list of characters (see 4.2.1).

| BasicType | $=$ Int | // see StdInt.dcl |
| :--- | :--- | :--- |
|  | $\mid$ Real | // see StdReal.dcl |
| Char | // see StdChar.dcl |  |
| Bool | // see StdBool.dcl |  |

### 4.1.1

Creating Constant Values of Basic Type

In a graph expression a constant value of basic type Int, Real, Bool or Char can be created.

| BasicValue | IntDenotation RealDenotation BoolDenotation CharDenotation |  |
| :---: | :---: | :---: |
| IntDenotation; | [Sign]\{Digit\}+ [Sign]0\{OctDigit\}+ [Sign]0x\{HexDigit $\}+$ | // decimal number <br> // octal number <br> // hexadecimal number |



## Examaple of denotations

```
Integer (decimal):
Integer (octal):
Integer (hexadecimal):
Real:
Boolean:
Character:
```

String: "" | "Rinus"|"Marko"|...
List of characters: ['Rinus']|['Marko']|...

### 4.1.2

```
0|1|2|...|8|9|10| ... |-1|-2| ..
00|01|02|...|07|010| ... |-01|-02|
0x0|0x1|0x2|...| 0x8|0x9|0xA|0xB ... |-0x1|-0x2| ...
0.0|1.5|0.314E10|
True | False
'a'|'b'|...|'A'|'B'|...
```

A constant value of predefined basic type Int, Real, Bool or Char (see 4.1) can be specified as pattern.

## BasicValuePattern $=$ BasicValue

- The denotation of such a value must obey the syntactic description given in above.


## Use of Integer values in a pattern.

```
nfib:: Int -> Int
nfib 0 = 1
nfib 1 = 1
nfib n = 1 + nfib (n-1) * nfib (n-2)
```

A list is an algebraic data type predefined just for programming convenience. A list can contain an infinite number of elements. All elements must be of the same type. Lists are very often used in functional languages and therefore the usual syntactic sugar is provided for the creation and manipulation of lists (dot-dot expressions, list comprehensions) while there is also special syntax for a list of characters.

Lists can be lazy (default), and optionally be defined as head strict, spine strict, strict (both head and spine strict), head strict unboxed, and strict unboxed. Lazy, strict and unboxed lists are all objects of different type. All these different types of lists have different time and space properties (see 10.1.3). Because these lists are of different type, conversion functions are needed to change e.g. a lazy list to a strict list. Functions defined on one type of a list cannot be applied to another type of list. However, one can define overloaded functions that can be used on any list: lazy, strict as well as on unboxed lists.

| ListType | $=[[$ ListKind $]$ Type [SpineStrictness] $] \quad / /$ head strict list |
| :--- | :--- | :--- |
| ListKind | $=!$ |


|  | $\mid$ | $\#$ |
| :--- | :--- | :--- |
| SpineStrictness | // head strict, unboxed list |  |

- All elements of a list must be of the same type.

Because lists are very convenient and very frequently used data structures, there are several syntactical constructs in Clean for creating lists, including dot-dot expression and ZF-expressions. Since Clean is a lazy functional language, the default list in Clean is a lazy list. However, in some cases strict lists, spine strict lists and unboxed lists can be more convenient and more efficient.

| List | $=$ ListDenotation |
| :--- | :--- |
|  | $\mid$ DotDotexpression |
|  | IF-expression |

- All elements of a list must be of the same type.

| ListDenotation | $=$ [[ListKind] [\{LGraphExpr\}-list [: GraphExpr]] [SpineStrictness] ] |
| :--- | :--- |
| LGraphExpr | $=$ GraphExpr |
|  | I CharsDenotation |
| CharsDenotation | $=$ CharDel \{AnyCharT CharDel $\}+$ CharDel |
| CharDel | $=$, |

One way to create a list is by explicit enumeration of the list elements. Lists are constructed by adding one or more elements to an existing list.

Various ways to define a lazy list with the integer elements $1,3,5,7,9$.

```
[1:[3:[5:[7:[9:[]]]]]]
[1:3:5:7:9:[]]
[1,3,5,7,9]
[1:[3,5,7,9]]
[1,3,5:[7,9]]
```

A special notation is provided for the frequently used list of characters.
Various ways to define a lazy list with the characters ' $a$ ', ' $b$ ' and ' $c$ '.

```
['a':['b':['c':[]]]]
['a','b','c']
['abc']
['ab','c']
```

| ListKind | $=$ | I/ head strict list |  |
| :--- | :--- | :--- | :--- |
|  | $\mid$ | $\#$ | $/ /$ unboxed list |
| SpineStrictness | $=$ | I/ overloaded list |  |
|  |  | // spine strict list |  |

In Clean any data structure can be made (partially) strict or unboxed (see 10.1). This has consequences for the time and space behavior of the data structure.

For instance, lazy lists are very convenient (nothing is evaluated unless it is really needed for the computation, one can deal with infinite data structures), but they can be inefficient as well if actually always all lists elements are evaluated sooner or later. Strict list are often more efficient, but one has to be certain not to trigger a not used infinite computation. Spine strict lists can be more efficient as well, but one cannot handle infinite lists in this way. Unboxed lists are head strict. The difference with a strict list is that the representation of an unboxed list is more compact: instaed of a pointer to the lists element the list element itself is stored in the list. However, unboxed lists have as disadvantage that they can only be used in certain cases: they can only contain elements of basic type, records and tuples. It does not make sense
to offer unboxing for arbitrary types: boxing saves space, but not if Cons nodes are copied often: the lists elements are copied as well while otherwise the contents could remain shared using the element pointer instead.

In terms of efficiency it can make quite a difference (e.g. strict lists can sometimes be 6 times faster) which kind of list is actually used. But, it is in general not decidable which kind of list is best to use. This depends on how a list is used in a program. A wrong choice might turn a program from useful to useless (too inefficient), from terminating to nonterminating

Because lists are so frequently used, special syntax is provided to make it easier for a programmer to change from one type of list to another, just by changing the kind of brackets used. One can define a list of which the head element is strict but the spine is lazy (indicated by [! ]), a list of which the spine is strict but the head element is lazy (indicated by [ $!]$ ) and a completely evaluated list (indicated by [! ! ]). One can have an unboxed list with a strict head element (indicated by [\# ]) and a completely evaluated unboxed list of which in addition the spine is strict as well (indicated by [\# ! ]).

Note that all these different lists are of different type and consequently these lists cannot be mixed and unified with each other. With conversion functions offered in the Clean libraries it is possible to convert one list type into another. It is also possible to define an overloaded list and overloaded functions that work on any list (see hereafter).

Various types of lists.

```
[ fac 10 : expression ] // lazy list
[! fac 10 : expression ] // head strict list
[! fac 10 : expression !] // head strict and tail strict list
[# fac 10 : expression ] // head strict list, unboxed
[# fac 10 : expression !] // head strict and tail strict list, unboxed
```

- Unboxed data structures can only contain elements of basic type, records and arrays.

One can create an overloaded list that will fit on any type of list (lazy, strict or unboxed).
Example of an overloaded list.

```
[| fac 10 : expression ] // overloaded list
```

Other ways to create lists are via dot-dot expressions and list comprehensions.

## DotDot Expressions

DotDotexpression $=$ [[ListKind] GraphExpr [, GraphExpr] . [GraphExpr] [SpineStrictness] ]
With a dot-dot expression the list elements can be enumerated by giving the first element ( n 1 ), an optional second element (n2) and an optional last element (e).

Alternative ways to define a list a dot dot expression.

```
[1,3..9]
[1..9]
[1..]
['a'..'c']
```

```
// [1,3,5,7,9]
// [1,2,3,4,5,6,7,8,9]
// [1,2,3,4,5 and so on...
// ['abc']
```

The generated list is in general calculated as follows:

```
from then to:: !a !a !a -> .[a] | Enum a
from then to n1 n2 e
| n1 <= n\overline{2}= from by to n1 (n2-n1) e
    = from by down to n1 (n2-n1) e
    from_by_to n s e
    | n<=e = [n : from by to (n+s) s e]
        = []
    from_by_down_to n s e
    | n>=e = [n : from by down to (n+s) s e]
        = []
```

The step size is one by default. If no last element is specified an infinite list is generated.
With a dot-dot expression one can also define a lazy list, a strict list, an unboxed list or an overloaded list.
Different types of lists (lazy, strict, unboxed and overloaded can be defined with a dot dot expression as well.

```
1,3..9 ] // a lazy list
[! 1,3..9 ] // a head strict list
! 1,3..9 !] // a strict list (head and spine)
[# 1,3..9 ] // a head strict list, unboxed
# 1,3..9 !] // a strict list (head and spine), unboxed
[| 1,3..9 ] // an overloaded list
```

Dot-dot expression can only be used if one imports StdEnum from the standard library.
D Dot-dot expressions are predefined on objects of type Int, Real and Char, but dot-dots can also be applied to any user defined data structure for which the class enumeration type has been instantiated (see Cleans Standard Environment).

List Comprehensions

| ZF-expression | $=$ [[ListKind] GraphExpr $\backslash \backslash$ \{Qualifier\}-list [SpineStrictness]]$=$ Generators $\{1$ Guard\} |  |
| :---: | :---: | :---: |
| Qualifier |  |  |
| Generators | $=\{$ Generator\}-list |  |
|  | Generator \{\& Generator\} |  |
| Generator | = Selector <- ListExpr | // select from a lazy list |
|  | \| Selector < 1-ListExpr | // select from an overloaded list |
|  | \| Selector <-: ArrayExpr | // select from an array |
| Selector | = BrackPattern | / for brack patterns see 3.2 |
| ListExpr | = GraphExpr |  |
| ArrayExpr | = GraphExpr |  |
| Guard | = BooleanExpr |  |
| BooleanExpr | = GraphExpr |  |
| ListKind | $=$ ! | // head strict list |
|  | \# | // unboxed list |
|  | 1 \| | // overloaded list |
| SpineStrictness | $=$ ! | // spine strict list |

With a list generator called a ZF-expression one can construct a list composed from elements drawn from other lists or arrays. With a list generator one can draw elements from lazy list. The symbol '<-' is used to draw elements from a lazy list, the symbol '<1-' can be used to draw elements from any (lazy, strict, unboxed) list. With an array generator (use symbol '<-:') one can draw elements from any array. One can define several generators in a row separated by a comma. The last generator in such a sequence will vary first. One can also define several generators in a row separated by a ' $\alpha$ '. All generators in such a sequence will vary at the same time but the drawing of elements will stop as soon of one the generators is exhausted. This construct can be used instead of the zip-functions that are commonly used. Selectors are simple patterns to identify parts of a graph expression. They are explained in Section 3.6. Only those lists produced by a generator that match the specified selector are taken into account. Guards can be used as filter in the usual way.

The scope of the selector variables introduced on the left-hand side of a generator is such that the variables can be used in the guards and other generators that follow. All variables introduced in this way can be used in the expression before the $\backslash \backslash$ (see the picture below).


```
ZF-expression:
expr1 yields [(0,0), (0,1), (0,2), (1,0), (1,1), (1,2), (2,0), (2,1), (2,2), (3,0), (3,1),
(3,2)]
expr2 yields [(0,0), (1,1), (2,2)].
expr3 yields [(0,0), (1,0), (1,1), (2,0), (2,1), (2,2), (3,0), (3,1), (3,2), (3,3)])
expr1 = [(x,y) \\ x <- [0..3], y <- [0..2]]
expr2 = [(x,y) \\ x <- [0..3] & y <- [0..2]]
expr3 =[(x,y) \\x<- [0..3], y<- [0..x]]
```


## ZF-expression: a well-know sort.

```
sort:: [a] -> [a] | Ord a
sort [] = []
sort [p:ps] = sort [x \\ x<-ps | x<=p] ++ [p] ++ sort [x \\ x<-ps | x>p]
```

ZF-expression sorting a strict (head and tail strict) list.

```
ssort:: [!a!] -> [!a!] | Ord a
ssort [!!] = [!!]
ssort [!p:ps!] = ssort [!x \\ x<|-ps |x<=p!] ++ [!p!] ++ ssort [!x \\ x <|-ps | x>p!]
```

Overloaded ZF-expression sorting any list (lazy, strict or unboxed).

```
gsort:: (l a) -> (l a) | Ord a & List l a
gsort [|] = [|]
gsort [|p:ps] = gsort [|x \\ x<|-ps | x<=p] ++ [|p] ++ gsort [|x \\ x <|-ps | x>p]
```

ZF-expression: converting an array into a list.

```
ArrayA = {1,2,3,4,5}
ListA:: [a]
ListA = [a \\ a <-: ArrayA]
```


### 4.2.1

An object of the predefined algebraic type list can be specified as pattern in a function, case expression or list generator.


Notice that only simple list patterns can be specified (one cannot use a dot-dot expression or list comprehension to define a list pattern).

Use of list patterns, use of guards, use of variables to identify patterns and sub-patterns; merge merges two (sorted) lazy lists into one (sorted) list.

```
merge:: [Int] [Int] -> [Int]
merge f [] = f
merge [] s = s
merge f=:[x:xs] s=:[y:ys]
| x<y = [x:merge xs s]
| x==y = merge f ys
| otherwise = [y:merge f ys]
```

merge_u merges two (sorted) head strict unboxed lists into one strict unboxed (sorted) list.

```
merge u:: [#Int] [#Int] -> [#Int]
merge u f [#] = f
merge_u [#] s= s
merge_u f=:[#x:xs] s=:[#y:ys]
| x<y = [#x:merge u xs s]
| x==y = merge u f ys
| otherwise = [#y:merge_u f ys]
```

merge 1 merges two (sorted) lists into one (sorted) list. Any list (lazy, strict or unboxed) can be merged, but both lists have to be the same (both lazy, strict, head strict, tail strict or unboxed)

```
merge l:: (l a)(l a) -> (l a) | List l a
merge l f [|] = f
merge l [|] }s=
merge_l f=:[|x:xs] s=:[|y:ys]
| x<y = [|x:merge l xs s]
| x==y = merge_l f ys
| otherwise = [|y:mērge_l f ys]
```

A tuple is an algebraic data type predefined for reasons of programming convenience and efficiency. Tuples have as advantage that they allow bundling a finite number of objects of arbitrary type into a new object without being forced to define a new algebraic type for such a new object. This is in particular handy for functions that return several values.

| TupleType | $=([$ Strict $]$ Type,$\{[$ Strict $]$ Type $\}$-list $)$ |
| :--- | :--- |
| Strict | $=!$ |

### 4.3.1

Tuples can be created that can be used to combine different (sub-)graphs into one data structure without being forced to define a new type for this combination. The elements of a tuple need not be of the same type. Tuples are in particular handy for functions that return multiple results.
Tuple $=$ (GraphExpr,\{GraphExpr\}-list)

Example of a Tuple of type (String, Int, [Char]).

```
("this is a tuple with",3,['elements'])
```

One can turn a lazy tuple element into a strict one by putting strictness annotations in the corresponding type instance on the tuple elements that one would like to make strict. When the corresponding tuple is put into a strict context the tuple and the strict annotated tuple elements will be evaluated. As usual one has to take care that these elements do not represent an infinite computation.

Strict and lazy tuples are regarded to be of different type. However, unlike is the case with any other data structure, the compiler will automatically convert strict tuples into lazy ones, and the other way around. This is done for programming convenience. Due to the complexity of this automatic transformation, the conversion is done for tuples only! For the programmer it means that he can freely mix tuples with strict and lazy tuple elements. The type system will not complain when a strict tuple is offered while a lazy tuple is required. The compiler will automatically insert code to convert nonstrict tuple elements into a strict version and backwards whenever this is needed.

Example of a complex number as tuple type with strict components.

```
::Complex :== (!Real,!Real)
(+) infixl 6:: !Complex !Complex -> Complex
(+) (r1,i1) (r2,i2) = (r1+r2,i1+i2)
```

which is equivalent to

```
(+) infixl 6:: !(!Real,!Real) !(!Real,!Real) -> (!Real,!Real)
(+) (r1,i1) (r2,i2) = (r1+r2,i1+i2)
```

when for instance $G$ is defined as

```
G:: Int -> (Real,Real)
```

than the following application is approved by the type system:

```
Start = G 1 + G 2
```

An object of the predefined algebraic type tuple can be specified as pattern in a function, case expression or list generator.
TuplePattern $=$ (GraphPattern,\{GraphPattern\}-list)

Example of the use of a pattern match on Tuples to access components of a Complex number.

```
:: complex :== (Real,Real) // complex is defined as type synomyn for (real,Real)
realpart:: Complex -> Real
realpart (re,_) = re // selecting the real part of a Complex number
imagpart:: Complex -> Real
imagpart (_,im) = im // selecting the imaginary part of a Complex number
```

An array is an algebraic data type predefined for reasons of efficiency. Arrays contain a finite number of elements that all have to be of the same type. An array has as property that its elements can be accessed via indexing in constant time. An array index must be an integer value between 0 and the number of elements of the array- 1 . Destructive update of array elements is possible thanks to uniqueness typing. For programming convenience special syntax is provided for the creation, selection and updating of array elements (array comprehensions) while there is also special syntax for strings (i.e. unboxed arrays of characters). Arrays have as disadvantage that their use increases the possibility of a runtime error (indices that might get out-of-range).

To obtain optimal efficiency in time and space, arrays are implemented different depending on the concrete type of the array elements. By default an array is implemented as a lazy array (type \{a\}), i.e. an array consists of a contiguous block of memory containing pointers to the array elements. The same representation is chosen if strict arrays (define its type as \{!a\}) are being used. The difference is that all elements of a strict array will be evaluated if the array is evaluated. It makes no sense to make a distinction between head strictness and tail strictness for arrays as is the case for lists. As usual one has to take care that the strict elements do not represent an infinite computation.

For elements of basic type, record type and tuple type an unboxed array (define its type as \{\#a\}) can be used. In that latter case the pointers are replaced by the array elements themselves. Lazy, strict and unboxed arrays are considered to be objects of different type. However, most predefined operations on arrays are overloaded such that they can be used on lazy, on strict as well as on unboxed arrays.

| ArrayType | $=$ | $\{[$ ArrayKind $]$ Type $\}$ |  |
| :--- | :--- | :--- | :--- |
| ArrayKind | $=$ | ! strict array |  |
|  | I $\#$ | $/ /$ unboxed array |  |

An array is a tuple/record-like data structure in which all elements are of the same type. Instead of selection by position or field name the elements of an array can be selected very efficiently in constant time by indexing. The update of arrays is done destructively in Clean and therefore arrays have to be unique (see Chapter 9) if one wants to use this feature. Arrays are very useful if time and space consumption is becoming very critical (CLEAN arrays are implemented very efficiently). If efficiency is not a big issue we recommend not to use arrays but to use lists instead: lists induce a much better programming style. Lists are more flexible and less error prone: array elements can only be accessed via indices and if you make a calculation error, indices may point outside the array bounds. This is detected, but only at run-time. In Clean, array indices always start with 0 . More dimensional arrays (e.g. a matrix) can be defined as an array of arrays.

For efficiency reasons, arrays are available of several types: there are lazy arrays (type \{a\}), strict arrays (type \{!a\}) and unboxed arrays (e.g. type \{\#Int \}). All these arrays are considered to be of different type. By using the overloading mechanism (type constructor classes) one can still define (overloaded) functions that work on any of these arrays.

| Array | $=$ ArrayDenotation |
| :--- | :--- |
|  | \| ArrayUpdate |

- All elements of an array need to be of same type.

A new array can be created in a number of ways. A direct way is to simply list the array elements.
\(\left.\begin{array}{lll}ArrayDenotation \& =\{GraphExpr\} -list\} <br>

\& \mid \& StringDenotation\end{array}\right]\)| StringDenotation | $=$ StringDel\{AnyChar $\tau$ StringDel $\}$ StringDel |
| :--- | :--- |
| StringDel | $=$ |

By default a lazy array will be created. Arrays are created unique (the * or. attribute in front of the type, see Chapter 4) to make destructive updates possible.

A lazy array is a box with pointers pointing to the array elements. One can also create a strict array (explicitly define its type as \{! Int\}), which will have the property that the elements to which the array box points will always be evaluated. One can furthermore create an unboxed array (explicitly define its type as \{\#Int\}), which will have the property that the evaluated elements (which have to be of basic value) are stored directly in the array box itself. Clearly the last one is the most efficient representation (see also Chapter 10).

Creating a lazy array, strict and unboxed unique array of integers with elements 1,3,5,7,9.

```
MyLazyArray:: .{Int}
MyLazyArray = {1,3,5,7,9}
MyStrictArray:: .{!Int}
MyStrictArray = {1,3,5,7,9}
MyUnboxedArray:: . {#Int }
MyUnboxedArray = {1, 3,5,7,9}
```

Creating a two dimensional array, in this case a unique array of unique arrays of unboxed integers.

```
MatrixA:: {.{#Int}}
MatrixA = {{1,2,3,4},{5,6,7,8}}
```

To make it possible to use operators such as array selection on any of these arrays (of actually different type) a multi parameter type constructor class has been defined (in StdArray) which expresses that "some kind of array structure is created". The compiler will therefore deduce the following general type:

```
Array :: .(a Int)| Array a Int
Array = {1,3,5,7,9}
```

A string is predefined type which equivalent to an unboxed array of characters \{\#Char\}. Notice that this array is not unique, such that a destructive update of a string is not allowed. There is special syntax to denote strings.

Some ways to define a string, i.e. an unboxed array of character.
"abc"
\{'a','b','c'\}
There are a number of handy functions for the creation and manipulation of arrays predefined in Clean's Standard Environment. These functions are overloaded to be able to deal with any type of array. The class restrictions for these functions express that "an array structure is required" containing "an array element".

```
Type of some predefined functions on Arrays.
```

```
createArray :: !Int e ->.(a e) | Array a e // size arg1, a.[i] = arg2
```

createArray :: !Int e ->.(a e) | Array a e // size arg1, a.[i] = arg2
size :: (a e) -> Int | Array a e // number of elements in array

```
size :: (a e) -> Int | Array a e // number of elements in array
```


## Array Update and Array Comprehensions

It is also possible to construct a new array out of an existing one (a functional array update).

| ArrayUpdate | $=\{$ ArrayExpr \& [\{ArrayIndex $\{$ Selection $\}=$ GraphExpr\}-list $][\backslash \backslash\{$ Qualifier $\}$-list $]\}$ |
| :--- | :--- |
| Selection | $\mid$ |
|  | \{[ArrayExpr $\&]$ GraphExpr $\backslash \backslash\{$ Qualifier $\}$-list $\}$ |
| ArrayExpr | $=$.FieldName |
|  |  |
|  | $=$ Arraylndex |
|  |  |

Left from the \& (a \& [i] = v is pronounced as: array a with for a. [i] the value v) the old array has to be specified which has to be of unique type to make destructive updating possible. On the right from the \& those array elements are listed in which the new array differs from the old one. One can change any eelement of the array or any field or array element of a record or array stored in the array. The $\alpha$-operator is strict in its arguments.

An array expression must be of type array.

- The array expression to the left of the update operator ' $\delta$ ' should yield an object of type unique array.
- An array index must be an integer value between 0 and the number of elements of the array-1. An index out of this range will result in a run-time error.
- Unboxed arrays can only be of basic type, record type or array type.
- A unique array of any type created by an overloaded function cannot be converted to a non-unique array.

Important. For reasons of efficiency we have defined the updates only on arrays which are of unique type (* $\{. .$.$\} ), such$ that the update can always be done destructively (!) which is semantically sound because the original unique array is known not to be used anymore.

Creating an array with the integer elements $1,3,5,7,9$ using the update operator.

```
{CreateArray 5 0 & [0] = 1, [1] = 3, [2] = 5, [3] = 7, [4] = 9}
{CreateArray 5 0 & [1] = 3, [0] = 1, [3] = 7, [4] = 9, [2] = 5}
```

One can use an array comprehension or a list comprehension (see 4.2.1) to list these elements compactly in the same spirit as with an list comprehension.

Array comprehensions can be used in combination with the update operator. Used in combination with the update operator the original uniquely typed array is updated destructively. The combination of array comprehensions and update operator makes it possible to selectively update array elements on a high level of abstraction.

Creating an array with the integer elements $1,3,5,7,9$ using the update operator in combination with array and list comprehensions.

```
{CreateArray 5 0 & [i] = 2*i+1 \\ i <- [0..4]}
{CreateArray 5 0 & [i] = elem \\ elem <-: {1,3,5,7,9} & i <- [0..4]}
{CreateArray 5 0 & elem \\ elem <-: {1,3,5,7,9}}
```

Array comprehensions used without update operator automatically generate a whole new array. The size of this new array will be equal to the size of the first array or list generator from which elements are drawn. Drawn elements that are rejected by a corresponding guard result in an undefined array element on the corresponding position.

Creating an array with the integer elements $1,3,5,7,9$ using array and list comprehensions.

```
{elem \\ elem <-: {1,3,5,7,9}}
{elem \\ elem <- [1,3,5,7,9]}
```

Array creation, selection, update). The most general types have been defined. One can of course always restrict to a more specific type.

```
MkArray:: !Int (Int -> e) ->.(a e) | Array a e
MkArray i f = {f j \\ j <- [0..i-1]}
SetArray:: *(a e) Int e ->.(a e) | Array a e
SetArray a i v = {a & [i] = v}
CA:: Int e ->.(a e) | Array a e
CA i e = createArray i e
InvPerm:: {Int} ->.{Int}
InvPerm a = {CA (size a) 0 & [a.[i]] = i \\ i <- [0..maxindex a]}
ScaleArray:: e (a e) ->.(a e) | Array a e & Arith e
ScaleArray x a = {x * e \\ e <-: a}
MapArray:: (a -> b) (ar a) ->.(ar b) | Array ar a & Array ar b
MapArray f a = {f e \\ e <-: a}
inner:: (a e) (a e) ->.(a e) | Array a e & Arith e
inner v w
| size v == size w = {vi * wi \\ vi <-: v & wi <-: w}
| otherwise = abort "cannot take inner product"
ToArray:: [e] ->.(a e) | Array a e
ToArray list = {e \\ e <- list}
ToList:: (a e) ->.[e] | Array a e
ToList array = [e \\ e <-: array]
```

Example of operations on 2 dimensional arrays generating new arrays.

```
maxindex n :== size n - 1
Adj:: {{#Int}} ->.{.{#Int}}
Adj ma = {{ma.[i,j] \\ i <- rowindex} \\ j <- colindex}
    where
    rowindex = [0..maxindex ma]
    colindex = [0..maxindex ma.[0]]
    Multiply:: {{#Int}} {{#Int}} ->.{.{#Int}}
    Multiply a b = { {sum [a.[i,j]*b.[j,k] \\ j <- colindex] \\ k <- rowindex}
        \\ i <- rowindex
        rowindex = [0..maxindex a]
        colindex = [0..maxindex a.[0]]
```

Updating unique arrays using a unique array selection.

```
MyArray:: .{#Real}
MyArray = {1.5,2.3,3.4}
ScaleArrayElem:: *{#Real} Int Real -> .{#Real}
ScaleArrayElem ar i factor
# (elem,ar) = ar![i]
= {ar & [i] = elem*factor}
Scale2DArrayElem:: {*{#Real}} (Int,Int) Real -> {.{#Real}}
Scale2DArrayElem ar (i,j) factor
# (elem,ar) = ar![i].[j]
= {ar & [i].[j] = elem*factor}
Scale2DArrayElem2:: {*{#Real}} (Int,Int) Real -> {.{#Real}}
Scale2DArrayElem2 ar (i,j) factor
# (elem,ar) = ar![i,j]
= {ar & [i,j] = elem*factor}
```

| ArraySelection | $=$ ArrayExpr. ArrayIndex $\{$ Selection $\}$ |
| :--- | :--- |
|  | $\mid$ |
|  | ArrayExpr! ArrayIndex $\{$ Selection $\}$ |
| ArrayIndex | $=[$ IntegerExpr\}-list $]$ |
| IntegerExpr | $=$ GraphExpr |
| Selection | $=$. FieldName |
|  |  |
|  |  |

With an array selection (using the '.' symbol) one can select an array element. When an object a is of type Array, the $\mathrm{i}^{\text {th }}$ element can be selected (computed) via $a$.[i]. Array selection is left-associative: $a .[i, j, k]$ means ( $(a .[i]) .[j]) .[k]$. A "unique" selection using the '!' symbol returns a tuple containg the demanded array element and the original array. This type of array selection can be very handy for destructively updating of uniquely typed arrays with values that depend on the current contents of the array. Array selection binds more tightly (priority 11) than application (priority 10).

### 4.4.2

Array Patterns
An object of type array can be specified as pattern. Notice that only simple array patterns can be specified on the lefthand side (one cannot use array comprehensions). Only those array elements which contents one would like to use in the right-hand side need to be mentioned in the pattern.

| ArrayPattern | $=$ | $\{\{$ GraphPattern $\}$-list $\}$ |
| :--- | :--- | :--- |
|  | $\{\{$ ArrayIndex $=$ Variable $\}$-list $\}$ |  |
| StringDenotation |  |  |

All array elements of an array need to be of same type.

- An array index must be an integer value between 0 and the number of elements of the array-1. Accessing an array with an index out of this range will result in a run-time error.

It is allowed in the pattern to use an index expression in terms of the other formal arguments (of type Int) passed to the function to make a flexible array access possible.

```
Use of array patterns.
Swap:: !Int !Int !*(a e) ->.(a e) | Array a e
Swap i j a=:{[i]=ai,[j]=aj} = {a & [i]=aj,[j]=ai}
```

Predefined types can also be used in curried way. To make this possible a type constructor has been predefined for all predefined types of higher order kind (see also 5.1.2). The kind $x$ stands for any so-called first-order type: a type expecting no further arguments ((Int, Bool, [Int], etcetera). All function arguments are of kind X . The kind $\mathrm{X}->\mathrm{X}$
stands for a type that can be be applied to a (first-order) type, which then yields another first-order type, X -> X -> X expects two type arguments, and so on.

```
Int, Bool, [Int], Tree [Int] :: X
[], Tree, (,) Int, (->) a, {} :: X -> x
(,), (->) :: X -> X -> X
(,,) :: x -> x -> x -> X
```

| PredefinedTypeConstructor | $\begin{aligned} & {[]} \\ & {[!]} \\ & {[!!]} \\ & {[!!]} \\ & {[\#]} \\ & {[\#!]} \\ & (\{,\}+) \\ & \} \\ & \{!\} \\ & \{\#\} \\ & (->) \end{aligned}$ | 11 11 11 11 11 11 11 11 11 | list type constructor head strict list type constructor tail strict list type constructor strict list type constructor unboxed head strict list type unboxed strict list type tuple type constructor (arity >=2) lazy array type constructor strict array type constructor unboxed array type constructor arrow type constructor |
| :---: | :---: | :---: | :---: |

So, all predefined types can be written down in prefix notation as well, as follows:

```
[] a is equivalent with [a]
[! ] a is equivalent with [!a]
[ !] a is equivalent with [a!]
[!!] a is equivalent with [!a!]
[# ] a is equivalent with [#a]
[#!] a is equivalent with [#a!]
(,) a b is equivalent with (a,b)
(,,) a b c is equivalent with (a,b,c) and so on for n-tuples
{} a is equivalent with {a}
{!} a is equivalent with {!a}
{#} a is equivalent with {#a}
(->) a b is equivalent with (a -> b)
```

The arrow type is a predefined type constructor used to indicate function objects (these functions have at least arity one). One can use the Cartesian product (uncurried version) to denote the function type (see 3.7) to obtain a compact notation. Curried functions applications and types are automatically converted to their uncurried equivalent versions.

ArrowType $=$ (Type $->$ Type)
Example of an arrow type.

```
((a b -> c) [a] [b] -> [c])
```

being equivalent with:

```
((a -> b -> c) -> [a] -> [b] -> [c])
```

Abstract data types are types of which the actual definition is hidden (see 5.4). In Clean the types World and File are predefined abstract data types. They are recognised by the compiler and treated specially, either for efficiency or because they play a special role in the language. Since the actual definition is hidden it is not possible to denotate constant values of these predefined abstract types. There are functions predefined in the ClEAN library for the creation and manipulation of these predefined abstract data types. Some functions work (only) on unique objects.

An object of type *World (* indicates that the world is unique) is automatically created when a program is started. This object is optionally given as argument to the Start function (see 2.3). With this object efficient interfacing with the outside world (which is indeed unique) is possible.

An object of type File or *File (unique File) can be created by means of the functions defined in StdFileIo (see Cleans Standard Library). It makes direct manipulation of persistent data possible. The type File is predefined for reasons of efficiency: Clean Files are directly coupled to concrete files.

The type String is a predefined synonym type that is predefined for convenience. The type is synonym for an unboxed array of characers \{\#Char\}.

| PredefType | $=$ World | I/ | see StdWorld.dcl |
| :--- | :--- | :--- | :--- |
|  | \| File | // | see StdFileIO.dcl |
|  | I String | I/ | synonym for \{\#Char\} |

## Chapter 5

# Defining New Types 

### 5.1 Defining Algebraic Data Types <br> 5.3 Defining Synonym Types <br> 5.2 Defining Record Types <br> 5.4 Defining Abstract Data Types

Clean is a strongly typed language: every object (graph) and function (graph rewrite rule) in Clean has a type. The basic type system of Clean is based on the classical polymorphic Milner/Hindley/Mycroft (Milner 1978; Hindley 1969, Mycroft, 1984) type system. This type system is adapted for graph rewriting systems and extended with basic types, (possibly existentially and universially quantified) algebraic types, record types, abstract types and synonym types.

New types can be defined in an implementation as well as in a definition module. Types can only be defined on the global level. Abstract types can only be defined in a definition module hiding the actual implementation in the corresponding implementation module.

| TypeDef | $=$ AlgebraicTypeDef | I/ see 5.1 |
| :--- | :--- | :--- |
|  | RecordTypeDef | $/ /$ see 5.2 |
|  | SynonymTypeDef | $/ /$ see 5.3 |
|  | AbstractTypeDef | see 5.4 |

## 5.1

Defining Algebraic Data Types

With an algebraic data type one assigns a new type constructor (a new type) to a newly introduced data structure. The data structure consists of a new constant value (called the data constructor) that can have zero or more arguments (of any type). Every data constructor must unambiguously have been (pre) defined in an algebraic data type definition Several data constructors can be introduced in one algebraic data type definition which makes it possible to define alternative data structures of the same algebraic data type. The data constructors can, just like functions, be used in a curried way. Also type constructors can be used in a curried way, albeit only in the type world of course.

Polymorphic algebraic data types can be defined by adding (possibly existentially or universally quantified, see below) type variables to the type constructors on the left-hand side of the algebraic data type definition. The arguments of the data constructor in a type definition are type instances of types (that are defined or are being defined).

Types can be preceded by uniqueness type attributes (see Chapter 9). The arguments of a defined data constructor can optionally be annotated as being strict (see 5.1.5).

| AlgebraicTypeDef | $=:$ TypeLhs = ConstructorDef <br> \{। ConstructorDef ; |
| :---: | :---: |
| TypeLhs | = [*] TypeConstructor $\{$ TypeVariable $\}$ |
| TypeConstructor | = TypeName |
| ConstructorDef | = [ExistentalQuantVariables] ConstructorName \{BrackType $\}$ |
|  | \| [ExistentalQuantVariables] (ConstructorName) [Fix][Prec] \{BrackType\} |
| Fix | = infixl |
|  | \| infixr |
|  | \| infix |
| Prec | $=$ Digit |
| BrackType | $=$ [UniversalQuantVariables] [Strict] [UnqTypeAttrib] SimpleType |
| ExistentalQuantVariables | $=\mathrm{E} .\left\{\begin{array}{l}\text { TypeVariable }\}+ \text { : }\end{array}\right.$ |

```
UniversalQuantVariables = A.{TypeVariable }+:
```

Example of an algebraic type definition and its use.

```
::Day = Mon | Tue | Wed | Thu | Fri | Sat | Sun
::Tree a = NilTree
    | NodeTree a (Tree a) (Tree a)
MyTree:: (Tree Int) // constant function yielding a Tree of Int
MyTree = NodeTree 1 NilTree NilTree
```

An algebraic data type definition can be seen as the specification of a grammar in which is specified what legal data objects are of that specific type. Notice that all other Clean types (basic, list, tuple, array, record, abstract types) can be regarded as special cases of an algebraic type.

Constructors with two arguments can be defined as infix constructor, in a similar way as function operators (with fixity (infixl, infixr or just infix, default infixl) and precedence ( 0 through 9, default 9). If infix constructors are surrounded by brackets they can also be used in prefix position (see 3.1 and 3.4). In a pattern match they can be written down in infix position as well.

- When a constructor operator is used in infix position (in an expression or in a in a pattern) both arguments have to be present. Constructor operators can be used in a curried way, but then they have to be used as ordinary prefix constructors (see 3.1 and 3.4).

Algebraic type definition and constructor pattern in function definition.

```
::Tree2 a = (/\) infixl 0 (Tree a) (Tree a)
    | Value a
Mirror:: (Tree2 a) -> Tree2 a
Mirror (left/\right) = Mirror right/\Mirror left
Mirror leaf = leaf
```

Example of an algebraic type defining an infix data constructor and a function on this type; notice that one cannot use a ' $:$ ' because this character is already reserved.

```
::List a = (<:>) infixr 5 a (List a)
    | Nil
Head:: (List a) -> a
Head (x<:>xs) = x
```

All data constructors being defined in the scope must have different names, to make type inferencing possible.
Scope of type definitions.

```
implementation module XYZ
:: Type_contructor type vars = expression
other_definitions
```


### 5.1.1

An algebraic data type can be used in a pattern. The pattern consists of the data constructor (see 3.2 ) with its optional arguments which on its turn can contain sub-patterns A constructor pattern forces evaluation of the corresponding actual argument to strong root normal form since the strategy has to determine whether the actual argument indeed is equal to the specified constructor.

| GraphPattern | $=$ Constructor | I/ | Zero arity Constructor |
| :--- | :--- | :--- | :--- |
|  | I | (Constructor $\{$ Pattern $\}$ | Constructor pattern |
|  | GraphPattern ConstructorName | GraphPattern // | Infix Constructor operator |

Example of an algebraic data type definition and its use in a pattern in function definition.

```
::Tree a = Node a (Tree a) (Tree a)
    | Nil
Mirror:: (Tree a) -> Tree a
Mirror (Node e left right) = Node e (Mirror right) (Mirror left)
Mirror Nil = Nil
```

The data constructor used in a patter must have been defined in an algebraic data type definition.

### 5.1.2

In an algebraic type definition ordinary types can be used (such as a basic type, e.g. Int, or a list type, e.g. [Int], or an instantiation of a user defined type, e.g. Tree Int), but one can also use higher order types. Higher order types can be constructed by curried applications of the type constructors. Higher order types can be applied in the type world in a similar way as higher order functions in the function world. The use of higher order types increases the flexibility with which algebraic types can be defined. Predefined types can also be used in curried way (see 4.5). Higher order types play an important role in combination with type classes (see Chapter 6).

Of course, one needs to ensure that all types are applied in a correct way. To be able to specify the rules that indicate whether a type itself is correct, we introduce the notion of kind. A kind can be seen as the 'type of a type'. In our case, the kind of a type expresses the number of type arguments this type may have. The kind x stands for any so-called firstorder type: a type expecting no further arguments ((Int, Bool, [Int], etcetera). All function arguments are of kind X . The kind $\mathrm{X}->\mathrm{X}$ stands for a type that can be be applied to a (first-order) type, which then yields another first-order type, X -> X -> X expects two type arguments, and so on.

In Clean each top-leve/type should have kind X. A top-level type is a type that occurs either as an argument or result type of a function or as argument type of a data constructor (in some algebraic type definition). The rule for determining the kinds of the type variables (which can be of any order) is fairly simple: The kind of a type variable directly follows from its use. If a variable has no arguments, its kind is X . Otherwise its kind corresponds to the number of arguments to which the variable is applied. The kind of type variable determines its possible instantiations, i.e. it can only be instantiated with a type, which is of the same kind as the type variable itself.

Example of an algebraic type using higher order types; the type variable $t$ in the definition of Tree 2 s of kind $\mathrm{x}->\mathrm{X}$. Tree2 is instantiated with a list (also of kind $\mathrm{X} \rightarrow \mathrm{X}$ ) in the definition of MyTree2.

```
::Tree2 t = NilTree
    | NodeTree (t Int) (Tree2 t) (Tree2 t)
MyTree2:: Tree2 []
MyTree2 = NodeTree [1,2,3] NilTree NilTree
```


### 5.1.3 Defining Algebraic Data Types with Existentially Quantified Variables

An algebraic type definition can contain existentially quantified type variable $s$ (or, for short, existential type variables) (Läufer 1992). These special variables are defined on the right-hand side of the type definition and are indicated by preceding them with " E .". Existential types are useful if one wants to create (recursive) data structures in which objects of different types are being stored (e.g. a list with elements of different types).

| AlgebraicTypeDef | $=::$ TypeLhs $=$ ConstructorDef <br> \{। ConstructorDef , |
| :---: | :---: |
| ConstructorDef | [ExistentalQuantVariables] ConstructorName \{BrackType\} |
|  | \| [ExistentalQuantVariables] (ConstructorName) [Fix][Prec] \{BrackType\} |
| ExistentalQuantVariables | = E. TYypeVariable $\}+$ : |

Example of the use of an existentially quantified type. In this example a record (see 5.2) is defined containing an extentially quantified state $s$, a method to change this state $s$, and a method to convert the state $s$ into a String. Notice that upon creation of the record MyObject the type of the internal state and the methods defined on the state are consistent (in this case the state is of type Int). The methods stored in the object Object can (only) be applied on the state of that object thus enabling an object-oriented style of programming. The concrete type of the state hidden in the object is not visible from outside. To show it to the outside world one has to convert the state, which can be of any type, to an ordinary not existentially quantified type. For instance, PrintState converts the any state into a String. Objects that have states of different type are considered to be of the same type and can for instance be part of the same list.

```
::Object = E.s: {state::s, method::s->s, tostring:: s -> String }
MyObject = { state = 3
    , method = (+) 1
    , tostring = toString
    }
IncrementObject obj=:{method,state} = {obj & state = method state}
PrintState obj=:{tostring,state} = tostring state
Start = PrintState (IncrementObject MyObject) // the result will be 4
```

To ensure correctness of typing, there is a limitation imposed on the use of existentially quantified data structures.

- Once a data structure containing existentially quantified parts is created the type of these components are forgotten. This means that, in general, if such a data structured is passed to another function it is statically impossible to determine the actual types of those components: it can be of any type. Therefore, a function having an existentially quantified data structure as input is not allowed to make specific type assumptions on the parts that correspond to the existential type variables. This implies that one can only instantiate an existential type variable with a concrete type when the object is created. In all other cases it can only be unified with a universally quantified type.

Counter Example. Illegal use of an object with existentially quantified components.
Start $=$ (IncrementObject MyObject). state

### 5.1.4

Defining Algebraic Data Types with Universally Quantified Variables
An algebraic type definition can contain universally quantified type variables (or, for short, universal type variables) of Rank 2 (on the argument position of a data constructor). These special variables are defined on the right-hand side of a type definition on the arguments postion of the data constructor being defined and have to be preceded by an "A." (meaning: "for all"). It can be used to store polymorphic functions that work on arguments of 'any' type. The universal type is very usefull for constructing dictonaries for overloaded funtions (see Chapter 6).

| AlgebraicTypeDef | $\begin{array}{r} =: \text { TypeLhs = ConstructorDef } \\ \text { \{\| ConstructorDef\} ; } \end{array}$ |
| :---: | :---: |
| ConstructorDef | $=$ [ExistentalQuantVariables] ConstructorName \{BrackType\} |
|  | \| [ExistentalQuantVariables] (ConstructorName) [Fix][Prec] \{BrackType\} |
| BrackType | = [UniversalQuantVariables] [Strict] [UnqTypeAttrib] SimpleType |
| UniversalQuantVariables | = A. $\{$ TypeVariable $\}+$ : |

Counter Example. The following program is ill typed. Although an identity function is stored in $\mathrm{T} 2, \mathrm{~T} 2$ can contain any function that can be unified with b $->$ b (for instance Int $->$ Int will do). Therefore a type error is given for $f 2$ since $g$ is applied to both an Int and a Char.

```
:: T2 b = C2 (b -> b)
f2:: (T2 b) -> (Int,Char)
f2 (C2 g) = (g 1, g 'a')
Id::a -> a
Id x = x
Start = f2 (C2 Ids)
```

Example of the use of a universally quantified type. In contrast with the example above it is now specified that T must contain a universally quantified function b -> b . The identity function Id can be stored in T , since its type Id: : a -> a
is actually a shorthand for Id: : A. a: a -> a. A function from Int $\rightarrow$ Int cannot be stored in $T$ since this type is not unifyable with A. a: a -> a.

```
:: T = C (A.b: b -> b)
f:: (T b) -> (Int,Char)
f (C g) = (g 1, g 'a')
Id::a -> a
Id x = x
Start = f (C Ids)
```


### 5.1.5

Functional programs will generally run much more efficient when strict data structures are being used instead of lazy ones. If the inefficiency of your program becomes problematic one can think of changing lazy data structures into strict ones. This has to be done by hand in the definition of the type.

| AlgebraicTypeDef | $\begin{array}{r} =: \text { TypeLhs = ConstructorDef } \\ \text { \{\| ConstructorDef\} ; } \end{array}$ |
| :---: | :---: |
| ConstructorDef | $=$ [ExistentalQuantVariables] ConstructorName \{BrackType\} |
|  | [ExistentalQuantVariables] (ConstructorName) [Fix][Prec] \{BrackType\} |
| Strict | $=$ ! |

In the type definition of a constructor (in an algebraic data type definition or in a the definition of a record type) the arguments of the data constructor can optionally be annotated as being strict. So, some arguments can be defined strict while others can be defined as being lazy. In reasoning about objects of such a type it will always be true that the annotated argument will be in strong root normal form when the object is examined. Whenever a new object is created in a strict context, the compiler will take care of the evaluation of the strict annotated arguments. When the new object is created in a lazy context, the compiler will insert code that will take care of the evaluation whenever the object is put into a strict context. If one makes a data structure strict in a certain argument, it is better not define infinite instances of such a data structure to avoid non-termination.

So, in a type definition one can define a data constructor to be strict in zero or more of its arguments. Strictness is a property of data structure that is specified in its type.

- In general (with the exceptions of tuples) one cannot arbitrary mix strict and non-strict data structures because they are considered to be of different type.

When a strict annotated argument is put in a strict context while the argument is defined in terms of another strict annotated data structure the latter is put in a strict context as well and therefore also evaluated. So, one can change the default lazy semantics of Clean into a (hyper) strict semantics as demanded. The type system will check the consistency of types and ensure that the specified strictness is maintained.

There is no explicit notation for creating unboxed versions of an algebraic data type. The compiler will automatically choose the most efficient representation for a given data type. For algebraic data type definitions containing strict elements of basic type, record type and array type an unboxed representation will be chosen.

Example: both integer values in the definition of Point are strict and will be stored unboxed since they are known to be of basic type. The integer values stored in MyPoint are strict as well, but will be stored unboxed since MyTuple is polymorphic.

```
::Point = (!Int,!Int)
::MyTuple a = Pair !a !a
::MyPoint :== MyTuple Int
```

A user defined lazy list similar to type [a] could be defined in algebraic type definition as follows:

```
::LazyList a = LazyCons a (LazyList a)
```

| LazyNil

A head strict lists similar to type [!a] could be defined in algebraic type definition as follows:

```
::HeadSList a = HeadSCons !a (HeadSList a)
    | HeadSNil
```

A tail strict lists similar to type [a!] could be defined in algebraic type definition as follows:

```
::TailSList a = TailSCons a !(TailSList a)
    | TailSNil
```

A strict lists similar to type [!a!] could be defined in algebraic type definition as follows:

```
::StrictList a = StrictCons !a !(StrictList a)
```

An unboxed lists similar to type [\# Int] could be defined in algebraic type definition as follows:

```
::UnboxedIList = UnboxedICons !Int UnboxedIList
    | UnboxedINil
```

An unboxed lists similar to type [\#Int!] could be defined in algebraic type definition as follows:

```
::UnboxedIList = UnboxedICons !Int !UnboxedIList
    | UnboxedINil
```

Other semantic restrictions on algebraic data types:
$\square$ The name of a type must be different from other names in the same scope and name space (see 2.1).

- The name of a type variable must be different from other type variable names in the same scope and name space
- All type variables used on the right-hand side are bound, i.e. must either be introduced on the left-hand side of the algebraic type being defined, or they must be bound by an existential quantifier on the right-hand side, or, they must be bound by a universal quantifier specified on the corresponding argument.
- A data constructor can only be defined once within the same scope and name space. So, each data constructor unambiguously identifies its type to make type inferrencing possible.
- When a data constructor is used in infix position both arguments have to be present. Data constructors can be used in a curried way in the function world, but then they have to be used as ordinary prefix constructors.
- Type constructors can be used in a curried way in the type world; to use predefined bracket-like type constructors (for lists, tuples, arrays) in a curried way they must be used in prefix notation.
- The right-hand side of an algebraic data type definition should yield a type of kind X , all arguments of the data constructor being defined should be of kind x as well.
- A type can only be instantiated with a type that is of the same kind.
- An existentially quantified type variable specified in an algebraic type can only be instantiated with a concrete type (= not a type variable) when a data structure of this type is created.
5.2


## Defining Record Types

A record type is basically an algebraic data type in which exactly one constructor is defined. Special about records is that a field name is attached to each of the arguments of the data constructor and that

- records cannot be used in a curried way.

Compared with ordinary algebraic data structures the use of records gives a lot of notational convenience because the field names enable selection by field name instead of selection by position When a record is created all arguments of the constructor have to be defined but one can specify the arguments in any order. Furthermore, when pattern matching is performed on a record, one only has to mention those fields one is interested in (see 5.2.2). A record can be created via a functional update (see 5.2.1). In that case one only has to specify the values for those fields that differ from the old record. Matching and creation of records can hence be specified in Clean in such a way that after a change in the structure of a record only those functions have to be changed that are explicitly referring to the changed fields.

Existential and universal type variables (see 5.1.3 and 5.1.4) are allowed in record types (as in any other type). The arguments of the constructor can optionally be annotated as being strict (see 10.1). The optional uniqueness attributes are treated in Chapter 9.

## RecordTypeDef

 $=::$ TypeLhs $=$ [UniversalQuantVariables] $\{\{$ FieldName $::[$ Strict $]$ Type $\}$-list $\}$As data constructor for a record the name of the record type is used internally.
The semantic restrictions that apply for algebraic data types also hold for record types.

- The field names inside one record all have to be different. It is allowed to use the same field name in different records. If the same names are used in different records, one can explicitly specify the intended record type when the record is constructed.


## Example of an record definition.

```
::Complex = { re:: Real
    , im:: Real
    }
```

The combination of existential type variables in record types are of use for an object oriented style of programming.
Example of the use of an existentially quantified record. One can create an object of a certain type that can have different representations.

```
::Object = E.x: { state :: x
    , get :: x -> Int
    , set :: x Int -> x
CreateObject1:: Object
CreateObject1 = {state = [], get = myget, set = myset}
    myget:: [Int] -> Int
    myget [i:is] = i
    myget [] = 0
    myset:: [Int] Int -> [Int]
    myset is i = [i:is]
CreateObject2 = {state = 0.0, get = myget, set = myset}
    myget:: Real -> Int
    myget r = toInt r
    myset:: Real Int -> Real
    myset r i = r + toReal i
Get:: Object -> Int
Get {state,get} = get state
Set:: Object Int -> Object
Set o=:{state,set} i = {o & state = set state i}
Start:: [Object]
Start = map (Set 3) [CreateObject1,CreateObject2]
```

Example of a complex number as record type with strict components.

```
::Complex = { re:: !Real
(+) infixl 6:: !Complex !Complex -> Complex
(+) {re=r1,im=i1} {re=r2,im=i2} = {re=r1+r2,im=i1+i2}
```


### 5.2.1

Creating Records and Selection of Record Fields

A record is a tuple-like algebraic data structure that has the advantage that its elements can be selected by field name rather then by position.

Record | $=$ | RecordDenotation |
| ---: | :--- |
|  | $\mid \quad$ RecordUpdate |

The first way is to create a record is by explicitly defining a value for each of its fields.

| RecordDenotation $\quad=\{[$ TypeName $\mid]\{$ FieldName $=$ Gr | Expr\}-list] \} |
| :---: | :---: |
| Creation of a record . |  |
| $\begin{aligned} \text { : : Point } & \{x:: \text { Real } \\ & , y: \text { Real } \\ & \} \end{aligned}$ | // record type definition |
| $\begin{aligned} : \text { ColorPoint }= & \{p:: \text { Point } \\ & \} \text { c:: Color } \end{aligned}$ | // record type definition |
| : Color $\quad=$ Red \| Green | Blue | // algebraic type definition |
| CreateColorPoint: (Real,Real) Color -> ColorPoint | // type of function |
| $\begin{aligned} \text { CreateColorPoint (px,py) col }=\left\{\begin{array}{c} c=c o l \\ \\ , p=\{x=p x \\ \\ \\ \} \end{array}\right)=p=p y \end{aligned}$ | // function creating a new record |

- A record can only be used if its type has been defined in a record type definition; the field names used must be identical to the field names specified in the corresponding type.
When creating a record explicitly, the order in which the record fields are instantiated is irrelevant, but all fields have to get a value; the type of these values must be an instantiation of the corresponding type specified in record type definition. Curried use of records is not possible.
- When creating a record, its type contructor that can be used to disambiguate the record from other records; the type constructor can be left out if there is at least one field name is specified which is not being defined in some other record.

Record Update

The second way is to construct a new record out of an existing one (a functional record update).

| RecordUpdate | $=\{[$ TypeName \|][RecordExpr $\&][\{$ FieldName $\{$ Selection $\}=$ GraphExpr $\}-$ list $\}\}$ |
| :--- | :--- |
| Selection | $=$. FieldName |
| RecordExpr | $=$. ArrayIndex |
|  |  |

- The record expression must yield a record.

The record written to the left of the $\&(r \& f=v$ is pronounced as: $r$ with for $f$ the value $v$ ) is the record to be duplicated. On the right from the \& the structures are specified in which the new record differs from the old one. A structure can be any field of the record or a selection of any field or array element of a record or array stored in this record. All other fields are duplicated and created implicitly. Notice that the functional update is not an update in the
classical, destructive sense since a new record is created. The functional update of records is performed very efficient such that we have not added support for destructive updates of records of unique type. The $\&$-operator is strict in its arguments.

Updating a record within arecord using the functional update.

```
MoveColorPoint:: ColorPoint (Real,Real) -> ColorPoint
MoveColorPoint cp (dx,dy) = {cp & p.x = cp.p.x + dx, p.y = c.p.y + dy}
```

| RecordSelection | $=$ RecordExpr [.TypeName]. FieldName \{Selection\} |
| :--- | :--- |
| Selection | I RecordExpr [.TypeName]! FieldName \{Selection\} |
|  | $=$. FieldName |
|  | I . Arraylndex |

With a record selection (using the '.' symbol) one can select the value stored in the indicated record field. A "unique" selection using the '!' symbol returns a tuple containg the demanded record field and the original record. This type of record selection can be very handy for destructively updating of uniquely typed records with values that depend on the current contents of the record. Record selection binds more tightly (priority 11) than application (priority 10).

## Record selection.

```
GetPoint:: ColorPoint -> Point
GetPoint cp = cp.p // selection of a record field
GetXPoint:: ColorPoint -> Real
GetXPoint cp = cp.p.x // selection of a record field
GetXPoint2:: *ColorPoint -> (Real,.ColorPoint)
GetXPoint2 cp = cp!p.x // selection of a record field
```


### 5.2.2

An object of type record can be specified as pattern. Only those fields which contents one would like to use in the righthand side need to be mentioned in the pattern.

```
RecordPattern = {[TypeName I]{FieldName [= GraphPattern]}-list}
```

- The type of the record must have been defined in a record type definition.
- The field names specified in the pattern must be identical to the field names specified in the corresponding type.

When matching a record, the type contructor which can be used to disambiguate the record from other records, can only be left out if there is at least one field name is specified which is not being defined in some other record.

Use of record patterns.

```
::Tree a = Node (RecTree a)
::RecTree a = { elem :: a
    , left :: Tree a
    , right :: Tree a
    }
Mirror:: (Tree a) -> Tree a
Mirror (Node tree=:{left=l,right=r}) = Node {tree & left=r,right=l}
Mirror leaf = leaf
```

The first alternative of function Mirror defined in another equivalent way.

```
Mirror (Node tree) = Node {tree & left=tree.right,right=tree.left}
or
Mirror (Node tree=:{left,right}) = Node {tree & left=right,right=left}
```


## 5.3

 Defining Synonym TypesSynonym types permit the programmer to introduce a new type name for an existing type.

## SynonymTypeDef $=::$ TypeLhs :== [UniversalQuantVariables]Type ;

- For the left-hand side the same restrictions hold as for algebraic types.

■ Cyclic definitions of synonym types (e.g. : : T a b :== G a b; ::G a b :== T a b) are not allowed.
Example of a type synonym definition.

```
::Operator a :== a a -> a
map2:: (Operator a) [a] [a] -> [a]
map2 op [] [] = []
map2 op [f1:r1] [f2:r2] = [op f1 f2 :map2 op r1 r2]
Start:: Int
Start = map2 (*) [2,3,4,5] [7,8,9,10]
```

A type can be exported by defining the type in a Clean definition module (see Chapter 2). For software engineering reasons it sometimes better only to export the name of a type but not its concrete definition (the right-hand side of the type definition). The type then becomes an abstract data type. In Clean this is done by specifying only the left-hand-side of a type in the definition module while the concrete definition (the right-hand side of the type definition) is hidden in the implementation module. So, Clean's module structure is used to hide the actual implementation. When one wants to do something useful with objects of abstract types one need to export functions that can create and manipulate objects of this type as well.

Abstract data type definitions are only allowed in definition modules, the concrete definition has to be given in the corresponding implementation module.

- The left-hand side of the concrete type should be identical to (modulo alpha conversion for variable names) the lefthand side of the abstract type definition (inclusive strictness and uniqueness type attributes).


## AbstractTypeDef $=::$ TypeLhs ;

Example of an abstract data type.

```
definition module stack
::Stack a
Empty :: (Stack a)
isEmpty :: (Stack a) -> Bool
Top :: (Stack a) -> a
Push :: a (Stack a) -> Stack a
Pop :: (Stack a) -> Stack a
```

```
implementation module stack
::Stack a :== [a]
Empty:: (Stack a)
Empty = []
isEmpty:: (Stack a) -> Bool
isEmpty [] = True
isEmpty s = False
Top:: (Stack a) -> a
Top [e:s] = e
Push:: a (Stack a) -> Stack a
Push e s = [e:s]
Pop:: (Stack a) -> Stack a
Pop [e:s] = s
```


## Overloading

| 6.1 | Type Classes |
| :--- | :--- |
| 6.2 | Functions Defined in Terms of Overloaded |
|  | Functions |
| 6.3 | Instances of Type Classes Defined in Terms of |
|  | Overloaded Functions |
| 6.4 | Type Constructor Classes |
| 6.5 | Overlapping Instances |

6.6 Internal Overloading6.7 Defining Derived Members in a Class6.8 A Shorthand for Defining Overloaded Functions
6.9 Classes Defined in Terms of Other Classes
6.10 Exporting Type Classes

Clean allows functions and operators to be overloaded. Type classes and type constructor classes are provided (which look similar to Haskell (Hudak et al. 1992) and Gofer (Jones, 1993), although our classes have slightly different semantics) with which a restricted context can be imposed on a type variable in a type specification.

If one defines a function it should in general have a name that is different from all other function names defined within the same scope and name space (see 2.1). However, it is sometimes very convenient to overload certain functions and operators (e.g.,,$+-==$ ), i.e. use identical names for different functions or operators that perform similar tasks albeit on objects of different types.

In principle it is possible to simulate a kind of overloading by using records. One simply defines a record (see 5.2) in which a collection of functions are stored that somehow belong to each other. Now the field name of the record can be used as (overloaded) synonym for any concrete function stored on the corresponding position. The record can be regarded as a kind of dictionary in which the concrete function can be looked up.

Example of the use of a dictionary record to simulate overloading. sumlist can use the field name add as synonym for any concrete function obeying the type as specified in the record definition. The operators $+.,+^{\wedge}, L^{\text {. and }}-^{\wedge}$ are assumed to be predefined primitives operators for addition and subtraction on the basic types Real and Int.

```
::Arith a = { add ll: a a -> a
ArithReal = { add = (+.), subtract = (-.) }
ArithInt = { add = (+^), subtract = (-^) }
sumlist:: (Arith a) [a] [a] -> [a]
sumlist arith [x:xs] [y:ys] = [arith.add x y:sumlist arith xs ys]
sumlist arith x y = []
Start = sumlist ArithInt [1..10] [11..20]
```

A disadvantage of such a dictionary record is that it is syntactically not so nice (e.g. one explicitly has to pass the record to the appropriate function) and that one has to pay a huge price for efficiency (due to the use of higher order functions) as well. Clean's overloading system as introduced below enables the Clean system to automatically create and add dictionaries as argument to the appropriate function definitions and function applications. To avoid efficiency loss the Clean compiler will substitute the intended concrete function for the overloaded function application where possible. In worst case however Clean's overloading system will indeed have to generate a dictionary record that is then automatically passed as additional parameter to the appropriate function.

In a type class definition one gives a name to a set of overloaded functions (this is similar to the definition of a type of the dictionary record as explained above). For each overloaded function or operator which is a member of the class the overloaded name and its overloaded type is specified. The type class variables are used to indicate how the different instantiations of the class vary from each other. ClEAN 2.0 offers multi-parameter type constructor classes, similar to those available in Haskell.

| TypeClassDef | $=$class ClassName TypeVariable+ [ClassContext] <br> [[where] \{ \{ClassMemberDef\}+ \}] <br> class FunctionName TypeVariable+ $:: ~: ~ F u n c t i o n T y p e ; ~$ |
| :--- | :--- |
| class (FunctionName) [Fix][Prec] TypeVariable+ : : FunctionType; |  |
| ClassMemberDef |  |
| $=$ | FunctionTypeDef <br> [MacroDef] |

Example of the definition of a type class; in this case the class named Arith contains two overloaded operators.

```
class Arith a
where
    (+) infixl 6:: a a -> a
    (-) infixl 6:: a a -> a
```

Example. Classes can have several type class variables.

```
class Arith2 a b c
where
    (:+:) infixl 6:: a b -> c
```

With an instance declaration an instance of a given class can be defined (this is similar to the creation of a dictionary record). When the instance is made one has to be specify for which concrete type an instance is created. For each overloaded function in the class an instance of the overloaded function or operator has to be defined. The type of the instance can be found via uniform substitution of the type class variables by the corresponding type instances specified in the instance definition.


Example of the definition of an instance of a type class Arith for type Int. The type of the concrete functions can be obtained via uniform substitution of the type class variable in the class definition by the corresponding type specified in the instance definition. One is not obliged to repeat the type of the concrete functions instantiated (nor the fixity or associativity in the case of operators).

```
instance Arith Int
where
    (+):: Int Int -> Int
    (+) x y = x +^^ y
    (-):: Int Int -> Int
    (-) x y = x -^ y
```

Example of the definition of an instance of a type class Arith for type Real.

```
instance Arith Real
where
    (+) x y = x +. y
    (-) x y = x -. y
```

Example. Instantiation of Arith2 using the instantiations of Arith specified above.

```
instance Arith2 Int Int Int where (:+:) x y = x + y
instance Arith2 Int Real Real where (:+:) x y = toReal x + y
instance Arith2 Real Int Real where (:+:) x y = x + toReal y
instance Arith2 Real Real Real where (:+:) x y = x + y
```

One can define as many instances of a class as one likes. Instances can be added later on in any module that has imported the class one wants to instantiate.

When an instance of a class is defined a concrete definition has to be given for all the class members.
6.2 Functions Defined in Terms of Overloaded Functions

When an overloaded name is encountered in an expression, the compiler will determine which of the corresponding concrete functions/operators is ment by looking at the concrete type of the expression. This type is used to determine which concrete function to apply.

All instances of a type variable of a certain class have to be of a flat type (see the restrictions mentioned in $\underline{6.11}$ ).
If it is clear from the type of the expression which one of the concrete instantiations is meant the compiler will in principle substitute the concrete function for the overloaded one, such that no efficiency is lost.

Example of the substitution of a concrete function for an overloaded one). given the definitions above the function
inc $n=n+1$
will be internally transformed into
inc $\mathrm{n}=\mathrm{n}+^{\wedge} 1$
However, it is very well possible that the compiler, given the type of the expression, cannot decide which one of the corresponding concrete functions to apply. The new function then becomes overloaded as well.

For instance, the function

```
add x y = x + y
```

becomes overloaded as well because it cannot be determined which concrete instances can be applied: add can be applied to arguments of any type, as long as addition (+) is defined on them.

This has as consequence that an additional restriction must be imposed on the type of such an expression. A class context has to be added to the function type to express that the function can only be applied provided that the appropriate type classes have been instantiated (in fact one specifies the type of the dictionary record which has to be passed to the function in worst case). Such a context can also be regarded as an additional restriction imposed on a type variable, introducing a kind of bounded polymorphism.

| FunctionType | $=$ Type $->$ Type [ClassContext] [UnqTypeUnEqualities] |
| :--- | :--- |
| ClassContext | $=$ \| ClassName-list TypeVariable $+\{\&$ ClassName-list TypeVariable +$\}$ |

Example of the use of a class context to impose a restriction on the instantiation of a type variable. The function add can be applied on arguments of any type under the condition that an instance of the class Arith is defined on them.

```
add:: a a -> a | Arith a
add x y = x + y
```

Clean's type system can infer class contexts automatically. If a type class is specified as a restricted context the type system will check the correctness of the specification (as always a type specification can be more restrictive than is deduced by the compiler).

The concrete functions defined in a class instance definition can also be defined in terms of (other) overloaded functions. This is reflected in the type of the instantiated functions. Both the concrete type and the context restriction have to be specified.

Example of an instance declaration with a type which is depending on the same type class. The function + on lists can be defined in terms of the overloaded operator + on the list elements. With this definition + is defined not only on lists, but also on a list of lists etcetera.

```
instance Arith [a] | Arith a // on lists
where
    (+) infixl 6:: [a] [a] -> [a] | Arith a
    (+) [x:xs] [y:ys] = [x + y:xs + ys]
    (+) _ _ = []
    (-) infixl 6:: [a] [a] -> [a] | Arith a
    (-) [x:xs] [y:ys] = [x - y:xs - ys]
    (-) _ _ = []
```

Equality class.

```
class Eq a
where
    (==) infix 2:: a a -> Bool
instance Eq [a] | Eq a // on lists
where
    (==) infix 2:: [a] [a] -> Bool | Eq a
    (==) [x:xs] [y:ys] = x == y && xs == ys
    (==) [] [] = True
    (==) = False
```

The Clean type system offers the possibility to use higher order types (see 3.7.1). This makes it possible to define type constructor classes (similar to constructor classes as introduced in Gofer, Jones (1993)). In that case the overloaded type variable of the type class is not of kind $x$, but of higher order, e.g. $\mathrm{x}-\mathrm{x} \mathrm{x}, \mathrm{x}->\mathrm{x}->\mathrm{x}$, etcetera. This offers the possibility to define overloaded functions that can be instantiated with type constructors of higher order (as usual, the overloaded type variable and a concrete instantiation of this type variable need to be of the same kind). This makes it possible to overload more complex functions like map and the like.

Example of a definition of a type constructor class. The class Functor including the overloaded function map which varies in type variable f of kind X -> X .

```
class Functor f
where
    map:: (a -> b) (f a) -> (f b)
```

Example of an instantiation of a type constructor class. An instantiation of the well-known function map applied on lists ( $[$ ] is of kind $\mathrm{X} \rightarrow \mathrm{X}$ ), and a map function defined on Tree's (Tree is of kind $\mathrm{X} \rightarrow \mathrm{x}$ ).

```
instance Functor []
where
    map:: (a -> b) [a] -> [b]
    map f [x:xs] = [f x : map f xs]
    map f [] = []
::Tree a = (/\) infixl 0 (Tree a) (Tree a)
        | Leaf a
instance Functor Tree
where
    map:: (a -> b) (Tree a) -> (Tree b)
    map f (l/\r) = map f l /\ map f r
    map f (Leaf a) = Leaf (f a)
```

Clean 2.0 offers the possibility to define generic functions. With generic functions one is able to define a function like map once that works for anytype (see ???).

## 6.5

 Overlapping InstancesIdentical instances of the same class are not allowed. The compiler would not know which instance to choose. However, it is not required that all instances are of different type. It is allowed to specify an instance of a class of which the types overlap with some other instance given for that class, i.e. the types of the different class instances are different but they can be unified with each other. It is even allowed to specify an instance that works for any type, just by instantiating with a type variable instead of instantiating with a concrete type. This can be handy to define a simple default case (see also the section one generic definitions). If more than one instance is applicable, the compiler will always choose the most specific instantiation.

Example of overlapping instances. Below there are three instances given for the class Eq: one for Integer values, one for Real values, and one for objects of any type. The latter instance is more general and overlaps with both the other instances that are more specific. If Integers or Reals are compared, the corresponding equality function will be chosen, For all other types for which no specific instances for equality are defined, the general instance will be chosen.

```
class Eq a
where
    (==) infix 2:: a a -> Bool
instance Eq Int // on Integers
where
    (==) x y = x ==^ y
instance Eq Real // on Reals
where
    (==) x y = x ==. y
instance Eq a // generic instance for Eq
where
    (==) x y = False
```

It is sometimes unclear which of the class instances is the most specific. In that case the lexicalgraphic order is chosen looking at the specified instances (with type variables always <= type constructors).

Example of overlapping instances. The two instances of class C overlap with each other. In the Start rule the function $f$ is applied to two Boolean values. In this case any of the two instances of $f$ could be chosen. They both can be applied (one has type $f:$ Bool a -> Bool, the other $f:: a$ Bool -> Bool, Start requires $f:$ : Bool Bool -> Bool). The compiler will choose the first instance, because in lexicalgraphical order instance C Bool dontcare <= instance C dontcare Bool.

```
class C a1 a2
where
    f:: a1 a2 -> Bool
instance C Bool dontcare
where
    f b x = b
instance C dontcare Bool
where
    f x b = b
Start = f True False // the result will yield True
```

6.6

Internal Overloading

It is possible that a Clean expression using overloaded functions is internally ambiguously overloaded. The problem can occur when an overloaded function is used which has on overloaded type in which a overloaded type variable appears on the right-hand side of the ->. If such a function is applied in such a way that the overloaded type does not appear in the resulting type of the application, any of the available instances of the overloaded function can be used.

- In that case that an overloaded function is internally ambiguously overloaded the compiler cannot determine which instance to take: a type error is given.

Counter example (ambiguous internal overloaded expression). The function body of $£$ is internally ambiguously overloaded which results in a type error. It is not possible to determine whether its argument should be converted to an Int or to a Bool.

```
class Read a:: a -> String
```

class Write a:: String -> a
instance Read Int, Bool // export of class instance, see 6.10
instance Write Int, Bool
f:: String -> String
f $\mathrm{x}=$ Write (Read x ) // ! This results in a type error !

One can solve such an ambiguity by splitting up the expression in parts that are typed explicitly such that it becomes clear which of the instances should be used.

```
f:: String -> String
f x = Write (MyRead x)
    MyRead:: Int -> String
    MyRead x = Read x
```

Counter example (ambiguous internal overloaded expression). The function :+: is internally ambiguously overloaded which results in a type error. The compiler is not able to infer the result type cof the multi parameter type class Arith2 a b c. The reason is that the compiler will first do the type unification and than tries to solve the overloading. In this case solving the overloading will have consequences for other overloading situations. The system can only solve one overloaded situation at a time and solving the overloading may not have any effect on other unifications.

Start : : Int
Start = 2 :+: 3 :+: 4

Example (ambiguous internal overloaded expression). By explicitely specifying types the overloading can be solved. The following program is accepted.

```
Start:: Int
Start = 2 :+: more
where
    more:: Int
    more = 3 :+: 4
```

6.7 Defining Derived Members in a Class

The members of a class consist of a set of functions or operators that logically belong to each other. It is often the case that the effect of some members (derived members) can be expressed in others. For instance, <> can be regarded as synonym for not $(==)$. For software engineering (the fixed relation is made explicit) and efficiency (one does not need to include such derived members in the dictionary record) it is good to make this relation explicit. In Clean the existing macro facilities (see Chapter 10.3) are used for this purpose.

Classes with macro definitions to specify derived members.

```
class Eq a
where
    (==) infix 2:: a a -> Bool
    (<>) infix 2:: a a -> Bool | Eq a
    (<>) x y :== not (x == y)
class Ord a
where
    (<) infix 2:: a a -> Bool
    (>) infix 2:: a a -> Bool | Ord a
    (>) x y :== y < x
    (<=) infix 2:: a a -> Bool | Ord a
    (<=) x y :== not (y<x)
    (>=) infix 2:: a a -> Bool | Ord a
    (>=) x y :== not (x<y)
min:: a a -> a | Ord a
min x y :== if (x<y) x y
max:: a a -> a | Ord a
max x y :== if (x<y) y x
```

A class definition seems sometimes a bit overdone when a class actually only consists of one member. Special syntax is provided for this case.

| TypeClassDef | $=$class ClassName TypeVariable [ClassContext] <br> $[[$ where] $\{$ \{ClassMemberDef $\}+\}]$ |
| :--- | :--- |
| class FunctionName TypeVariable : : FunctionType; |  |
| class (FunctionName) $[$ Fix] $[$ Prec] TypeVariable $::$ FunctionType; |  |

Example of an overloaded function/operator.

```
class (+) infixl 6 a:: a a -> a
```

which is shorthand for:

```
class + a
where
    (+) infixl 6:: a a -> a
```

The instantiation of such a simple one-member class is done in a similar way as with ordinary classes, using the name of the overloaded function as class name.

Example of an instantiation of an overloaded function/operator.

```
instance + Int
where
    (+) x y = x +^^y
```

6.9

In the definition of a class one can optionally specify that other classes that already have been defined elsewhere are included. The classes to include are specified as context after the overloaded type variable. It is not needed (but it is allowed) to define new members in the class body of the new class. In this way one can give a new name to a collection of existing classes creating a hierarchy of classes (cyclic dependencies are forbidden). Since one and the same class can be included in several other classes, one can combine classes in different kinds of meaningful ways. For an example have a closer look at the Clean standard library (see e.g. StdOverloaded and StdClass)

Example of defining classes in terms of existing classes. The class Arith consists of the class + and - .

```
class (+) infixl 6 a:: a a -> a
class (-) infixl 6 a:: a a -> a
class Arith a | +,- a
```

6.10 Exporting Type Classes

To export a class one simply repeats the class definition in the definition module (see Chapter 2). To export an instantiation of a class one simply repeats the instance definition in the definition module, however without revealing the concrete implementation (which can only be specified in the implementation module).

```
TypeClassInstanceDef = instance ClassName Type+ [ClassContext]
    [[where] {{DefOfFunction}+ }] // only in implementation modules
    [special {{TypeVariable = Type}-list}+] // only in definition modules
```

Exporting classes and instances.

```
definition module example
class Eq a // the class Eq is exported
where
    (==) infix 2:: a a -> Bool
instance Eq [a] | Eq a // an instance of Eq on lists is exported
special a = Int // with an additional specialised version for [Int]
    a = Real // and an additional specialised version for [real]
instance Eq a // a general instance of Eq is exported
```

For reasons of efficiency the compiler will always make specialized efficient versions of overloaded functions inside an implementation module. For each concrete application of an overloaded function a specialized version is made for the concrete type the overloaded function is applied to. So, when an overloaded function is used in the implementation module in which the overloaded function is defined, no overhead is introduced.

However, when an overloaded function is exported it is unknown with which concrete instances the function will be applied. So, a dictionary record is constructed in which the concrete function can be stored as is explained in the introduction of this Section. This approach can be very inefficient, especially in comparison to a specialized version. One can therefore ask the compiler to generate specialized versions of an overloaded function that is being exported. This can be done by using the keyword special. If the exported overloaded function will be used very frequently, we advice to specialize the function for the most important types it will be applied on.

- A specialised function can only be generated if for all type variables which appear in the instance definition of a class a concrete type is defined.

Semantic restrictions:
When a class is instantiated a concrete definition must be given for each of the members in the class (not for derived members).

- The type of a concrete function or operator must exactly match the overloaded type after uniform substitution of the overloaded type variable by the concrete type as specified in the corresponding type instance declaration.
$\square$ The overloaded type variable and the concrete type must be of the same kind.
$\square$ A type instance of an overloaded type must be a flat type, i.e. a type of the form $T a_{1} \ldots a_{n}$ where $a_{i}$ are type variables which are all different.
- It is not allowed to use a type synonym as instance.
- The start rule cannot have an overloaded type.
- For the specification of derived members in a class the same restrictions hold as for defining macros.
$\square$ A restricted context can only be imposed on one of the type variables appearing in the type of the expression.
- The specification of the concrete functions can only be given in implementation modules.
- A specialised function can only be generated if for all type variables which appear in the instance definition of a class a concrete type is defined.
- A request to generate a specialised function for a class instance can only be defined in a definition module.


## Chapter 7

## Generic Programming

TO BE DONE.

## Chapter 8

## Dynamics

### 8.1 Creating Dynamics <br> 8.2 Patterns of type Dynamic

Dynamics are a new experimental feature of CLEAN. The concept is easy to understand, but the implementation is not so straightforward. So, it will take some time before all bits and pieces are implemented and the system will work flawlessly. Please be patient.

What can you do with "Dynamics"? With "Dynamics" it is possible to store and exchange data as well as code (!) between CleAn applications in an easy and type-safe way. Here are some examples of its use.

- Almost all applications store and fetch information to and from disk (settings and the like). Traditionally, information written to file first has to be converted by the programmer to some (String) format. When the file is read in again a parser has to be constructed to parse the input and to convert the String back to the appropriate data structure. With Dynamics one can store and retrieve (almost) any Clean data structure in a type-safe way with just one (!) function call. Not only data can be saved, but also code (unevaluated functions, higher order functions), which is part of the data structure being stored.
- Dynamics make it easier to write a persistent application: an application that stores the settings in such a way that the next time the user will see everything in the same way as the last time the application was used.
- Different Clean applications, e.g. distributed executing applications, can easily communicate arbitrary expressions that can contain data as well as code (unevaluated functions) in a type-safe way. Dynamics can be communicated via files or via message passing primitives offered by the CLEAN libraries.
$\square$ The fact that Clean applications can communicate code means that a running Clean application can be extended with additional functionality. So, plug-ins can be made with which a running Clean application can be extended in a type-safe manner.

To make all this possible we need some special facilities in the Clean language but also in the Clean run-time system special support is needed. This includes dynamic type checking, dynamic unification, dynamic linking and just-in-time code generation.

In Clean 2.0 we have added a dynamic type system such that Clean now offers a hybrid type system with both static and dynamic typing. An object (expression) of static type can be changed into an object of dynamic type (a "Dynamic") and backwards. The type of a Dynamic can only be checked at run-time. In an application one can also check whether types of several Dynamics can be unified with each other.

When a Dynamic is read and accepted it can contain unevaluated functions unknown to the receiving application. The new code will be dynamically linked into the running application.

In this Chapter we first explain how a Dynamic can be constructed (see 8.1). In Section 8.2 we explain how the type of a Dynamic can be inspected via a pattern match, and how one can ensure that Dynamics fit together by using run-time type unification.

We explain in Section 8.3 how the Clean run-time system basically will handle dynamic unification and dynamic linking. It will certainly take some time before all run-time support for Dynamics we have in mind will be implemented. Semantic restrictions and restrictions of the current implementation are summarized in Section 8.4.

Since Clean is a strongly typed language (see Chapter 5), every expression in Clean has a static type determined at compile time. The Clean compiler is in general able to infer the static type of any expression or any function. Type errors are reported by the compiler.

Example of expressions and their static type:

```
3::Int
map::(a -> b) [a] -> [b]
map ((+) 1)::[Int] ->[Int]
MoveCollorPoint Green::(Real,Real) -> ColorPoint
```

By using the keyword dynamic one can in principle change any expression of static type : : $\tau$ into a dynamic object of static type : : Dynamic.

```
DynamicExpression = dynamic GraphExpr [:: Type]
```

Example how to change an expression into a Dynamic. Optionally, the static type of the expression one wants to pack into a Dynamic can be specified. This static type has to be a correct type but it can be more restrictive than the static type the compiler would infer for the expression.

```
dynamic 3
dynamic 3::Int
dynamic map
dynamic map::(a -> b) [a] -> [b]
dynamic map::(Int -> Real) [Int] -> [Real]
dynamic map ((+) 1)
dynamic MoveCollorPoint Green
```

When an expression of static type $:: \tau$ is turned into a Dynamic, a new object is created contain both the expression as well as an encoding of the static type of the expression. Only the compiler is able to combine an expression to its type such that it is guaranteed that the encoded type is indeed the type of the corresponding expression. It is allowed to specify a more specific type than the one the compiler would infer. The compiler will check the correctness of such a restricted type specification. The static type of the new object is the predefined type Dynamic. Since all objects created in this way are of type Dynamic, the compiler is in general not able anymore to determine the static type hidden in the Dynamic and it cannot check type consistency anymore. Type consistency of the Dynamics being used has to be checked at run-time (see 8.2 and 8.3).

Example of a (constant) function creating a Dynamic of type Tree Int.

```
:: Tree a = Node a (Tree a) (Tree a) | Leaf
MyTree::Dynamic
MyTree = dynamic (DoubleTree 1 mytree)
where
    Doubletree rootvalue tree = Node rootvalue tree tree
    mytree = (Node 2 (Node 3 Leaf Leaf) Leaf)
```

Lists require that all lists elements be of the same type. Objects of different type cannot be combined into a list without defining a wrapper type or using an existential type. Since all dynamic expressions are of type Dynamic, one can combine objects of static different type into a list by turning them into a Dynamic. So, one can write Clean programs in which everything is typed dynamically. You can do it, but Dynamics are not intended for this.

Example: three ways to pack objects of different type into a list. The first method is to define a new type in which all types one likes to pack into a list are summarized with an appropriate constructor to distinguish them. For unpacking one can make a case distinction in a pattern match. Everything is nice statically typed but one can only pack the types that are mentioned in the wrapper type.
The next way to do it is by defining a list structure using an existential type (see 5.1.3). Any type can be packed but there is no simple way to distinguish the elements and unpack them once they are packed.
Another way is to wrap the values into a Dynamic. Any type can be packed and via a pattern match one can unwrap them. It is very inefficient though and one can only unwrap a value by explicitly naming the type in the pattern match (see 8.2).

```
:: WrapperType = I Int | R Real | C Char
MyWrapperList = [I 1, R 3.14, C 'a']
:: ExstList = E.a: Cons a ExstList | Nil
MyExstList = Cons 1 (Cons 3.14 (Cons 'a' Nil)
MyDynamicList = [dynamic 1, dynamic 3.14, dynamic 'a']
```


### 8.1.1

The compiler is not in all cases capable to infer the concrete type to be assigned to a Dynamic. For instance, when a polymorphic function is defined it is in general unknown what the type of the actual argument will be. If it is polymorphic, it can be of any type. Therefore it cannot be packed into a Dynamic.

Counter Example of a function creating a Dynamic.
WrongCreateDynamic:: t -> Dynamic
WrongCreateDynamic any = dynamic any
If one wants to define a function that can wrap an arbitrary argument into a Dynamic, not only the value, but also the concrete static type of that argument has to be passed to the function. For efficiency reasons, we do not want to pass the types of all arguments. Therefore, we have to know which of the arguments will be packed into a Dynamic. A special class context restriction is used to express this. So, instead of a polymorphic function has to define an overloaded function (see Chapter 6). The class TC (for Type code) is predefined and an instance of this class for a particular type is required whenever an expression of that type is packed into a Dynamic.

Example of an overloaded function that can wrap an argument of arbitrary type into a Dynamic.

```
CreateDynamic:: t -> Dynamic | TC t
CreateDynamic any = dynamic any
MyTree:: Dynamic
MyTree = CreateDynamic (Node 2 (Node 3 Leaf Leaf) Leaf)
```

In most cases the compiler can and will automatically create an instance of the appropriate type. However, this is not always possible.

Certain types cannot be packed into a Dynamic. Examples are objects of abstract predefined type that have a special meaning in the real world such as objects of type World and File: it is not sound to pack objects of these types into a Dynamic. In the current implementation there are additional restrictions on the kind of types that are approved. An error message will be given in those cases were the compiler refuses to pack an expression into a Dynamic.

Expressions of the following abstract type cannot be packed into Dynamic: World, File.

- Expressions of the following type cannot be packed into Dynamic: functions that are overloaded.
- The current implementation does not allow packing expressions of the following type into a Dynamic: abstract types, universally quantified functions and data of rank 2, existentially quantified types, uniqueness types, synonym types. We are working on the system and hope to be able to handle more types in the future.

When a Dynamic is created (see above), its static type is the type Dynamic. The compiler is in general not able anymore to determine what the original type was of the expression before it was changed into a Dynamic. The only way
to figure that out is at run-time (that why it is called a Dynamic) using a pattern match (see 3.2) or case construct (see 3.4.2). With a pattern match on a Dynamics one can as usual inspect the shape of the expression that was turned into a Dynamic, but also its original type. So, with Dynamics dynamic type checking is possible in Clean with all the advantages (more expressions can be typed) and disadvantages (type checking may fail at run-time) of a dynamic type system. The programmer has to take care for the situation the pattern match fails due to a non-matching dynamic type.

| DynamicPattern | $=$ (GraphPattern : : DynamicType) |
| :--- | :--- |
| DynamicType | Type |
| GlobalTypeVariable | $=$ GlobalTypeVariable |
|  | $=$ Variable^ |

Use of a pattern match to examine an argument of type Dynamic. The first alternative of the function transform matches if the Dynamic contains an Integer value. The second alternative is chosen if the Dynamic contains a function from [Int] to [Int]. The third alternative is chosen if the Dynamic is a tuple containing two [Int]. If none of the alternatives match, the last alternative is chosen. The program will be aborted in that case.

```
transform :: Dynamic -> [Int]
transform (n :: Int) = [n]
transform (f :: [Int]-> [Int]) = f [1..100]
transform ((x,y) :: ([Int],[Int])) = x ++ y
transform other = abort "dynamic type error"
```

With a pattern match on Dynamics a case distinction can be made on the contents of the Dynamic. If the Dynamic contains an expression of the right shape (with the pattern match one can check the concrete value of the expression as usual) and of the indicated type, the corresponding function alternative is chosen. Since it is known in that case that the Dynamic matches the indicated type, this knowledge can be used to determine the types in the body of the corresponding function alternative. In this way, dynamic types can be converted back to static types.

Not only is it possible to check whether a Dynamic contains a value of a certain type, one can also check the internal type consistency of different Dynamics using dynamic type unification. This can be illustrated by the function dynApply.

The function dynApply has two arguments of type Dynamic and yields a value of type Dynamic as well. The first Dynamic has to be a function that can be unified with type ( $a->b$ ), the second argument has to be unifyable with the argument type : : a the function is expecting.

```
dynApply :: Dynamic Dynamic -> Dynamic
dynApply (f :: a -> b) (x :: a) = dynamic (f x :: b)
dynApply df dx = dynamic ("cannot apply",df,"to",dx)
Start = dynApply (dynamic (map ((+) 1)) (dynamic [1..10])
```

When the unification of Dynamic types fail the whole pattern match fails as well and the next rule alternative will be tried as usual (see 3.3). When all regular patterns match and all type unifications are successful as well, the type definitions of the types involved are examined. Since Dynamics can be obtained form outside the application, it is possible that Dynamics use the same type constructor name, yet they have different type definitions. Two types are considered to be equal if and only if all the type definitions (type constructors and data constructors) are identical modulo the names used for the type variables. The types can have been defined anywhere, not necessarily in the same modules.

Expressions of the following abstract type cannot be used in a pattern match on Dynamics: World, File.

- Expressions of the following type cannot be used in a pattern match on Dynamics: overloaded functions, type class restrictions.
- The current implementation cannot handle the following types in a pattern match on Dynamics: abstract types, universally quantified functions and data of rank 2, existentially quantified types, uniqueness types, synonym types. We are working on the system and hope to be able to handle more types in the future.

In a pattern match one can explicitly state what the type of the Dynamic has to be. It is also possible to let the static context in which a function is used implicitly state what the type of the Dynamic has to be. The predefined type class TC (see 8.1.1) can be is used to impose a static restriction on a Dynamic type. This can be very handy. Consider the following example.

Example: The function Start appends a [11..99] to the result of FlexDynApply. So, it is clear that FlexDynApply will have to deliver a [Int] to the function Start. The additional context restriction TC b turns FlexDynApply into an overloaded function. The function FlexDynApply will not deliver some dynamic type b, but the static type b that is requested by the context applying FlexDynApply. The overloading mechanism will automatically pass as additional parameter information about the static type b that can be used to check the type in the dynamic pattern match. As a consequence, FlexDynApply will in the pattern match not accept any function that can be unified with the type :: a -> b, but only functions that can be unified with the type : : a -> [Int]. So, the function FlexDynApply will deliver a type that is required by the static context.

FlexDynApply : : Dynamic Dynamic -> b | TC b
FlexDynApply (f : : $\mathrm{a}->\mathrm{b} \wedge$ ) ( x : : a) $=\mathrm{f} \mathrm{x}$
FlexDynApply df $d x$ abort "cannot perform the dyanmic application"
Start $=$ FlexDynApply (dynamic (map ( $(+$ ) 1)) (dynamic [1..10]) ++ [11..99]
Counter Example: Due to the missing context restriction TC b the function WrongDynApply will not be approved by the type system. There is a type error since $f x$ is of type b, which is a statically unknown dynamic type that cannot be unified with any static polymorphic type $b$ that is the result of the function WrongDynApply.

```
WrongDynApply :: Dynamic Dynamic -> b
WrongDynApply (f :: a -> b) (x :: a) = f x
WrongDynApply df dx = abort "cannot perform the dyanmic application"
Start = WrongDynApply (dynamic (map ((+) 1)) (dynamic [1..10]) ++ [11..99]
```

With the predefined type class TC one can indicate in the type of a funcion that a Dynamic type used in a pattern match has to be of a certain type. This required type is determined by the contect in which the function is used. The overloading mechanism is used to pass the static type restrictions imposed by the context to the dynamic pattern match. In the pattern match we have to make a syntactic distinction between type variables that have only a local scope (in the rewrite rule alternative) and type variables that have a global scope (the whole function). The carot symbol ( $\wedge$ ) is used as suffix of a type variable in a dynamic pattern match to indicate that the type variable has a global scope.

Example: The function lookup will lookup a value of a certain type a in its lists of Dynamics. The type it will search for depends on the context in which the function lookup is used. In Start the lookup function is used twice. In the first case an integer value is demanded (due to +5 ), in the second case a real value (due to +2.5 ) is required. The program will be aborted if a value of the required type cannot be found in the list.

```
lookup :: [Dynamic] -> a | TC a
lookup [(x :: a^):xs] = x
lookup [x:xs] = lookup xs
lookup [] = abort "dynamic type error"
Start = (lookup DynamicList + 5, lookup DynamicList + 2.5) // result will be (6,5.64)
DynamicList = [dynamic 1, dynamic 3.14, dynamic 'a']
```

As explained in the introduction of this Chapter, the most important practical use of Dynamics is enabling type safe communication of data and code between different ClEAN applications. This communication can be realized in several ways. One Clean application can store a Dynamic into a File and another application can read the Dynamic from it. It is also possible to communicate a Dynamic directly via a channel or via send / receive communication primitives. The actual possibilities are depending on the facilities offered by Clean libraries. This is outside the scope of this Clean language report. In this Section we want to illustrate via a small example how type safe communication using a Dynamic can be realized and we also want to give some explanation about the implementation techniques involved.

## Standard functions for reading and writing of a Dynamic.

```
definition module StdDynamic
writeDynamic :: Dynamic String *World -> *(Bool,*World)
readDynamic :: String *World -> *(Bool, Dynamic, *World)
```

One can read and write a Dynamic with just one function call. In the Clean library StdDynamic the functions readDynamic and writeDynamic are predefined. As usual in Clean, uniqueness typing is used for performing I/O (see 9.1). The use of Dynamics is shown in the examples below. Each example is a complete Clean application.

Example of a Clean application writing a Dynamic containing a value of type Tree Int to a File named DynTreeValue. This example shows that data can be stored to disk using the Clean function writeDynamic.

```
implementation module TreeValue
import StdDynamic, StdEnv
:: Tree a = Node a (Tree a) (Tree a) | Leaf
Start world
# (ok,world) = writeDynamic "DynTreeValue" MyTree world
| not ok = abort "could not write MyTree to file named DynTreeValue"
| otherwise = world
where
    MyTree::Dynamic
    MyTree = dynamic (Node 1 mytree mytree)
    where
        mytree = (Node 2 (Node 3 Leaf Leaf) Leaf)
```

Example of another ClEAN application writing a Dynamic containing the function countleafs to a File named CountsLeafsinTrees. This function can count the numbers of leafs in a Tree and is of type (Tree Int) -> Int. This examples shows that code (in this case the function CountLeafs) can be stored on disk as well, just using WriteDynamic.

```
implementation module CountLeafs
import StdDynamic, StdEnv
:: Tree a = Node a (Tree a) (Tree a) | Leaf
Start world
# (ok,world) = writeDynamic "CountsLeafsinTrees" CountLeafs world
| not ok = abort "could not write dynamic"
| otherwise = world
where
    CountLeafs = dynamic countleafs
    countleafs:: (Tree Int) -> Int
    countleafs tree = count tree 0
    where
        count:: (Tree a) Int -> Int
        count Leaf nleafs = nleafs + 1
        count (Node left right) nleafs = count left (count right nleafs)
        count else = abort "count does not match"
```

The third CLEAN application reads in the file TreeValue containg a Tree Int and the function countleafs (a plugin) that can counts the number of Leafs in a Tree. So, new functionality is added to the running application Apply. By using the function dynapply the new plugged in function countleafs is applied to the tree that has been read in as well. The application Apply itself has a function to count the number of nodes and applies this function on the tree read in. Note that this application will only work if all the type Trees defined in the different applications are exactly the same (module the names for the type variables used).

```
implementation module Apply
import StdDynamic, StdEnv
:: Tree a = Node a (Tree a) (Tree a) | Leaf
Start world
# (ok,countleafs,world) = read "CountsLeafsinTrees" world
| not ok = abort ("could not read CountsLeafsinTrees")
# (ok,treevalue,world) = read "TreeValue" world
| not ok = abort ("could not read TreeValue")
| otherwise = ( countnodes (case treevalue of (v::(Tree Int))= v) 0
    dynapply countleafs treevalue
    )
where
    dynapply :: Dynamic Dynamic -> Dynamic
    dynapply (f::a -> b) (v::a) = dynamic (f v)
    dynapply df dv = dynamic "incorrectly typed dynamic application"
    countnodes Leaf nnodes = nnodes
    countnodes (Node left right) nnodes = countnodes left (countnodes right (nnodes+1))
```


## Implementation of Dynamics

From the examples above we learn that a Dynamic stored on disk can contain data as well as code. How is this information stored into a file and how is a running ClEAN application extended with new data and new functionality? To understand this one has to know a little bit more about how a CLEAN application is generated.

The Clean compiler (written in Clean) compiles Clean implementation modules (icl files) to abc-code (.abc files). The Clean definition modules are used to check the type consistency between the separately programmed Clean modules. The abc-code contains machine instructions for a virtual abstract machine, the abc-machine (see ???). The abc-code is a kind of platform independent byte code specialy designed for Clean. The Code Generator (written in C) translates the abc-code into platform dependent machine code (.obj files under Windows). The code generator can generate code for different platforms such as for Intel (Windows, Linux), Motorola (Mac) and Sparc (Unix) processors. The Static Linker (written in CLEAN) links all the object modules of one CLEAN progam together into a clickable executable application (.exe file under Windows). So, Clean applications are not executed via an interpreter. Executables are generated using compiled machine code. Storing a Dynamic into disk and retrieving it again from disk cannot simply be done by interpretation of CleAN source code.

When a program has to deal with Dynamics, the conventional compilation process is sligthly changed. Instead of generating an executable application (.exe file), a library image is constructed (.lib file) out of which the executable is made in a lazy way. The image actually consists of all object code generated for that specific Clean application. When the Clean application is started, a special linker (the Dynamic Linker, written in Clean) is started is well. The Dynamic Linker will construct the application in the same way as the Static Linker would do for a conventional Clean program that does not use Dynamics. The Dynamic Linker is a server application on the computer for all running CLEAN programs that play with Dynamics.

A Dynamic is a graph expression packed with its static type. When it is written to a file, an encoding of the graph and the type are written to disk. The graph is encoded in such a way that sharing will be maintained when the graph is read in again. The graph may contain unevaluated functions. In a Dynamic on disk, functions are represented by pointers to the corresponding code in the application image constructed at compile time. Each time a CLEAN program is recompiled, a new image will be created. Images are maintained by the Clean run-time system. It is not advisable to remove images by hand, because it might make the Dynamics stored on disk unreadable. In general, a Dynamic on disk may have pointers to several images. This depends on the way the Dynamic was constructed.

Dynamics are read in lazily. When a Dynamic stored on disk is read in by an application, first only a pointer to the Dynamic is used in the Clean program. To use a Dynamic one first has to examine it in a pattern match. In the pattern match the type of the Dynamic is checked to be of some statically specified type or compared with (unified with) the types of other Dynamics. If the Dynamic is stored on disk, the run-time system will retrieve the type information from the file. If the run-time type unification is successful, the Dynamic Linker will start to check whether all type definitions of the types involved are identical. If this is not the case, the match will fail. If the types are identical, the corresponding function body can be evaluated. The graph stored in the Dynamics is reconstructed as far as needed (Dynamics nested in a Dynamic are reconstructed lazily) and the Dynamic Linker links in the code corresponding to the unevaluated functions stored in the Dynamic from the image into the running application. In some cases the Dynamic Linker will ask the Code Generator to generate new code (just-in-time code generation) to construct the required image. The Dynamic Linker has to ensure that identical data types defined in different applications are linked in such a way that they are indeed considered to be of the same type at run-time.

8.4

Dynamics are an experimental feature of Clean 2.0. In the current version there ares still many bugs, restrictions and limitations.

- Currently, only "plain" algebraic data types can be packed into a dynamic. The following types cannot be packed/unpacked: universally quantified types, existentially quantified types, synonym types, abstract data types, uniqueness types, overloaded types. We are working on it.

Chapter 9

# Uniqueness Typing 

### 9.1 Basic Ideas Behind Uniqueness Typing <br> 9.2 Attribute Propagation <br> 9.3 Defining New Types with Uniqueness Attributes <br> 9.4 Uniqueness and Sharing

9.5 Combining Uniqueness Typing and Overloading<br>9.6 Higher-Order Type Definitions<br>9.7 Destructive Updates using Uniqueness Typing

Although Clean is purely functional, operations with side-effects (I/O operations, for instance) are permitted. To achieve this without violating the semantics, the classical types are supplied with so called uniqueness attributes. If an argument of a function is indicated as unique, it is guaranteed that at run-time the corresponding actual object is local, i.e. there are no other references to it. Clearly, a destructive update of such a "unique object" can be performed safely.

The uniqueness type system makes it possible to define direct interfaces with an operating system, a file system (updating persistent data), with GUl's libraries, it allows to create arrays, records or user defined data structures that can be updated destructively. The time and space behavior of a functional program therefore greatly benefits from the uniqueness typing.

Uniqueness types are deduced automatically. Type attributes are polymorphic: attribute variables and inequalities on these variables can be used to indicate relations between and restrictions on the corresponding concrete attribute values.

Sometimes the inferred type attributes give some extra information on the run-time behavior of a function. The uniqueness type system is a transparent extension of classical typing that means that if one is not interested in the uniqueness information one can simply ignore it.

Since the uniqueness typing is a rather complex matter we explain this type system and the motivation behind it in more detail. The first Section (9.1) explains the basic motivation for and ideas behind uniqueness typing. Section 9.2 focuses on the so-called uniqueness propagation property of (algebraic) type constructors. Then we show how new data structures can be defined containing unique objects (Section 9.3). Sharing may destroy locality properties of objects. In Section 9.4 we describe the effect of sharing on uniqueness types. In order to maintain referential transparency, it appears that function types have to be treated specially. The last Section (9.5) describes the combination of uniqueness typing and overloading. Especially, the subsections on constructor classes and higher-oder type definitions are very complex: we suggest that the reader skips these sections at first instance.

## 9.1

Basic Ideas Behind Uniqueness Typing
The uniqueness typing is an extension of classical Milner/Mycroft typing. In the uniqueness type system uniqueness type attributes are attached to the classical types. Uniqueness type attributes appear in the type specifications of functions (see 9.4) but are also permitted in the definitions of new data types (see 9.3). A classical type can be prefixed by one of the following uniqueness type attributes:


The basic idea behind uniqueness typing is the following. Suppose a function, say F , has a unique argument (an argument with type ${ }^{*} \sigma$, for some $\sigma$ ). This attribute imposes an additional restriction on applications of $F$.

It is guaranteed that F will have private ("unique") access to this particular argument (see Barendsen and Smetsers, 1993; Plasmeijer and Van Eekelen, 1993): the object will have a reference count of $1^{1}$ at the moment it is inspected by the function. It is important to know that there can be more than 1 reference to the object before this specific access takes place. If a uniquely typed argument is not used to construct the function result it will become garbage (the reference has dropped to zero). Due to the fact that this analysis is performed statically the object can be garbage collected (see Chapter 1) at compile-time. It is harmless to reuse the space occupied by the argument to create the function result. In other words: it is allowed to update the unique object destructively without any consequences for referential transparency.

Example: the I/O library function fwritec is used to write a character to a file yielding a new file as result. In general it is semantically not allowed to overwrite the argument file with the given character to construct the resulting file. However, by demanding the argument file to be unique by specifying

```
fwritec:: Char *File -> *File
```

It is guaranteed by the type system that fwritec has private access to the file such that overwriting the file can be done without violating the functional semantics of the program. The resulting file is unique as well and can therefore be passed as continuation to another call of e.g. fwritec to make further writing possible.

```
WriteABC:: *File -> *File
WriteABC file = fwritec 'c' (fwritec 'b' (fwritec 'a' file))
```

Observe that a unique file is passed in a single threaded way (as a kind of unique token) from one function to another where each function can safely modify the file knowing that is has private access to that file.

One can make these intermediate files more vissible by briting the WriteABC as follows.

```
WriteABC file = file3
    file1 = fwritec 'a' file
    file2 = fwritec 'b' file1
    file3 = fwritec 'c' file2
```

or, alternatively (to avoid the explicit numbering of the files),

```
WriteABC file
    # file = fwritec 'a' file
        file = fwritec 'b' file
    = fwritec 'c' file
```

The type system makes it possible to make no distinction between a Clean file and a physical file of the real world: file I/O can be treated as efficiently as in imperative languages. The uniqueness typing prevents writing while other readers/writers are active. E.g. one cannot apply fwritec to a file being used elsewhere.

For instance, the following expression is not approved by the type system:

```
(file, fwritec 'a' file)
```

- Function arguments with no uniqueness attributes added to the classical type are considered as "non-unique": there are no reference requirements for these arguments. The function is only allowed to have read access (as usual in a functional language) even if in some of the function applications to actual argument appears to have reference count 1.

[^0]```
freadc:: File -> (Char, File)
```

The function freadc can be applied to both a unique as well as non-unique file. This is fine since the function only wants read access on the file. The type indicates that the result is always a non-unique file. Such as file can be passed for further reading, but not for further writing.

To indicate that functions don't change uniqueness properties of arguments, one can use attribute variables.
The most simple example is the identity functions that can be typed as follows:

```
id:: u:a -> u:a
id x = x
```

Here $a$ is an ordinary type variable, whereas $u$ is an attribute variable. If $i d$ is applied to an unique object the result is also unique (in that case $u$ is instantiated with the concrete attribute *). Of course, if id is applied to a non-unique object, the result remains non-unique. As with ordinary type variables, attribute variables should be instantiated uniformly.

A more interesting example is the function freadc that is typed as

```
freadc:: u:File -> u:(Char, u:File)
```

Again freadc can be applied to both unique and non-unique files. In the first case the resulting file is also unique and can, for example, be used for further reading or writing. Moreover, observe that not only the resulting file is attributed, but also the tuple containing that file and the character that has been read. This is due to the so called uniqueness propagation rule, see below.

To summarize, uniqueness typing makes it possible to update objects destructively within a purely functional language. For the development of real world applications (which manipulate files, windows, arrays, databases, states etc.) this is an indispensable property.

## 9.2

## Attribute Propagation

Having explained the general ideas of uniqueness typing, we can now focus on some details of this typing system.

If a unique object is stored in a data structure, the data structure itself becomes unique as well. This uniqueness propagation rule prevents that unique objects are shared indirectly via the data structure in which these objects are stored. To explain this form of hidden sharing, consider the following definition of the function head

```
head:: [*a] -> *a
head [hd:tl] = hd
```

The pattern causes head to have access to the "deeper" arguments hd and tl. Note that head does not have any uniqueness requirements on its direct list argument. This means that in an application of head the list might be shared, as can be seen in the following function heads

```
heads list = (head list, head list)
```

If one wants to formulate uniqueness requirements on, for instance, the hd argument of head, it is not sufficient to attribute the corresponding type variable a with *; the surrounding list itself should also become unique. One can easily see that, without this additional requirement the heads example with type

```
heads:: [*a] -> (*a,*a)
heads list = (head list, head list)
```

would still be valid although it delivers the same object twice. By demanding that the surrounding list becomes unique as well, (so the type of head becomes head:: * [*a] -> *a) the function heads is rejected. In general one could say that uniqueness propagates outwards.

Some of the readers will have noticed that, by using attribute variables, one can assign a more general uniqueness type to head:

```
head:: u:[u:a] -> u:a
```

The above propagation rule imposes additional (implicit) restrictions on the attributes appearing in type specifications of functions.

Another explicit way of indicating restrictions on attributes is by using coercion statements. These statements consist of attribute variable inequalities of the form $u<=v$. The idea is that attribute substitutions are only allowed if the resulting attribute inequalities are valid, i.e. not resulting in an equality of the form
'non-unique $\leq$ unique'.
The use of coercion statements is illustrated by the next example in which the uniqueness type of the well-known append function is shown.

```
append:: v:[u:a] w:[u:a] -> x:[u:a], [v<=u, w<=u, x<=u,w<=x]
```

The first three coercion statements express the uniqueness propagation for lists: if the elements a are unique (by choosing * for $u$ ) these statements force $v, w$ and $x$ to be instantiated with * also. (Note that $u<=*$ iff $u={ }^{*}$.) The statement $\mathrm{w}<=\mathrm{x}$ expresses that the spine uniqueness of append's result depends only on the spine attribute w of the second argument.

In Clean it is permitted to omit attribute variables and attribute inequalities that arise from propagation properties; these will be added automatically by the type system. As a consequence, the following type for append is also valid.

```
append:: [u:a] w:[u:a] -> x:[u:a], [w<=x]
```

Of course, it is always allowed to specify a more specific type (by instantiating type or attribute variables). All types given below are valid types for append.

```
append:: [u:a] x:[u:a] -> x:[u:a],
append:: *[*Int] *[*Int] -> *[*Int],
append:: [a] *[a] -> *[a].
```

To make types more readable, Clean offers the possibility to use anonymous attribute variables. These can be used as a shorthand for indicating attribute variables of which the actual names are not essential. This allows us to specify the type for append as follows.

```
append:: [.a] w:[.a] -> x:[.a], [w<=x]
```

The type system of Clean will substitute real attribute variables for the anonymous ones. Each dot gives rise to a new attribute variable except for the dots attached to type variables: type variables are attributed uniformly in the sense that all occurrences of the same type variable will obtain the same attribute. In the above example this means that all dots are replaced by one and the same new attribute variable.

## 9.3

Although one mostly uses uniqueness attributes in type specifications of functions, they are also allowed in the definition of new data types.

| AlgebraicTypeDef | $=::$ TypeLhs = ConstructorDef |  |
| :--- | :--- | :--- |
| \{। ConstructorDef $\} ;$ |  |  |
| ConstructorDef |  | [ExistentalQuantVariables] ConstructorName $\{$ BrackType $\}$ |
|  | I | [ExistentalQuantVariables] (ConstructorName) [Fix][Prec] $\{$ BrackType $\}$ |


| TypeLhs | $=$ [*]TypeConstructor $\{$ TypeVariable $\}$ |
| :--- | :--- |
| TypeConstructor | $=$ TypeName |
| ExistentalQuantVariables | $=$ E. $\{$ TypeVariable $\}+:$ |
| UniversalQuantVariables | $=$ A.\{ypeVariable $\}^{+}:$ |


| BrackType | $=$ [UniversalQuantVariables] [Strict] [UnqTypeAttrib] SimpleType |
| :--- | :--- |
| UnqTypeAtrib | $=\underset{\star}{ }$ |

## UniqueTypeVariable :

As can be inferred from the syntax, the attributes that are actually allowed in data type definitions are ' $\star$ ' and '.'; attribute variables are not permitted. The (unique) * attribute can be used at any subtype whereas the (anonymous). attribute is restricted to non-variable positions.

If no uniqueness attributes are specified, this does not mean that one can only build non-unique instances of such a data type. Attributes not explicitly specified by the programmer are added automatically by the type system. To explain this standard uniqueness attribution mechanism, first remember that the types of data constructors are not specified by the programmer but derived from their corresponding data type definition.

For example, the (classical) definition of the List type

```
:: List a = Cons a (List a) | Nil
```

leads to the following types for its data constructors:

```
Cons:: a (List a) -> List a
Nil:: List a
```

To be able to create unique instances of data types, the standard attribution of Clean will automatically derive appropriate uniqueness variants for the types of the corresponding data constructors. Such a uniqueness variant is obtained via a consistent attribution of all types and subtypes appearing in a data type definition. Here, consistency means that such an attribution obeys the following rules (assume that we have a type definition for some type T ).

Attributes that are explicitly specified are adopted.
Each (unattributed) type variable and each occurrence of $T$ will receive an attribute variable. This is done in a uniform way: equal type variables will receive equal attributes, and all occurrence of T are also equally attributed.

- Attribute variables are added at non-variable positions if they are required by the propagation properties of the corresponding type constructor. The attribute variable that is chosen depends on the argument types of this constructor: the attribution scheme takes the attribute variable of first argument appearing on a propagating position (see example below).
- All occurrences of the. attribute are replaced by the attribute variable assigned to the occurrences of T .

Example of standard attribuation for data constructors. For Cons the standard attribution leads to the type

Cons:: u:a v:(List u:a) -> v:List u:a, [v<=u]
The type of Nil becomes

```
Nil:: v:List u:a, [v<=u]
```


## Consider the following Tree definition

```
:: Tree a = Node a [Tree a]
```

The type of the data constructor Node is
Node:: u:a v:[v:Tree u:a] -> v:Tree $u: a, \quad[v<=u]$

## Another Tree variant.

```
:: Tree *a = Node *a [Tree *a]
```

leading to

```
Node:: *a *[*Tree *a] -> *Tree *a
```

Note that, due to propagation, all subtypes have become unique.

Next, we will formalize the notion of uniqueness propagation. We say that an argument of a type constructor, say T , is propagating if the corresponding type variable appears on a propagating position in one of the types used in the righthand side of T's definition. A propagating position is characterized by the fact that it is not surrounded by an arrow type or by a type constructor with non-propagating arguments. Observe that the definition of propagation is cyclic: a general way to solve this problem is via a fixedpoint construction.

Example of the propagation rule. Consider the (record) type definition for object.

```
Object a b:: {state:: a, fun:: b -> a}
```

The argument $a$ is propagating. Since $b$ does not appear on a propagating position inside this definition, object is not propagating in its second argument.

The type inference system of Clean will derive uniqueness information after the classical Milner/Mycroft types of functions have been inferred (see 4.3). As explained in Section 9.1, a function may require a non-unique object, a unique object or a possibly unique object. Uniqueness of the result of a function will depend on the attributes of its arguments and how the result is constructed. Until now, we distinguished objects with reference count 1 from objects with a larger reference count: only the former might be unique (depending on the uniqueness type of the object itself). In practice, however, one can be more liberal if one takes the evaluation order into account. The idea is that multiple reference to an (unique) object are harmless if one knows that only one of the references will be present at the moment it is accessed destructively. This has been used in the following function.

```
AppendAorB:: *File -> *File
AppendAorB file
| fc == 'a' = fwritec 'a' file
    = fwritec 'b' file
    (fc,nf) = freadc file
```

When the right-hand side of AppendAorB is evaluated, the guard is determined first (so access from freadc to file is not unique), and subsequently one of the alternatives is chosen and evaluated. Depending on cond, either the reference from the first fwritec application to function file or that of the second application is left and therefore unique.

For this reason, the uniqueness type system uses a kind of sharing analysis. This sharing analysis is input for the uniqueness type system itself to check uniqueness type consistency (see 9.3). The analysis will label each reference in the right-hand side of a function definition as read-only (if destructive access might be dangerous) or write-permitted (otherwise). Objects accessed via a read-only reference are always non-unique. On the other hand, uniqueness of objects accessed via a reference labeled with write-permitted solely depend on the types of the objects themselves.

Before describing the labeling mechanism of Clean we mention that the "lifetime" of references is determined on a syntactical basis. For this reason we classify references to the same expression in a function definition (say for $f$ ) according to their estimated run-time use, as alternative, observing and parallel.

- Two references are alternative if they belong to different alternatives of $f$. Note that alternatives are distinguished by patterns (including case expressions) or by guards.
- A reference $r$ is observing w.r.t. a reference $r$ ' if the expression containing $r$ ' is either (1) guarded by an expression or (2) preceded by a strict let before expression containing $r$.
- Otherwise, references are in parallel.

The rules used by the sharing analysis to label each reference are the following.

- A reference, say $r$, to a certain object is labeled with read-only if there exist another reference, say $r^{\prime}$, to the same object such that either $r$ is observing w.r.t $r^{\prime}$ or $r$ and $r^{\prime}$ are in parallel.
- Multiple references to cyclic structures are always labeled as read-only.
- All other references are labeled with write-permitted.

Unfortunately, there is still a subtlety that has to be dealt with. Observing references belonging in a strict context do not always vanish totally after the expression containing the reference has been evaluated: further analysis appears to be necessary to ensure their disappearance. More concretely, suppose $e[r]$ denotes the expression containing $r$. If the type of $e[r]$ is a basic type then, after evaluation, $e[r]$ will be reference-free. In particular, it does not contain the reference $r$ anymore. However, If the type of $e[r]$ is not a basic type it is assumed that, after evaluation, $e[r]$ might still refer to $r$. But even in the latter case a further refinement is possible. The idea is, depending on $e$ [ $r$ ], to correct the type of the object to which $r$ refers partially in such way that only the parts of this object that are still shared lose their uniqueness.

## Consider, for example, the following rule

```
f l =
= (x, l)
```

Clearly, x and 1 share a common substructure; x is even part of 1 . But the whole "spine" of 1 (of type [ [ . . ] ] ) does not contain any new external references. Thus, if 1 was spine-unique originally, it remains spine unique in the result of $f$. Apparently, the access to 1 only affected part of 1 's structure. More technically, the type of 1 itself is corrected to take the partial access on I into account. In the previous example, $x$, regarded as a function on 1 has type [ [a] ] -> a. In f's definition the part of l's type corresponding to the variable a is mode non-unique. This is clearly reflected in the derived type for $f$, being

```
f:: u:[w:[a]] -> (a,v:[x:[a]]), [w<= x, u <= v]
```

In Clean this principle has been generalized: If the strict let expression $\mathrm{e}[\mathrm{r}$ ] regarded as a function on $r$ has type

## T (... a...) -> a

Then the a-part of the type of the object to which $r$ refers becomes non-unique; the rest of the type remains unaffected. If the type of $e[r]$ is not of the indicated form, $r$ is not considered as an observing reference (w.r.t. some reference $r^{\prime}$ ), but, instead, as in parallel with $r^{\prime}$.

### 9.4.1

Higher Order Uniqueness Typing
Higher-order functions give rise to partial (often called Curried) applications, i.e. applications in which the actual number of arguments is less than the arity of the corresponding symbol. If these partial applications contain unique subexpressions one has to be careful.

Consider, for example the following the function fwritec with type

```
fwritec:: *File Char -> *File
```

in the application

```
fwritec unifile
```

(assuming that unifile returns a unique file). Clearly, the type of this application is of the form $\circ$ : (Char $->*$ File). The question is: what kind of attribute is $\circ$ ? Is it a variable, is it *, or, is it not unique? Before making a decision, one should notice that it is dangerous to allow the above application to be shared. For example, if the expression fwritec unifile is passed to a function

WriteAB write_fun = (write_fun 'a', write_fun 'b')
Then the argument of fwritec is not longer unique at the moment one of the two write operations take place. Apparently, the fwritec unifile expression is essentially unique: its reference count should never become greater than 1 . To prevent such an essentially unique expression from being copied, Clean considers the -> type constructor in combination with the * attribute as special: it is not permitted to discard its uniqueness. Now, the question about the attribute o can be answered: it is set to *. If WriteAB is typed as follows

```
WriteAB:: (Char -> u:File) -> (u:File, u:File)
WriteAB write_fun = (write_fun 'a', write_fun 'b')
```

the expression WriteAB (fwritec unifile) is rejected by the type system because it does not allow the argument of type * (Char $->$ *File) to be coerced to (Char $->$ u:File). One can easily see that it is impossible to type WriteAB in such a way that the expression becomes typable.

To define data structures containing Curried applications it is often convenient to use the (anonymous). attribute. Example

```
:: Object a b = { state:: a, fun::.(b -> a) }
new:: * Object *File Char
new = { state = unifile, fun = fwritec unifile }
```

By adding an attribute variable to the function type in the definition of Object, it is possible to store unique functions in this data structure. This is shown by the funcion new. Since new contains an essentially unique expression it becomes
essentially unique itself. So, new can never loose its uniqueness, and hence, it can only be used in a context in which a unique object is demanded.

Determining the type of a Curried application of a function (or data constructor) is somewhat more involved if the type of that function contains attribute variables instead of concrete attributes. Mostly, these variables will result in additional coercion statements. as can be seen in the example below.

```
Prepend:: u:[.a] [.a] -> v:[.a], [u<=v]
Prepend a b = Append b a
PrependList:: u:[.a] -> w:([.a] -> v:[.a]), [u<=v, w<=u]
PrependList a = Prepend a
```

Some explanation is in place. The expression (PrependList some list) yields a function that, when applied to another list, say other_list, delivers a new list extended consisting of the concatenation of other_list and some_list. Let's call this final result new_list. If new_list should be unique (i.e. v becomes *) then, because of the coercion statement $u<=v$ the attribute $u$ also becomes *. But, if $u=*$ then also $w=\star$, for, $w<=u$. This implies that (arrow) type of the original expression (PrependList some_list) becomes unique, and hence this expression cannot be shared.

### 9.4.2

Uniqueness Type Coercions

As said before, offering a unique object to a function that requires a non-unique argument is safe (unless we are dealing with unique arrow types; see above). The technical tool to express this is via a coercion (subtype) relation based on the ordering
'unique' $\leq$ 'non-unique'
on attributes. Roughly, the validity of $\sigma \leq \sigma^{\prime}$ depends subtype-wise on the validity of $u \leq u^{\prime}$ with $u$, $u^{\prime}$ attributes in $\sigma, \sigma^{\prime}$. One has, for example
$u:[v:[w:$ Int $]] \leq u^{\prime}:\left[v^{\prime}:\left[w^{\prime}:\right.\right.$ Int $\left.]\right]$ iff $u \leq u^{\prime}, v \leq v^{\prime}, w \leq w^{\prime}$.
However, a few refinements are necessary. Firstly, the uniqueness constraints expressed in terms of coercion statements (on attribute variables) have to be taken into account. Secondly, the coercion restriction on arrow types should be handled correctly. And thirdly, due to the so-called contravariance of $->$ in its first argument we have that
$u:\left(\sigma->\sigma^{\prime}\right) \leq u:\left(\tau->\tau^{\prime}\right)$ iff $\tau \leq \sigma, \sigma^{\prime} \leq \tau^{\prime}$
Since -> may appear in the definitions of algebraic type constructors, these constructors may inherit the co- and contravariant subtyping behavior with respect to their arguments. We can classify the 'sign' of the arguments of each type constructor as + (positive, covariant), - (negative, contravariant) or top (both positive and negative). In general this is done by analysing the (possible mutually recursive) algebraic type definitions by a fixedpoint construction, with basis $\operatorname{sign}(->)=(-,+)$.

Example: a has sign $\mathrm{T}, \mathrm{b}$ has sign + in

```
::FunList a b = FunCons (a, a -> b) (FunList a b)
    | FunNil
```

This leads to the following coercion rules

- Attributes of two corresponding type variables as well as of two corresponding arrow types must be equal.
- The sign classification of each type constructor is obeyed. If, for instance, the sign of T's argument is negative, then $\mathrm{T} \sigma \leq \mathrm{T} \sigma^{\prime}$ iff $\sigma^{\prime} \leq \sigma$
1 In all other cases, the validity of a coercion relation depends on the validity of $u \leq u^{\prime}$, where $u$, $u^{\prime}$ are attributes of the two corresponding subtypes.

The presence of sharing inherently causes a (possibly unique) object to become non-unique, if it is accessed via a readonly reference. In Clean this is achieved by a type correction operation that converts each unique type $S$ to its smallest
non-unique supertype, simply by making the outermost attribute of $S$ non-unique. Note that this operation fails if $S$ is a function type.

## 9.5

Combining Uniqueness Typing and Overloading
An overloaded function actually stands for a collection of real functions. The types of these real functions are obtained from the type of the overloaded function by substituting the corresponding instance type for the class variable. These instance types may contain uniqueness information, and, due to the propagation requirement, the above-mentioned substitution might give rise to uniqueness attributes overloaded type specification.

Consider, for instance, the identity class

```
class id a:: a -> a
```

If we want to define an instance of id for lists, say id L, which leaves uniqueness of the list elements intact, the (fully expanded) type of $i d L$ becomes

```
instance id L v:[u:a] -> v:[u:a]
```

However, as said before, the type specification of such an instance is not specified completely: it is derived from the overloaded type in combination with the instance type (i.e. [. . . ] in this particular example).

In Clean we require that the type specification of an overloaded operator anticipates on attributes arising from uniqueness propagation, that is, the uniqueness attribute of the class variable should be chosen in such a way that for any instance type this 'class attribute' does not conflict with the corresponding uniqueness attribute(s) in the fully expanded type of this instance. In the above example this means that the type of id becomes

```
class id a:: a -> a
```

Another possibility is

```
class id a:: *a -> *a
```

However, the latter version of id will be more restrictive in its use, since it will always require that its argument is unique.

## Constructor Classes

The combination of uniqueness typing and constructor classes (with their higher-order class variables) introduces another difficulty. Consider, for example, the overloaded map function.

```
class map m:: (a -> b) (m a) -> m b
```

Suppose we would add (distinct) attribute variables to the type variables a and b (to allow 'unique instances' of map)

```
class map m:: (.a ->.b) (m.a) -> m.b
```

The question that arises is: Which attributes should be added to the two applications of the class variable $m$ ? Clearly, this depends on the actual instance type filled in for $m$. E.g., if $m$ is instantiated with a propagating type constructor (like [ ]), the attributes of the applications of $m$ are either attribute variables or the concrete attribute 'unique'. Otherwise, one can chose anything.

```
Example
instance map []
where
    map f l = [ f x // x <- l ]
:: T a = C (Int -> a)
instance map T
where
    map f (C g) = C (f O g)
```

In this example, the respective expanded type of the both instances are

```
map:: (u:a -> v:b) w:[u:a] -> x:[v:b], w <= u, x <= v
map:: (u:a -> v:b) (T u:a) -> T v:b
```

The type system of Clean requires that a possible propagation attribute is explicitly indicated in the type specification of the overloaded function. In order to obtain versions of map producing spine unique data structures, its overloaded type should be specified as follows:

```
class map m:: (.a ->.b).(m.a) ->.(m.b)
```

This type will provide that for an application like

```
map inc [1,2,3]
```

indeed yields a spine unique list.
Observe that if you would omit the (anonymous) attribute variable of the second argument, the input data structure cannot contain unique data on propagating positions, e.g. one could not use such a version of map for mapping a destructive write operator on a list of unique files.

In fact, the propagation rule is used to translate uniqueness properties of objects into uniqueness properties of the data structures in which these objects are stored. As said before, in some cases the actual data structures are unknown.

Consider the following function
DoubleMap $f l=(\operatorname{map} f l, \operatorname{map} f l)$
The type of this function is something like

```
DoubleMap:: (.a ->.b) (m.a) -> (.(m.b),.(m.b))
```

Clearly, 1 is duplicated. However, this does not necessarily mean that a cannot be unique anymore. If, for instance, $m$ is instantiated with a non-propagating type constructor (like T as defined on the previous page) then uniqueness of a is still permitted. On the other hand, if $m$ is instantiated with a propagating type constructor, a unique instantiation of a should be disapproved. In Clean, the type system 'remembers' sharing of objects (like 1 in the above example) by making the corresponding type attribute non-unique. Thus, the given type for DoubleMap is exactly the type inferred by CLEAN's type system. If one tries to instantiate $m$ with a propagating type constructor, and, at the same type, a with some unique type, this will fail.

The presence of higher-order class variables, not only influences propagation properties of types, but also the coercion relation between types. These type coercions depend on the sign classification of type constructors. The problem with higher-order polymorphism is that in some cases the actual type constructors substituted for the higher order type variables are unknown, and therefore one cannot decide whether coercions in which higher-order type variable are involved, are valid.

Consider the functions

```
double x = (x,x)
dm f l = double (map f l)
```

Here, map's result (of type. (m.a)) is coerced to the non-unique supertype (m.a). However, this is only allowed if $m$ is instantiated with type constructors that have no coercion restrictions. E.g., if one tries to substitute *WriteFun for m, where

```
WriteFun a = C.(a -> *File)
```

this should fail, for, *WriteFun is essentially unique. The to solve this problem is to restrict coercion properties of type variable applications ( $\mathrm{m} \sigma$ ) to
$u:(m \sigma) \leq u:(m \tau)$ iff $\sigma \leq \tau \& \& \tau \leq \sigma$
A slightly modified version of this solution has been adopted in Clean. For convenience, we have added the following refinement. The instances of type constructors classes are restricted to type constructors with no coercion restrictions. Moreover, it is assumed that these type constructors are uniqueness propagating. This means that the WriteFun cannot be used as an instance for map. Consequently, our coercion relation we can be more liberal if it involves such class variable applications.

Overruling this requirement can be done adding the anonymous attribute. the class variable. E.g.

```
class map.m:: (.a ->.b).(m.a) ->.(m.b)
```


## Now

instance map WriteFun
where
map. . .
is valid, but the coercions in which (parts of) map's type are involved are now restricted as explained above.
To see the difference between the two indicated variants of constructor variables, we slightly modify map's type.

```
class map m:: (.a ->.b) *(m.a) ->.(m.b)
```

Without overruling the instance requirement for $m$ the type of $d m$ ( $d m$ as given on the previous page) becomes.

```
dm:: (.a ->.b) *(m.a) ->.(m b, m b)
```

Observe that the attribute of disappeared due to the fact that each type constructor substituted for $m$ is assumed to be propagating.

If one explicitly indicates that there are no instance restriction for the class variable $m$ (by attributing $m$ with.), the function dm becomes untypable.

## 9.6

Higher-Order Type Definitions

We will describe the effect of uniqueness typing on type definitions containing higher-order type variables. At it turns out, this combination introduces a number of difficulties which would make a full description very complex. But even after skipping a lot of details we have to warn the reader that some of the remaining parts are still hard to understand.

As mentioned earlier, two properties of newly defined type constructor concerning uniqueness typing are important, namely, propagation and sign classification. One can probably image that, when dealing with higher-order types the determination on these properties becomes more involved. Consider, for example, the following type definition.

```
:: T m a = C (m a)
```

The question whether $T$ is propagating in its second argument cannot be decided by examining this definition only; it depends on the actual instantiation of the (higher-order) type variable m . If m is instantiated with a propagating type constructor, like [], then T becomes propagating in its second argument as well. Actually, propagation is not only a
property of type constructors, but also of types themselves, particularly of 'partial types' For example, the partial type [] is propagating in its (only) argument (Note that the number of arguments a partial type expects, directly follows from the kinds of the type constructors that have been used). The type $T$ [] is also propagating in its argument, so is the type $T$ ((,) Int)).

The analysis in Clean that determines propagation properties of (partial) types has been split into two phases. During the first phase, new type definitions are examined in order to determine the propagation dependencies between the arguments of each new type constructor. To explain the idea, we return to our previous example.

```
:: T m a = C (m a)
```

First observe that the propagation of the type variable $m$ is not interesting because $m$ does not stand for 'real data' (which is always of kind *). We associate the propagation of $m$ in $T$ with the position(s) of the occurrence(s) of m's applications. So in general, T is propagating in a higher-order variable m if one of m 's applications appears on a propagating position in the definition of $T$. Moreover, for each higher order type variable, we determine the propagation properties of all first order type variables in the following way: $m$ is propagating in $a$, where $m$ and a are higher-order respectively first-order type variables of $T$, if a appears on a propagating position in one of $m$ 's applications. In the above example, $m$ is propagating in a, since a is on a propagating position in the application (ma). During the second phase, the propagation properties of (partial) types are determined using the results of the first phase. This (roughly) proceeds as follows. Consider the type $T \sigma$ for some (partial) type $\sigma$, and $T$ as defined earlier. First, determine (recursively) the propagation of $\sigma$. Then the type $T \sigma$ is propagating if (1) $\sigma$ is propagating, (2) $T$ is propagating in $m$, and moreover ( 3 ) m is propagating in a (the second argument of the type constructor). With T as defined above, (2) and (3) are fulfilled. Thus, for example T [] is propagating and therefore also $T$ ( $T$ []). Now define

```
:: T2 a = C2 (a -> Int)
```

Then T T2 is not propagating.

The adjusted uniqueness propagation rule (see also...) becomes:

- Let $\sigma, \tau$ be two uniqueness types. Suppose $\sigma$ has attribute $u$. Then, if $\tau$ is propagating the application ( $\tau \sigma$ ) should have an attribute v such that $\mathrm{v} \leq \mathrm{u}$.

Some of the readers might have inferred that this propagation rule is a 'higher-order' generalization of the old 'first-order' propagation rule.

As to the sign classification, we restrict ourselves to the remark that that sign analysis used in Clean is adjusted in a similar way as described above for the propagation case.

## Example

:: $\quad$ T m a $=C\left(\begin{array}{l}\text { ( } a)\end{array}\right.$-> Int)

The sign classification of $T$ if $(-, \perp)$. Here $\perp$ denotes the fact the a is neither directly used on a positive nor on a negative position. The sign classification of $m$ w.r.t. a is + . The partial type $T$ [ ] has sign -, which e.g. implies that
T [] Int $\leq T$ [] *Int

The type $T T 2$ (with $T 2$ as defined on the previous page) has sign + , so
T T2 Int $\geq$ T T2 *Int

It will be clear that combining uniqueness typing with higher-order types is far from trivial: the description given above is complex and moreover incomplete. However explaining all the details of this combination is far beyond the scope of the reference manual.

So, it is allowed to update a uniquely typed function argument (*) destructively when the argument does not reappear in the function result. The question is: when does the compiler indeed make use of this possibility.

Destructive updates takes place in some predefined functions and operators which work on predefined data structures such arrays (\&-operator) and files (writing to a file). Arrays and files are intended to be updated destructively and their use can have a big influence on the space and time behavior of your application (a new node does not have to be claimed and filled, the garbage collector is invoked less often and the locality of memory references is increased).

Performing destructive updates is only sensible when information is stored in nodes. Arguments of basic type (Int, Real, Char or Bool) are stored on the B-stack or in registers and it therefore does not make sense to make them unique.

The Clean compiler also has an option to re-use user-defined unique data structures: the space being occupied by a function argument of unique type will under certain conditions be reused destructively to construct the function result when (part of) this result is of the same type. So, a more space and time efficient program can be obtained by turning heavily used data structures into unique data structures. This is not just a matter of changing the uniqueness type attributes (like turning a lazy data structure into a strict one). A unique data structure also has to be used in a "single threaded" way (see Chapter 4). This means that one might have to restructure parts of the program to maintain the unicity of objects.

The compiler will do compile-time garbage collection for user defined unique data-structures only in certain cases. In that case run-time garbage collection time is reduced. It might even drop to zero. It also possible that you gain even more then just garbage collection time due to better cache behavior.

# Strictness, Macros and Efficiency 

### 10.1 Defining Partially Strict Data Structures and Functions <br> 10.2 Defining Graphs on the Global Level

10.3 Defining Macros<br>10.4 Efficiency Tips

Programming in a functional language means that one should focus on algorithms and without worrying about all kinds of efficiency details. However, when large applications are being written it may happen that this attitude results in a program that is unacceptably inefficient in time and/or space.

In this Chapter we explain several kinds of annotations and directives that can be defined in ClEAN. These annotations and directives are designed to give the programmer some means to influence the time and space behavior of Clean applications.

Clean is by default a lazy language: applications will only be evaluated when their results are needed for the final outcome of the program. However, lazy evaluation is in general not very efficient. It is much more efficient to compute function arguments in advance (strict evaluation) when it is known that the arguments will be used in the function body. By using strictness annotations in type definitions the evaluation order of data structures and functions can be changed from lazy to strict. This is explained in Section 10.1.

One can define constant graphs on the global level also known as Constant Applicative Forms (see Section 10.2). Unlike constant functions, these constant graphs are shared such that they are computed only one. This generally reduces execution time possibly at the cost of some heap space needed to remember the shared graph constants.

Macro's (Section 10.3) are special functions that will already be substituted (evaluated) at compile-time. This generally reduces execution time (the work has already be done by the compiler) but it will lead to an increase of object code.

Clean uses by default a lazy evaluation strategy. a redex is only evaluated when it is needed to compute the final result. Some functional languages (e.g. ML, Harper et al.) use a eager (strict) evaluation strategy and always evaluate all function arguments in advance.

### 10.1.1

Advantages and Disadvantages of Lazy versus Strict Evaluation
Lazy evaluation has the following advantages (+) / disadvantages (-) over eager (strict) evaluation:

- only those computations which contribute to the final result are computed (for some algorithms this is a clear advantage while it generally gives a greater expressive freedom);
- one can work with infinite data structures (e.g. [1. . ]);
- it is unknown when a lazy expression will be computed (disadvantage for debugging, for controlling evaluation order);
- strict evaluation is in general much more efficient, in particular for objects of basic types, non-recursive types and tuples and records which are composed of such types;
-/+ in general a strict expression (e.g. $2+3+4$ ) takes less space than a lazy one, however, sometimes the other way around (e.g. [1. . 1000]);


### 10.1.2

Strict and Lazy Context
Each expression in a function definition is considered to be either strict (appearing in a strict context : it has to be evaluated to strong root normal form) or lazy (appearing in a lazy context : not yet to be evaluated to strong root normal form) The following rules specify whether or not a particular expression is lazy or strict:

- a non-variable pattern is strict;
- an expression in a guard is strict;
- the expressions specified in a strict let-before expression are strict;
- the root expression is strict;
- the arguments of a function or data constructor in a strict context are strict when these arguments are being annotated as strict in the type definition of that function (manually or automatically) or in the type definition of the data constructor;
- all the other expressions are lazy.

Evaluation of a function will happen in the following order: patterns, guard, expressions in a strict let before expression, root expression (see also 3.1).

### 10.1.3

The space occupied by Clean structures depend on the kind of structures one is using, but also depends on whether these data structures appear in a strict or in a lazy context. To understand this one has to have some knowledge about the basic implementation of CleAn (see Plasmeijer and Van Eekelen, 1993).

Graphs (see Chapter 1) are stored in a piece of memory called the heap. The amount of heap space needed highly depends on the kind of data structures that are in use. Graph structures that are created in a lazy context can occupy more space than graphs created in a strict context. The garbage collector in the run-time system of CleAn automatically collects graphs that are not being used. The arguments of functions being evaluated are stored on a stack. There are two stacks: the A-stack, which contains references to graph nodes stored in the heap and the BC-stack which contains arguments of basic type and return addresses. Data structures in a lazy context are passed via references on the $A$ stack. Data structures of the basic types (Int, Real, Char or Bool) in a strict context are stored on the $B$-stack or in registers. This is also the case for these strict basic types when they are part of a record or tuple in a strict context.

Data structures living on the B-stack are passed unboxed. They consume less space (because they are not part of a node) and can be treated much more efficiently. When a function is called in a lazy context its data structures are passed in a graph node (boxed). The amount of space occupied is also depending on the arity of the function.

In the table below the amount of space consumed in the different situations is summarised (for the lazy as well as for the strict context). For the size of the elements one can take the size consumed in a strict context.

| Type | Arity | Lazy context (bytes) | Strict context (bytes) | Comment |
| :---: | :---: | :---: | :---: | :---: |
| Int, Bool | - | 8 | 4 |  |
| Int (0•n•32), Char | - | - | 4 | node is shared |
| Real | - | 12 | 8 |  |
| Small Record | n | $4+\Sigma$ size elements | Ssize elements | total length $\leq 12$ |
| Large Record | n | $8+\Sigma$ size elements | Ssize elements |  |
| Tuple | 2 | 12 | $\Sigma$ size elements |  |
|  | $>2$ | $8+4 * n$ | Lsize elements |  |
| \{a\} | n | $20+4 * n$ | $12+4 * n$ |  |
| ! Int | n | $20+4 * n$ | $12+4 * n$ |  |
| ! Bool, ! Char | n | $20+4 *$ ciel (n/4) | $12+4 *$ ciel (n/4) |  |
| ! Real | n | $20+8 * n$ | $12+8 * \mathrm{n}$ |  |
| !Tuple, ! Record | n | 20 + size rec/tup*n | $12+$ size rec/tup*n |  |
| Hnf | 0 | - | $4+$ size node | node is shared |


|  | 1 | 8 | $4+$ size node |  |
| :--- | :--- | :--- | :--- | :--- |
|  | 2 | 12 | $4+$ size node | also for [a] |
|  | $>2$ | $8+4 * n$ | $4+$ size node |  |
| Pointer to node | - | 4 | 4 |  |
| Function | $0,1,2$ | 12 | - |  |
|  | $>3$ | $4+4 * n$ | - |  |

Strict arguments of functions can sometimes be handled much more efficiently than lazy arguments, in particular whenthe arguments are of basic type.

Example: functions with strict arguments of basic type are more efficient.

```
Ackerman:: !Int !Int -> Int
Ackerman 0 j = j+1
Ackerman i 0 = Ackerman (i-1) 1
Ackerman i j = Ackerman (i-1) (Ackerman i (j-1))
```

The computation of a lazy version of Ackerman 37 takes 14.8 seconds +0.1 seconds for garbage collection on an old fashion Macll ( 5 Mb heap). When both arguments are annotated as strict (which in this case wll be done automatically by the compiler) the computation will only take 1.5 seconds +0.0 seconds garbage collection. The gain is one order of magnitude. Instead of rewriting graphs the calculation is performed using stacks and registers where possible. The speed is comparable with a recursive call in highly optimised $C$ or with the speed obtainable when the function was programmed directly in assembly.

### 10.1.5

Changing Lazy into Strict Evaluation
So, lazy evaluation gives a notational freedom (no worrying about what is computed when) but it might cost space as well as time. In Clean the default lazy evaluation can therefore be turned into eager evaluation by adding strictness annotations to types.

## Strict

## $=$ !

This can be done in several ways:

- The Clean compiler has a built-in strictness analyzer based on abstract reduction (Nöcker, 1993) (it can be optionally turned off). The analyzer searches for strict arguments of a function and annotate them internally as strict (see 10.1.1). In this way lazy arguments are automatically turned into strict ones. This optimization does not influence the termination behavior of the program. It appears that the analyzer can find much information. The analysis itself is quite fast.
- The strictness analyzer cannot find all strict arguments. Therefore one can also manually annotate a function as being strict in a certain argument or in its result (see 10.1.1).
- By using strictness annotations, a programmer can define (partially) strict data structures (Nöcker and Smetsers, 1993; see 10.1.3). Whenever such a data structure occurs in a strict context (see 10.1.1), its strict components will be evaluated.
- The order of evaluation of expressions in a function body can also be changed from lazy to strict by using a strict let-before expression (see 3.5.4).

One has to be careful though. When a programmer manually changes lazy evaluation into strict evaluation, the termination behavior of the program might change. It is only safe to put strictness annotations in the case that the function or data constructor is known to be strict in the corresponding argument which means that the evaluation of that argument in advance does not change the termination behavior of the program. The compiler is not able to check this.

Constant graphs can also be defined on a global level (for local constant graphs see 3.6).

```
GraphDef = Selector=[:] GraphExpr ;
```

A global graph definition defines a global constant (closed) graph, i.e. a graph which has the same scope as a global function definition (see 2.1). The selector variables that occur in the selectors of a global graph definition have a global scope just as globally defined functions.

Special about global graphs (in contrast with local graphs) is that they are not garbage collected during the evaluation of the program A global graph can be compared with a CAF (Constant Applicative Form): its value is computed at most once and remembered at run-time. A global graph can save execution-time at the cost of permanent space consumption.

Syntactically the definition of a graph is distinguished from the definition of a function by the symbol which separates lefthand side from right-hand side: " $=:$ " is used for graphs while " $=>$ " is used for functions. However, in general the more common symbol " $=$ " is used for both type of definitions. Generally it is clear from the context what is meant (functions have parameters, selectors are also easy recognisible). However, when a simple constant is defined the syntax is ambiguous (it can be a constant function definition as well as a constant graph definition).

To allow the use of the "=" whenever possible, the following rule is followed. Locally constant definitions are by default taken to be graph definitions and therefore shared, globally they are by default taken to be function definitions (see 3.1) and therefore recomputed. If one wants to obtain a different behavior one has to explicit state the nature of the constant definition (has it to be shared or has it to be recomputed) by using "=:" (on the global level, meaning it is a constant graph which is shared) or " $=>$ " (on the local level, meaning it is a constant function and has to be recomputed).

Global constant graph versus global constant function definition: biglist1 is a graph which is computed only once, biglist3 and biglist2 is a constant function which is computed every time it is applied.

```
biglist1 = [1..10000] // a constant function (if defined globally)
biglist2 =: [1..10000] // a graph
biglist3 => [1..10000] // a constant function
```

A graph saves execution-time at the cost of space consumption. A constant function saves space at the cost of execution time. So, use graphs when the computation is time-consuming while the space consumption is small and constant functions in the other case.

Macros are functions (rewrite rules) which are applied at compile-time instead of at run-time. Macro's can be used to define constants, create in-line substitutions, rename functions, do conditional compilation etc. With a macro definition one can, for instance, assign a name to a constant such that it can be used as pattern on the left-hand side of a function definition.

At compile-time the right-hand side of the macro definition will be substituted for every application of the macro in the scope of the macro definition. This saves a function call and makes basic blocks larger (see Plasmeijer and Van Eekelen, 1993) such that better code can be generated. A disadvantage is that also more code will be generated. Inline substitution is also one of the regular optimisations performed by the Clean compiler. To avoid code explosion a compiler will generally not substitute big functions. Macros give the programmer a possibility to control the substitution process manually to get an optimal trade-off between the efficiency of code and the size of the code.

| MacroDef | $=[$ MacroFixityDef $]$ |
| ---: | :--- |
|  | DefOfMacro |
| MacroFixityDef | (FunctionName) $[$ Fix $][$ Prec $] ;$ |
| DefOfMacro | $=$ |
|  | Function $\{$ Variable $\}:=$ FunctionBody ; |
|  | $[$ LocalFunctionAltDefs $]$ |

The compile-time substitution process is guaranteed to terminate. To ensure this some restrictions are imposed on Macro's (compared to common functions). Only variables are allowed as formal argument. A macro rule always consists of a single alternative. Furthermore,

Macro definitions are not allowed to be cyclic to ensure that the substitution process terminates.

Example of a macro definition.

| Black $:==1$ | // Macro definition |  |
| :--- | :--- | :--- | :--- |
| White $:==0$ | $/ /$ | Macro definition |
| : : Color :== Int | // Type synonym definition |  |
|  |  |  |
| Invert: : Color -> Color | Function definition |  |
| Invert Black $=$ White |  |  |

Example: macro to write (a?b) for lists instead of [a:b] and its use in the function map.

```
(?) infixr 5 // Fixity of Macro
(?) h t :== [h:t] // Macro definition of operator
map:: (a -> b) [a] -> [b]
map f (x?xs) = f x ? map f xs
map f [] = []
```

Notice that macros can contain local function definitions. These local definitions (which can be recursive) will also be substituted inline. In this way complicated substitutions can be achieved resulting in efficient code.

Example: macros can be used to speed up frequently used functions. See for instance the definition of the function foldl in StdList.

```
foldl op r l :== foldl r l // Macro definition
    foldl r [] = r
    foldl r [a:x]= foldl (op r a) x
sum list = foldl (+) 0 list
```

After substitution of the macro foldl a very efficient function sum will be generated by the compiler:

```
sum list = foldl 0 list
    foldl r [] = r
    foldl r [a:x] = foldl ((+) r a) x
```

The expansion of the macros takes place before type checking. Type specifications of macro rules is not possible. When operators are defined as macros, fixity and associativity can be defined.

Here are some additional suggestions how to make your program more efficient:

- Use the Clean profiler to find out which frequently called functions are consuming a lot of space and/or time. If you modify your program, these functions are the one to have a good look at.
- Transform a recursive function to a tail-recursive function.
- It is better to accumulate results in parameters instead of in the right-hand side results.
- It is better to use records instead of tuples.
- Arrays can be more efficient than lists since they allow constant access time on their elements and can be destructive updated.
- When functions return multiple ad-hoc results in a tuple put these results in a strict tuple instead (can be indicated in the type).
- Use strict data structures whenever possible.
- Export the strictness information to other modules (the compiler will warn you if you don't).
- Make function strict in its arguments whenever possible.
- Use macros for simple constant expressions or frequently used functions.
- Use CAF's and local graphs to avoid recalculation of expressions.
- Selections in a lazy context can better be transformed to functions which do a pattern match.
- Higher order functions are nice but inefficient (the compiler will try to convert higher order function into first order functions).
- Constructors of high arity are inefficient.
- Increase the heap space in the case that the garbage collector takes place to often.


## Appendix A

## Context-Free Syntax Description

```
A. }1\mathrm{ Clean Program
A. }2\mathrm{ Import Definition
A. }3\mathrm{ Function Definition
A.4 Macro Definition
```

```
A.5 Type Definition
A. }6\mathrm{ Class Definition
A. }7\mathrm{ Names
A. }8\mathrm{ Denotations
```

In this appendix the context-free syntax of Clean is given. Notice that the layout rule (see 2.3.3) permits the omission of the semi-colon (' ; ') which ends a definition and of the braces (' $\{$ ' and ' $\}$ ') which are used to group a list of definitions.

The following notational conventions are used in the context-free syntax descriptions:
[notion] means that the presence of notion is optional
\{notion\} means that notion can occur zero or more times \{notion\}+ means that notion occurs at least once \{notion\}-list terminals means one or more occurrences of notion separated by comma's
terminals
are printed in 9 pts courier bold brown
keywords
terminals
\{notion\} /str
are printed in 9 pts courier bold red
that can be left out in layout mode are printed in 9 pts courier bold blue means the longest expression not containing the string str

## A. 1



|  | ExplicitlmportDef |
| :---: | :---: |
| ImplicitlmportDef | $=$ import $\{$ ModuleName\}-list ; |
| ExplicitlmportDef Imports | $\begin{aligned} & =\text { from ModuleName import \{lmports\}-list ; } \\ & =\text { FunctionName } \\ & \text { ::TypeName [ConstructorsOrFields] } \\ & \text { class ClassName [Members] } \\ & \text { instance ClassName \{TypeName\}+ } \end{aligned}$ |
| ConstructorsOrFields | ```= (..) ({ConstructorName}-list) { . .} {{FieldName}-list}``` |
| Members | $\begin{aligned} & =(. .) \\ & \text { (\{MemberName\}-list) } \end{aligned}$ |

## A. 3

| FunctionDef | $\begin{aligned} &= {[\text { FunctionTypeDef] }} \\ & \text { DefOfFunction } \end{aligned}$ |
| :---: | :---: |
| DefOfFunction FunctionAltDef | $=\{$ FunctionAltDef $;\}+$ <br> $=$ Function \{Pattern\} <br> \{\{LetBeforeExpression\} <br> \{\| Guard\} =[>] FunctionBody\}+ <br> [LocalFunctionAltDefs] |
| Function | = FunctionName <br> \| (FunctionName) |
| LetBeforeExpression | $\begin{aligned} & = \\ & \text { \# \{GraphDef\}+ } \\ & \text { \#!\{GraphDef\}+ } \end{aligned}$ |
| GraphDef Selector | $\begin{aligned} & =\text { Selector }=[:] \text { GraphExpr ; } \\ & =\text { BrackPattern } \end{aligned}$ |
| Guard <br> BooleanExpr | $\begin{aligned} & \text { = BooleanExpr } \\ & \text { \| } \text { otherwise } \\ & =\text { GraphExpr } \end{aligned}$ |
| FunctionBody | $=\begin{aligned} = & \text { RootExpression ; } \\ & \text { LLocalFunctionDefs] } \end{aligned}$ |
| RootExpression | = GraphExpr |
| LocalFunctionAltDefs LocalDef <br> LocalFunctionDefs | ```= [where] {{LocalDef}+ } = GraphDef \| FunctionDef = [with] { {LocalDef}+}``` |

## A.3. 1

Types of Functions

| FunctionTypeDef | $=$ FunctionName : : FunctionType ; |
| :--- | :--- |
|  | \| (FunctionName) [Fix][Prec] [:: FunctionType] ; |
| FunctionType | $=$ Type $->$ Type [ClassContext] [UnqTypeUnEqualities] |
| ClassContext | $=$ ClassName-list TypeVariable \{\& ClassName-list TypeVariable $\}$ |
| UnqTypeUnEqualities | $=\{\{$ UniqueTypeVariable $\}+<=$ UniqueTypeVariable $\}$-list |

A.3.2

Patterns



|  |  | \{Pattern\} <br> \{\{LetBeforeExpression\} <br> \{\| Guard\} -> FunctionBody\}+ <br> [LocalFunctionAltDefs] |
| :---: | :---: | :---: |
| LetExpresssion | = | let \{ \{LocalDef\}+ \} in GraphExpr |
| SpecialExpression |  | BasicValue <br> List <br> Tuple <br> Array <br> ArraySelection <br> Record <br> RecordSelection |
| List | = | ListDenotation DotDotexpression ZF-expression |
| ListDenotation |  | [ [ListKind] [\{LGraphExpr\}-list [: GraphExpr]] [SpineStrictness] ] |
| LGraphExpr |  | GraphExpr <br> CharsDenotation <br> // see A. 8 |
| DotDotexpression |  | [[ListKind] GraphExpr [, GraphExpr]. . [GraphExpr] [SpineStrictness] ] |
| ZF-expression |  | [[ListKind] GraphExpr |
| \{Qualifier\}-list [SpineStrictness]] |  |  |
| Qualifier |  | Generators \{। Guard\} |
| Generators |  | \{Generator\}-list Generator $\{\&$ Generator $\}$ |
| Generator |  | Selector <- ListExpr // select from a lazy list <br> Selector < 1- ListExpr // select from an overloaded list <br> Selector <- : ArrayExpr // select from an array |
| Selector |  | BrackPattern // for brack patterns see 3.2 |
| ListExpr |  | GraphExpr |
| ArrayExpr | = | GraphExpr |
| Tuple | $=$ | (GraphExpr, \{GraphExpr\}-list) |
| Array | \| | ArrayDenotation ArrayUpdate ArraySelection |
| ArrayDenotation |  | \{\{GraphExpr\}-list\} <br> StringDenotation <br> // see A. 8 |
| ArrayUpdate |  | \{ ArrayExpr \& [\{ArrayIndex \{Selection\} = GraphExpr\}-list] [ <br> \{Qualifier\}-list] \} <br> \{[ArrayExpr \&] GraphExpr <br> \{Qualifier\}-list\} |
| ArraySelection |  | ArrayExpr . ArrayIndex \{Selection\} ArrayExpr! ArrayIndex \{Selection\} |
| Selection | \| | FieldName <br> . ArrayIndex |
| ArrayIndex |  | [\{IntegerExpr\}-list] |
| IntegerExpr |  | GraphExpr |
| Record | , | RecordDenotation RecordUpdate |
| RecordDenotation |  | \{[Typename ]] \{FieldName = GraphExpr\}-list]\} |
| RecordUpdate |  | \{[TypeName \|][RecordExpr \&][\{FieldName \{Selection\} = GraphExpr\}-list]\} |
| RecordExpr |  | GraphExpr |
| RecordSelection |  | RecordExpr [.TypeName].FieldName \{Selection\} RecordExpr [. TypeName]!FieldName \{Selection\} |
| ArrayExpr |  | GraphExpr |
| DynamicExpression |  | dynamic GraphExpr [: : Type] |

## A. 4

| MacroDef | $=[$ MacroFixityDef $]$ |
| ---: | :--- |
|  | DefOfMacro |
| MacroFixityDef | (FunctionName) $[$ Fix $][$ Prec $] ;$ |
| DefOfMacro | $=$ |
|  | Function $\{$ Variable $:==$ FunctionBody ; |
|  | $[$ LocalFunctionAltDefs $]$ |

## A. 5



## A.5. 1

| Type Expression | $=$ TypeVariable$\|$TypeConstructorName <br> (Type) <br> $\mid$ <br> $\mid$PredefinedTypePredefinedTypeConstructor | // see A. 7 |
| :---: | :---: | :---: |
| PredefinedType | $\begin{array}{ll} = & \text { BasicType } \\ \text { ListType } \\ \text { TupleType } \\ \text { ArrayType } \\ \text { ArrowType } \\ & \text { PredefType } \end{array}$ |  |
| BasicType | $\begin{aligned} & =\text { Int } \\ & \left\lvert\, \begin{array}{l} \text { Real } \\ \text { Char } \\ \text { Bool } \end{array}\right. \end{aligned}$ |  |
| ListType ListKind SpineStrictness | $\begin{aligned} & =\quad[[\text { ListKind }] \text { Type [SpineStrictness }]] \\ & =\quad! \\ & \text { I } \# \\ & =! \end{aligned}$ | // head strict list <br> // head strict, unboxed list <br> // tail (spine) strict list |
| TupleType | $=([$ Strict $]$ Type, $\{[$ Strict] Type $\}$-list $)$ |  |
| ArrayType ArrayKind | $\begin{aligned} & =\{[\text { ArrayKind }] \text { Type }\} \\ & =! \end{aligned}$ | // strict array |


|  | \# | // unboxed array |
| :---: | :---: | :---: |
| PredefType | World | // see StdWorld.dcl |
|  | File | // see StdFileIO.dcl |
|  | String | // synonym for \{\#Char\} |
| PredefinedTypeConstructor | [] | // list type constructor |
|  | [! ] | // head strict list type constructor |
|  | [ ! ] | // tail strict list type constructor |
|  | [!!] | // strict list type constructor |
|  | [\#] | // unboxed head strict list type |
|  | [\#!] | // unboxed strict list type |
|  | ( $\{\}+$, ) | // tuple constructor (arity >= 2) |
|  | \{\} | // lazy array type constructor |
|  | \{! \} | // strict array type constructor |
|  | \{\#\} | // unboxed array constructor |
|  | $(->)$ | // arrow type constructor |

A. 6

A. 7






| CharDel | $=$ |
| :--- | :--- |
| StringDel | $=\quad '$ |

## Appendix B

## Lexical Structure

## B. 1 Lexical Program Structure <br> B. 2 Comments

## B. 3 Reserved Keywords and Symbols

In this appendix the lexical structure of CLEAN is given. It describes the kind of tokens recognised by the scanner/parser. In particular it summarizes the keywords, symbols and characters which have a special meaning in the language.

## B. 1

Lexical Program Structure

In this Section the lexical structure of Clean is given. It describes the kind of tokens recognised by the scanner/parser. In particular it summarizes the keywords, symbols and characters which have a special meaning in the language.

| LexProgram | \{ Lexeme \| \{Whitespace\}+ \} |  |
| :---: | :---: | :---: |
| Lexeme | = ReservedKeywordOrSymbol | // see Section B. 3 |
|  | ReservedChar | // see Section A. 8 |
|  | Literal |  |
|  | \| Identifier |  |
| Identifier | = LowerCaseld | // see A. 7 |
|  | UpperCaseld | // see A. 7 |
|  | - Funnyld | // see A. 7 |
| Literal | = IntDenotation | // see A. 8 |
|  | RealDenotation | // see A. 8 |
|  | BoolDenotation | // see A. 8 |
|  | CharDenotation | // see A. 8 |
|  | CharsDenotation | // see A. 8 |
|  | StringDenotation | // see A. 8 |
| Whitespace |  |  |
|  | $\begin{aligned} & =\text { space } \\ & \text { \| tab } \end{aligned}$ | I/ a space character <br> // a horizontal tab |
|  | \| newline | // a newline char |
|  | \| formfeed | // a formfeed |
|  | \| verttab | // a vertical tab |
|  | Comment | // see Section B. 2 |

## B. 2

Comments


## B. 3

Below the keywords and symbols are listed which have a special meaning in the language. Some symbols only have a special meaning in a certain context. Outside this context they can be freely used if they are not a reerved character (see A.8). In the comment it is indicated for which context (name space) the symbol is predefined.

ReservedKeywordOrSymbol =

//begin of comment block
//end of comment block
//rest of line is comment
//begin of a type definition
//in a type synonym or macro definition
//in a function, graph, alg. type, rec. field, case, lambd
//labeling a graph definition
//in a function definition
//end of a definition (if no layout rule)

| // in global definitions: | from <br> definition <br> implementation | //begin of symbol list for imports <br> //begin of definition module <br> import |
| :--- | :--- | :--- |
|  | //begin of implementation module |  |


| // in type specifications: |  | //strict type <br> //uniqueness type variable <br> //unboxed type <br> //unique type <br> //in a uniqueness type variable definition <br> //function type constructor <br> //lazy list, head strict, strict, unboxed, unboxed strict <br> //overloaded list <br> //tuple type constructors <br> //lazy, strict, unboxed array type constr. <br> //infix indication in operator definition <br> //infix left indication in operator definition <br> //infix right indication in operator definition <br> //type Boolean <br> //type character <br> //type file <br> //type integer <br> //type real <br> //type world |
| :---: | :---: | :---: |
| // in class definitions: | export <br> class <br> special <br> instance | //to reveal which class instances there are //begin of type class definition //to create a specialized instance //def of instance of a type class |

Appendix C

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Appendix E

## Index

Emboldened terms indicate where a term has been defined in the text. A term starting with an uppercase character generally refers to an identifier in the syntactic description or to a predefined function or operator in the library.

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[^0]:    1 Note that it is very natural in Clean to speak about references due to the underlying graph rewriting semantics of the language: it is always clear when objects are being shared or when cyclic structures are being created.

